Kanen David Form 3 November 20, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ONE Group Hospitality, Inc. [STKS] Kanen David (Month/Day/Year) 11/16/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O KANEN WEALTH (Check all applicable)

MANAGEMENT LLC, Â 5850 CORAL RIDGE DRIVE, SUITE \_X\_ 10% Owner Director 309 Officer Other

(give title below) (specify below)

**CORAL** SPRINGS. FLÂ 33076

(City)

(Street)

(State)

Form filed by One Reporting Person \_X\_ Form filed by More than One

6. Individual or Joint/Group Filing(Check Applicable Line)

Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5)

Â Common Stock D 18,921 (1)

Common Stock  $1,810,000 \stackrel{(1)}{=}$ I The Philotimo Fund LLC

Common Stock  $0^{(1)}$ Ι Kanen Wealth Management LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Kanen David - Form 3

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                        | 4. Conversion or Exercise Price of | 5.<br>Ownership<br>Form of<br>Derivative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--------------------|--|------------------------|------------------------------------|--|---|
|  | Date<br>Exercisable                                      | Expiration<br>Date | Ì  | Amount or<br>Number of | Derivative<br>Security             | Security: Direct (D) or Indirect         |   |

Shares

(Instr. 5)

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |
|--|---------------|-----------|---------|-------|--|
| reporting owner name / rearess   | Director      | 10% Owner | Officer | Other |  |
| Kanen David<br>C/O KANEN WEALTH MANAGEMENT LLC<br>5850 CORAL RIDGE DRIVE, SUITE 309<br>CORAL SPRINGS, FL 33076 | Â             | ÂΧ        | Â       | Â     |  |
| Kanen Wealth Management LLC<br>5850 CORAL RIDGE DRIVE, SUITE 309<br>CORAL SPRINGS, FL 33076                    | Â             | ÂX        | Â       | Â     |  |
| Philotimo Fund, LP<br>5850 CORAL RIDGE DRIVE, SUITE 309<br>CORAL SPRINGS Â FLÂ 33076                           | Â             | ÂX        | Â       | Â     |  |

# **Signatures**

| /s/ David L. Kanen  | 11/20/2017 |  |  |  |
|---|------------|--|--|--|
| **Signature of Reporting Person   | Date       |  |  |  |
| Kanen Wealth Management LLC /s/ David L. Kanen, David L. Kanen, Managing Member |            |  |  |  |
| **Signature of Reporting Person   | Date       |  |  |  |
| The Philotimo Fund /s/ David L. Kanen, David L. Kanen, Authorized Person        |            |  |  |  |
| **Signature of Reporting Person   | Date       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Kanen beneficially owns 4,008,116 shares of Common Stock, which represent approximately 14.8% of the Company's outstanding shares of Common Stock. Mr. Kanen, as the managing member of Kanen Wealth Management LLC ("KWM"), may be deemed to beneficially own the 2,198,116 shares of Common Stock held in customer accounts managed by KWM (including the 18,921 shares held

(1) in Mr. Kanen's account) and the 1,810,000 shares of Common Stock held by The Philotimo Fund LLC, of which KWM is the general partner. Mr. Kanen expressly disclaims such beneficial ownership except to the extent of his pecuniary interest therein. Mr. Kanen does not have a pecuniary interest in the shares of Common Stock held in customer accounts managed by KWM other than the shares held in Mr. Kanen's account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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