

NANOVIRICIDES, INC.

Form 3/A

September 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â VYAS MEETA

(Last)

(First)

(Middle)

C/O NANOVIRICIDES,
INC.,Â 135 WOOD STREET,
SUITE 205

(Street)

WEST HAVEN,Â CTÂ 06516

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/13/2013

3. Issuer Name **and** Ticker or Trading Symbol
NANOVIRICIDES, INC. [NNVC]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Financial Officer

5. If Amendment, Date Original
Filed(Month/Day/Year)

07/02/2013

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock, par value \$0.001 per share

423,570 ⁽¹⁾

D

Â

Common Stock, par value \$0.001 per share

16,000 ⁽¹⁾

I

Held by Connect Capital Partners,
LLC ⁽²⁾Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Warrants to purchase Common Stock	Â <u>(2)</u>	06/30/2014	Common Stock, par value \$0.001 per share	152,500 <u>(1)</u>	\$ 1	I	Held by Connect Capital Partners, LLC <u>(3)</u>
Warrants to purchase Common Stock	Â <u>(2)(3)</u>	06/30/2014	Common Stock, par value \$0.001 per share	75,000 <u>(1)</u>	\$ 1	I	Held by Connect Capital Partners, LLC <u>(3)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
VYAS MEETA C/O NANOVIRICIDES, INC. 135 WOOD STREET, SUITE 205 WEST HAVEN, CT 06516	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/ Meeta Vyas 09/05/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The original Form 3 filed on July 2, 2013, inadvertently omitted the shares owned by the Reporting Person directly. The number of
- (1) securities and exercise prices on this Form 3/A do not reflect the Issuer's reverse stock split on a 1 for 3.5 basis effective September 10, 2013 or the extension of the warrants in Table II to August 15, 2014.
- (2) The 16,000 shares of common stock are held in the name of Connect Capital Partners, LLC, of which Ms. Vyas holds voting and dispositive power.
- (3) The warrants are exercisable at \$1.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.