

Dermira, Inc.
 Form 3
 October 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | | |
|---|---------|----------|---|--|---|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | | 3. Issuer Name and Ticker or Trading Symbol | |
| WIGGANS THOMAS G | | | 10/02/2014 | | Dermira, Inc. [DERM] | |
| (Last) | (First) | (Middle) | | | | |
| C/O DERMIRA, INC., 2055 WOODSIDE ROAD | | | | | | |
| (Street) | | | | | | |
| REDWOOD CITY, CA 94061 | | | | | | |
| (City) | (State) | (Zip) | | | | |
| 1. Title of Security (Instr. 4) | | | 2. Amount of Securities Beneficially Owned (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | |
| Common Stock | | | 155,172 | | I | |
| Common Stock | | | 8,620 | | I | |
| Common Stock | | | 8,620 | | I | |
| | | | | | 4. Nature of Indirect Beneficial Ownership (Instr. 5) | |
| | | | | | By the Wiggans Living Trust dated 5/14/02 ⁽¹⁾ | |
| | | | | | By the Amanda Wiggans Irrevocable Gifting Trust dated 2/24/11 ⁽²⁾ | |
| | | | | | By the Elizabeth Wiggans Irrevocable Gifting Trust dated 2/24/11 ⁽²⁾ | |

(Check all applicable)

- Director
- 10% Owner
- Officer
- Other
- (give title below) (specify below)
- CEO & Chairman of the Board
- 6. Individual or Joint/Group Filing (Check Applicable Line)
- Form filed by One Reporting Person
- Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Series A Preferred Stock | Â (3) | Â (3) | Common Stock | 82,043 | \$ 0 (3) | I | Held by the Wiggans Living Trust dated 5/14/02 (1) |
| Series C Preferred Stock | Â (3) | Â (3) | Common Stock | 25,965 | \$ 0 (3) | I | Held by the Wiggans Living Trust dated 5/14/02 (1) |
| Employee Stock Option (right to buy) | Â (4) | 10/03/2021 | Common Stock | 253,642 | \$ 0.986 | D | Â |
| Employee Stock Option (right to buy) | Â (5) | 01/03/2023 | Common Stock | 184,349 | \$ 1.218 | D | Â |
| Employee Stock Option (right to buy) | Â (5) | 07/10/2023 | Common Stock | 98,430 | \$ 1.74 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WIGGANS THOMAS G C/O DERMIRA, INC. 2055 WOODSIDE ROAD REDWOOD CITY, Â CAÂ 94061 | Â X | Â | Â CEO & Chairman of the Board | Â |

Signatures

/s/ Christine Ring, by power of attorney
10/02/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person is a Co-Trustee.

(2) The Reporting Person has no voting or dispositive power over the shares held by the trust.

(3) Each share of Preferred Stock will be automatically converted into one (1) share of Common Stock, for no additional consideration, immediately prior to the consummation of the Issuer's initial public offering, and has no expiration date.

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- (4) The stock option vests and becomes exercisable in 48 equal monthly installments beginning one month after the vesting commencement date, until such time as the option is 100% vested, subject to the continuing employment of the Reporting Person on each vesting date.

- The stock option vests and becomes exercisable as to 25% of the shares subject to the option on the one-year anniversary of the vesting commencement date, and thereafter vests as to 1/48th of the shares in equal monthly installments, subject to the continuing employment of the Reporting Person on each vesting date.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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