Edgar Filing: Marken James G. - Form 4

Marken Jam	es G.										
Form 4											
April 10, 20	18										
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB AF	OMB APPROVAL		
. 0	UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Check th									Expires:	January 31,	
if no long subject to		MENT O	F CHAN	GES IN	BENEF	ICIA	LOW	NERSHIP OF	. 200		
Section 1				SECURITIES					Estimated average burden hours per		
Form 4 c	or								response	0.5	
Form 5	Filed pu	ursuant to	Section 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,			
obligatio may cont		7(a) of the	Public U	tility Hold	ling Con	npany	y Act of	1935 or Section	n		
See Instr		30(h)	of the In	vestment	Compan	y Ac	t of 194	0			
1(b).											
(Print or Type 1	Paspansas)										
(I fint of Type)	(cesponses)										
1. Name and A	Address of Reportin	g Person *	2 Issuer	Name and Ticker or Trading				5. Relationship of Reporting Person(s) to			
Marken Jan		-	Symbol	a realized freed of Trading				Issuer			
			•	IARMAC	EUTICA	LS I	INC				
			[ANIP]					(Chec.	k all applicable	:)	
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			Director	10%	Owner	
			(Month/D					X Officer (give		er (specify	
C/O ANI PI	HARMACEUT	ICALS,	04/06/2	-				below) SVP (below) Ops & Prod De	V	
INC., 210 N	AIN STREET	WEST						511 (spo ce 110 e 2.e		
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
Fi				nth/Day/Year)			Applicable Line)			
								X Form filed by C Form filed by M			
BAUDETT	E, MN 56623							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	ate 2A. Deer	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year	n Date, if	Transactio		~		Securities	Form: Direct	Indirect		
(Instr. 3)		Code (Instr. 3, 4 and 5) $(I_{1}, I_{2}, I_{3}, I_{4}, I_{5}, I_$					Beneficially	Beneficial			
		(Month/	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
						()		Reported	(Insur I)	(110411)	
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	04/06/2018			А	4,400	А	\$ 0	69,592	D		
Stock (1)	04/00/2010			11	1,100	11	ψυ	0,572	D		
Common	04/07/2018			F(2)	144	D	\$	69,448	D		
Stock	010112010			1	1-1-1	D	57.06	07,770	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 57.06	04/06/2018		А	5,300	<u>(1)</u>	04/05/2028	Common Stock	5,300	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Marken James G. C/O ANI PHARMACEUTICALS, INC. 210 MAIN STREET WEST BAUDETTE, MN 56623			SVP Ops & Prod Dev			
Signatures						

/s/ James G. 04/10/2018 Marken **Signature of

Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The restricted stock and the stock options vests, and are exercisable, in equal annual installments on the first, second, third and fourth (1) anniversaries of the grant date.
- Shares withheld for tax purposes exempt under Rule 16(b)-3 in connection with the vesting of restricted stock, which was previously (2) reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.