

INTERCEPT PHARMACEUTICALS INC
Form 8-K
December 01, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): November 24, 2015

INTERCEPT PHARMACEUTICALS, INC.

(Exact name of registrant as specified in its charter)

| | | |
|--|---------------------|----------------------------|
| Delaware (state or other jurisdiction | 001-35668 | 22-3868459 |
| of incorporation) | (Commission | (I.R.S. Employer |
| | File Number) | Identification No.) |

450 W. 15th Street, Suite 505

10011

New York, New York
(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code: (646) 747-1000

(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ..Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ..Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ..Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ..Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

At the 2015 annual meeting of stockholders of Intercept Pharmaceuticals, Inc. (the “Company”) held on November 24, 2015, the Company’s stockholders (1) elected all nine of the Company’s nominees to serve on the Company’s board of directors, (2) approved, on a non-binding advisory basis, the compensation of our named executive officers, (3) recommended, on a non-binding advisory basis, the option of “every year” with respect to the frequency of stockholder advisory votes on executive compensation and (4) ratified the appointment of KPMG LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2015. Shares of the Company’s voting stock were voted on these proposals as follows:

Proposal 1. Election of Directors: Nine nominees were elected to serve on the Company’s board of directors until the next annual meeting of stockholders and until their successors are elected and qualified with the votes set forth below:

| Name | For | Withheld | Broker Non-Votes |
|----------------------|------------|-----------------|-------------------------|
| Srinivas Akkaraju | 19,433,732 | 649,163 | 1,422,674 |
| Luca Benatti | 20,062,158 | 20,737 | 1,422,674 |
| Paolo Fundaro | 19,888,937 | 193,958 | 1,422,674 |
| Mark Pruzanski | 20,061,908 | 20,987 | 1,422,674 |
| Gino Santini | 19,437,587 | 645,308 | 1,422,674 |
| Glenn Sblendorio | 20,058,571 | 24,324 | 1,422,674 |
| Jonathan Silverstein | 19,965,667 | 117,228 | 1,422,674 |
| Klaus Veitinger | 19,968,861 | 114,034 | 1,422,674 |
| Daniel Welch | 20,056,151 | 26,744 | 1,422,674 |

Proposal 2. Advisory Vote on Executive Compensation. The stockholders approved, on a non-binding advisory basis, the executive compensation of the Company’s named executive officers as described in the Proxy Statement. The final voting results with respect to this Proposal were as follows: 19,965,345 votes for; 103,304 votes against; 14,245 votes abstaining; and 1,422,222 broker non-votes.

Proposal 3. Advisory Vote on Frequency of Future Executive Compensation Advisory Votes. The stockholders recommended, on a non-binding advisory basis, the option of “every year” with respect to the frequency of stockholder advisory votes on executive compensation. The final voting results with respect to this Proposal were as follows: 19,678,571 votes for the option of “every year”; 55,687 votes for the option of “every 2 years”; 341,247 votes for the option of “every 3 years”; 7,391 votes abstaining; and 1,422,674 broker non-votes.

Proposal 4. Ratification of Independent Registered Public Accounting Firm: The stockholders ratified the appointment of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2015. The final voting results with respect to this Proposal were as follows: 21,463,521 votes for; 24,119 votes against; and 17,929 votes abstaining.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

INTERCEPT PHARMACEUTICALS, INC.

Dated: December 1, 2015 /s/ Mark Pruzanski
Mark Pruzanski, M.D.

President and Chief Executive Officer