Edgar Filing: Jin Yan - Form 4

Jin Yan

Form 4											
March 13, 2013	n							OMB AP	PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this bo if no longer subject to Section 16. Form 4 or	s box er 6. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					IIP OF		Estimated average burden hours per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Resp	oonses)										
Jin Yan Symbol			Iss				Relationship of Reporting Person(s) to ler				
(Last)	(First) (Middle)	TRI-CONTINENTAL CORP [TY] 3. Date of Earliest Transaction (Check					(Check	k all applicable)			
225 FRANKLIN STREET 03/12/2013				ear) I				Director 10% Owner Difficer (give titleX Other (specify below) Portfolio Manager of Issuer			
	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
							re than One Rep				
(City)	(State) (Zip)	Table I - I	Non-Deriva	ative Secu	rities Ac	quired, D	isposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	an	ecution Date, if	3. Transactio Code (Instr. 8)	oror Dispo		uired (A) 9)	5. Amount Securities Beneficiall Owned Following Reported Transaction	Ownersl y Form: Direct (l or Indire (I)	Beneficial D) Ownership ect (Instr. 4)		
Tri-Continental			Code V	Amount	or (D)	Price	(Instr. 3 an				
Corporation Common Stock	03/12/2013		Р	600	A ^{\$} 1	7.5645	1,200	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Jin Yan 225 FRANKLIN STREET BOSTON, MA 02110				Portfolio Manager of Issuer				
Signatures								
Joseph D'Alessandro, Power of Attorney	:	03/13/	2013					
**Signature of Reporting Person		Date	;					

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.