#### PORTER R RODERICK

Form 4 April 03, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

DERRICO GEORGIA S

See Instruction

<b>DZiu</b> uco (			Southern National Bancorp of Virginia Inc [SONA]				(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				X Director X Officer (given		6 Owner er (specify		
C/O SONA, 6830 OLD DOMINION DRIVE			(Month/Day/Year) 04/02/2018						below) below)  Executive Chairman		
	(Street)		4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check Applicable Line)				
MCLEAN, Y	Filed(Month/Day/Year)				Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person						
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ar) Execution		3. Transac Code (Instr. 8	3)	4. Securitin(A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	04/02/2018			A	•	20,000	A	\$ 0	454,124 (2)	D	
Common Stock									750 <u>(3)</u>	D	
Common Stock									105,375 (4)	I	By IRA
Common Stock									45,235 <u>(5)</u>	I	By IRA
Common Stock									15,137 (6)	I	By 401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

8. I Der Sec (In:

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 7.04					07/27/2011	07/27/2020	Common Stock	10,000 (7)
Employee Stock Option (Right to Buy)	\$ 7.2					03/21/2012	03/21/2021	Common Stock	40,000 (8)
Employee Stock Option (Right to Buy)	\$ 7.92					12/05/2013	12/05/2022	Common Stock	40,000 (9)
Employee Stock Option (Right to Buy)	\$ 9.14					06/21/2014	06/21/2023	Common Stock	40,000 (10)
Employee Stock Option (Right to Buy)	\$ 10.47					07/22/2015	07/22/2024	Common Stock	48,000 (11)
	\$ 11.43					06/19/2016	06/19/2025		

Employee Common 48,000
Stock Stock (12)
Option
(Right to
Buy)
Employee
Stock
Option \$ 11.99

Common 48,000
Common 48,000

(13)

Stock

(Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
1 0	Director	10% Owner	Officer	Other	
DERRICO GEORGIA S C/O SONA 6830 OLD DOMINION DRIVE MCLEAN, VA 22101	X		Executive Chairman		
PORTER R RODERICK C/O SONA 6830 OLD DOMINION DRIVE MCLEAN, VA 22101	X		Executive Vice Chairman		

# **Signatures**

/s/William H Lagos, as
Attorney-in-Fact
04/03/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of restricted stock which vests in five annual installments beginning March 31, 2019. Georgia S. Derrico 10,000 shares and R. Roderick Porter 10,000 shares.
- (2) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (3) These shares are owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by Georgia S. Derrico.
- (5) These share are held in an IRA owned by R. Roderick Porter.
- (6) These shares are held in a 401K plan as follows: 7,542 by Georgia S. Derrico and 7,595 by R. Roderick Porter.
- (7) These options are owned as follows: 5,000 by Georgia S. Derrico and 5,000 by R. Roderick Porter.
- (8) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.
- (9) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.
- (10) These options are owned as follows: 20,000 by Georgia S. Derrico and 20,000 by R. Roderick Porter.
- (11) These options are owned as follows: 24,000 by Georgia S. Derrico and 24,000 by R. Roderick Porter.

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- (12) These options are owned as follows: 24,000 by Georgia S. Derrico and 24,000 by R. Roderick Porter.
- (13) These options are owned as follows: 24,000 by Georgia S. Derrico and 24,000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.