

ASTRO MED INC /NEW/  
Form 4  
July 24, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ondis Albert W. III

(Last) (First) (Middle)

C/O ASTRO-MED, INC., 600 EAST GREENWICH AVENUE

(Street)

WEST WARWICK, RI 02893

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)  
07/22/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)                                 |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)<br>Code V Amount (D) Price                             |   |  |   |
| Common Stock                    | 07/22/2014                           |  | S <sup>(1)</sup>               | 100 D \$ 13.62  | 1,438,842   | I  | Held by the Estate of Albert W. Ondis of which the reporting person is a co-executor. |
| Common Stock                    | 07/22/2014                           |  | S <sup>(1)</sup>               | 100 D \$ 13.63  | 1,438,742   | I  | Held by the Estate of Albert W. Ondis of  |

|              |            |              |     |   |             |           |   |   |
|--------------|------------|--------------|-----|---|-------------|-----------|---|---|
| Common Stock | 07/22/2014 | <u>S</u> (1) | 300 | D | \$<br>13.64 | 1,438,442 | I | which the reporting person is a co-executor.<br><br>Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | 07/22/2014 | <u>S</u> (1) | 100 | D | \$<br>13.65 | 1,438,342 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.   |
| Common Stock | 07/22/2014 | <u>S</u> (1) | 100 | D | \$<br>13.66 | 1,438,242 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.   |
| Common Stock | 07/23/2014 | <u>S</u> (1) | 700 | D | \$<br>13.75 | 1,437,542 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.   |
| Common Stock | 07/23/2014 | <u>S</u> (1) | 100 | D | \$<br>13.76 | 1,437,442 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor.   |
| Common Stock |            |              |     |   |             | 3,858     | I | Held under the Issuer's Employee  |

|              |         |   |  |
|--------------|---------|---|--|
| Common Stock | 317     | I | Stock Ownership Plan for the account of Albert W. Ondis.<br><br>Held under the Issuer's Employee Stock Ownership Plan for the account of the reporting person. |
| Common Stock | 1,658   | I | Held in trust for a child of the reporting person for which the reporting person is a trustee.   |
| Common Stock | 122,097 | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares   |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Ondis Albert W. III<br>C/O ASTRO-MED, INC.<br>600 EAST GREENWICH AVENUE<br>WEST WARWICK, RI 02893 |               | X         |         |       |

## Signatures

|   |            |
|---|------------|
| Margaret D. Farrell (Attorney-in-fact for Albert W. Ondis, III) | 07/24/2014 |
| **Signature of Reporting Person                                 | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to the Rule 10b5-1 Trading Plan of the Estate of Albert W. Ondis of which reporting person is a co-executor.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.