

PEOPLES BANCORP OF NORTH CAROLINA INC
 Form 5
 February 14, 2017

FORM 5

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB Number: 3235-0362
 Expires: January 31, 2005
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
 Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
ZACHARY BENJAMIN I

2. Issuer Name and Ticker or Trading Symbol
PEOPLES BANCORP OF NORTH CAROLINA INC [PEBK]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
 12/31/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

518 WEST C STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

NEWTON, NC 28658

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	01/29/2016	Â	L ⁽⁸⁾	157 A	\$ 19.2192	28,200.425 D	Â
Common Stock	02/16/2016	Â	L ⁽⁸⁾	126 A	\$ 18.7862	28,326.425 D	Â
Common Stock	02/24/2016	Â	L ⁽⁸⁾	104 A	\$ 18.7869	28,430.425 D	Â
Common Stock	03/18/2016	Â	L ⁽⁹⁾	71.4537 A	\$ 18.8	28,501.8787 D	Â
	05/02/2016	Â	L ⁽¹⁾	329 A		28,830.8787 D	Â

Edgar Filing: PEOPLES BANCORP OF NORTH CAROLINA INC - Form 5

Common Stock						\$	19.3496			
Common Stock	06/15/2016	Â	L ⁽⁸⁾	197	A	\$ 19.445	29,027.8787	D	Â	
Common Stock	06/20/2016	Â	L ⁽⁹⁾	86.3888	A	\$ 19.52	29,114.2675	D	Â	
Common Stock	07/28/2016	Â	L ⁽⁸⁾	266	A	\$ 20.6	29,380.2675	D	Â	
Common Stock	09/01/2016	Â	L ⁽⁸⁾	130	A	\$ 22.1399	29,510.2675	D	Â	
Common Stock	09/20/2016	Â	L ⁽⁹⁾	80.7477	A	\$ 20.99	29,591.0152	D	Â	
Common Stock	10/28/2016	Â	L ⁽⁸⁾	269	A	\$ 20.9899	29,860.0152	D	Â	
Common Stock	11/30/2016	Â	L ⁽⁸⁾	168	A	\$ 22.8999	30,028.0152	D	Â	
Common Stock	12/20/2016	Â	L ⁽⁹⁾	72.9384	A	\$ 23.35	30,100.9536	D	Â	
Common Stock	Â	Â	Â	Â	Â	Â	64,038	I	Â	Alexander Railroad Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. D	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units ⁽¹⁾	Â	03/22/2012 ⁽³⁾	Â	A	810 Â	03/22/2017	Â ⁽⁷⁾	Common Stock	810
	Â	05/23/2013 ⁽⁴⁾	Â	A	810 Â	05/23/2017	Â ⁽⁷⁾		810

