RYAN THOMAS F JR Form 4

May 17, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

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may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

C/O REPLIGEN

(Print or Type Responses)

1. Name and Address of Reporting Person * RYAN THOMAS F JR

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

REPLIGEN CORP [RGEN]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

05/16/2018

X_ Director 10% Owner Officer (give title Other (specify

CORPORATION, 41 SEYON STREET, BLDG 1, STE 100

(Zip)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

WALTHAM, MA 02453

| (City) | (State) | Table | e I - Noi | n-De | erivative S | ecuri | ties Ac | quired, Disposed (| of, or Beneficial | ly Owned |
|------------------------|--|------------------|-----------|---|----------------------|--------------|--|---------------------------|-----------------------|----------|
| 1.Title of Security | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if | | | 3. 4. Securities TransactionAcquired (A) or | | | 5. Amount of Securities | 6. Ownership Form: Direct | 7. Nature of Indirect | |
| (Instr. 3) | | any | Code | Disposed of (D) | | Beneficially | (D) or | Beneficial | | |
| | | (Month/Day/Year) | (Instr. | 8) | (Instr. 3, 4 and 5) | | Owned Indirect (I) Following (Instr. 4) Reported | | Ownership (Instr. 4) | |
| | | | | | | (A) | | Transaction(s) | | |
| | | | Code | V | Amount | or (D) | Price | (Instr. 3 and 4) | | |
| Common Stock | 05/15/2018 | | G | V | 900 | D | \$0 | 7,800 | D | |
| Common Stock | 05/16/2018 | | A | | 1,486 ₍₁₎ | A | \$0 | 9,286 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 42.07 | 05/16/2018 | | A | 3,304 | 05/16/2019(2) | 05/16/2028 | Common Stock | 3,304 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|---|---------------|-----------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| RYAN THOMAS F JR C/O REPLIGEN CORPORATION 41 SEYON STREET, BLDG 1, STE 100 WALTHAM, MA 02453 | X | | | | |

Signatures

/s/ Jeffrey P. Leduc (Attorney in Fact)

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Mr. Ryan was awarded 1,486 Restricted Stock Units. Each Restricted Stock Unit represents a contingent right to receive one share of Repligen Corporation's Common Stock. The Restricted Stock Units vest on May 16, 2019. The Restricted Stock Units may be settled only by delivering shares of Repligen Corporation's Common Stock, and thus, the grant is being reported in Table 1 as allowed per SEC guidance.
- (2) Award vests in full one year from grant date on May 16, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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