## Edgar Filing: Brown Robert E. JR - Form 4

Brown Rober	rt E. JR											
Form 4	o											
<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION									PPROVAL			
Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	6. r Filed pu sinue. sction	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Number: Expires: Estimated a burden hou response	irs per	
	ddress of Reporting	g Person <u>*</u>	2. Issuer Symbol	Name <b>a</b>	nd (	Ticker or 7	Fradin	g	5. Relationship of Issuer	f Reporting Per	son(s) to	
AN			ANI PH [ANIP]	ANI PHARMACEUTICALS INC					(Check all applicable)			
	(First) HARMACEUTI IAIN STREET		3. Date of (Month/Da 06/06/20	ay/Year		nsaction			X Director Officer (give below)		6 Owner er (specify	
				nendment, Date Original Ionth/Day/Year)					<ol> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ol>			
BAUDETTE	E, MN 56623								Form filed by I Person	More than One R	eporting	
(City)	(State)	(Zip)	Table	e I - Noi	n-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)		Code (Instr.	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or			)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	06/06/2018			А		1,200	A	\$0	17,768	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Dei Sec (Ini
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options $(1)$	\$ 65.86	06/06/2018		А	2,575	(1)	06/05/2028	Common Stock	2,575	

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
I G C C C C C C C C C C C C C C C C C C	Director	10% Owner	Officer	Other		
Brown Robert E. JR C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST BAUDETTE, MN 56623	Х					
Signatures						
/s/ Robert E. 06/08/2018 Brown, Jr.						

\*\*Signature of Reporting Date Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The restricted stock and stock options vest on May 17, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.