

TRUSTCO BANK CORP N Y
Form 3
December 24, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â OZIMEK MICHAEL M</p> <p>(Last) (First) (Middle)</p> <p>C/O TRUSTCO BANK CORP NY, Â 5 SARNOWSKI DRIVE</p> <p>(Street)</p> <p>GLENVILLE, Â NY Â 12302</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>12/16/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TRUSTCO BANK CORP N Y [TRST]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) CFO & Senior Vice President</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	6,771	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option: RT-TO-BUY	01/21/2005	01/21/2015	Common Stock	7,500	\$ 12.15	D	Â
Option: RT-TO-BUY	06/01/2007	06/01/2017	Common Stock	7,500	\$ 9.91	D	Â
Option: RT-TO-BUY	06/02/2008	06/02/2018	Common Stock	7,500	\$ 8.29	D	Â
Option: RT-TO-BUY	11/15/2011	11/15/2021	Common Stock	10,000	\$ 5.14	D	Â
Option: RT-TO-BUY	11/20/2012	11/20/2022	Common Stock	6,000	\$ 5.17	D	Â
Option: RT-TO-BUY	11/19/2013	11/19/2023	Common Stock	5,500	\$ 7.05	D	Â
Option: RT-TO-BUY	11/18/2014	11/18/2024	Common Stock	3,750	\$ 7.22	D	Â
Restricted Stock Unit	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	1,500	\$ 0	D	Â
Restricted Stock Unit	Â <u>(2)</u>	Â <u>(2)</u>	Common Stock	750	\$ 0	D	Â
Restricted Stock Unit	Â <u>(3)</u>	Â <u>(3)</u>	Common Stock	1,100	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OZIMEK MICHAEL M C/O TRUSTCO BANK CORP NY 5 SARNOWSKI DRIVE GLENVILLE, NY 12302	Â	Â	Â CFO & Senior Vice President	Â

Signatures

/s/ Michael M. Ozimek
12/24/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units "vest" in whole on November 20, 2015. Settlement of the restricted stock units will be made in cash only.
- (2) The restricted stock units "vest" in whole on November 19, 2016. Settlement of the restricted stock units will be made in cash only.

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(3) The restricted stock units "vest" in whole on November 18, 2017. Settlement of the restricted stock units will be made in cash only.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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