

Edgar Filing: NEWTEK BUSINESS SERVICES INC - Form NT 10-K

NEWTEK BUSINESS SERVICES INC
Form NT 10-K
March 31, 2006

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 12B-25

NOTIFICATION OF LATE FILING

COMMISSION FILE NUMBER 001-16123

(Check One) :

Form 10-K and Form 10-KSB Form 11-K Form N-SAR Form 10-D
 Form 10-Q and Form 10-QSB Form 20-F Form N-CSR

For Period Ended: DECEMBER 31, 2005

NOTHING IN THIS FORM SHALL BE CONSTRUED TO IMPLY THAT THE COMMISSION HAS VERIFIED ANY INFORMATION CONTAINED HEREIN.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates: _____

PART I
REGISTRANT INFORMATION

Full Name of Registrant: NEWTEK BUSINESS SERVICES, INC.

Former Name if Applicable: NEWTEK CAPITAL, INC.

Address of Principal Executive Office (Street Number): 462 Seventh Avenue,
14th floor,

City, State and Zip Code: New York, NY 10018

Federal ID Number: 11-3504630

PART II
RULE 12B-25(B) AND (C)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check appropriate box.)

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, Form 11-K, Form N-SAR or Form N-CSR or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q or subject

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Date: March 31, 2006

By: /s/ Barry Sloane

 Name: Barry Sloane
 Title: Chairman and Chief
 Executive Officer

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r-bottom-width: 1">1.Title of Security

(Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. Transaction Code

(Instr. 8)4. Securities Acquired (A) or Disposed of (D)

(Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)7. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeVAmount(A) or (D)Price Class A Common Stock11/04/2005 S 20,000 D \$ 24.85 236,252 I By Trusts Class
 A Common Stock11/04/2005 S 200 D \$ 24.9 236,052 I By Trusts Class A Common Stock11/04/2005 S 5,100 D \$
 24.91 230,952 I By Trusts Class A Common Stock11/04/2005 S 8,000 D \$ 24.92 222,952 I By Trusts Class A
 Common Stock11/04/2005 S 1,000 D \$ 24.95 221,952 I By Trusts Class A Common Stock11/04/2005 S 10,000 D
 \$ 25.03 211,952 I By Trusts Class A Common Stock11/04/2005 S 10,000 D \$ 25.07 201,952 I By Trusts Class A
 Common Stock11/04/2005 S 3,500 D \$ 25.15 198,452 I By Trusts Class A Common Stock11/04/2005 S 7,300 D
 \$ 25.25 191,152 I By Trusts Class A Common Stock11/04/2005 S 1,900 D \$ 25.26 189,252 I By Trusts Class A
 Common Stock11/04/2005 S 3,000 D \$ 25.27 186,252 I By Trusts Class A Common Stock 571,147 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
 information contained in this form are not
 required to respond unless the form
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SEC 1474
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LUMMIS FRED R 116 HUNTINGTON AVENUE BOSTON, MA 02116			X	

Signatures

/s/ Fred R.
Lummis

11/08/2005

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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