

ASTEC INDUSTRIES INC  
Form 4  
November 16, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FRIERSON DANIEL K

(Last) (First) (Middle)  
104 NOWLIN LANE, SUITE 101  
(Street)

CHATTANOOGA, TN 37421

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
ASTEC INDUSTRIES INC [ASTE]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/16/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/16/2009		M		256	A	\$ 24.063	4,378	D	
Common Stock	11/16/2009		M		237	A	\$ 25.5	4,615	D	
Common Stock	11/16/2009		M		243	A	\$ 24.875	4,858	D	
Common Stock	11/16/2009		M		246	A	\$ 17.29	5,104	D	
Common Stock	11/16/2009		M		229	A	\$ 18.579	5,333	D	
	11/16/2009		S		1,211	D		4,122	D	

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

Common Stock \$ 26.2103  
 Common Stock 3,918 <sup>(1)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options	\$ 24.063	11/16/2009		M	256	12/09/2000 12/09/2009	Common Stock	256
Stock Options	\$ 25.5	11/16/2009		M	237	03/06/2001 03/06/2010	Common Stock	237
Stock Options	\$ 24.875	11/16/2009		M	243	04/25/2001 04/25/2010	Common Stock	243
Stock Options	\$ 17.29	11/16/2009		M	246	03/13/2003 03/13/2012	Common Stock	246
Stock Options	\$ 18.579	11/16/2009		M	229	04/25/2003 04/25/2012	Common Stock	229
Stock Options	\$ 17.75					04/28/2005 04/28/2014	Common Stock	630
Common Stock	\$ 17.03					08/02/2005 08/02/2014	Common Stock	657

## Reporting Owners

Reporting Owner Name / Address

Relationships

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

Director   10% Owner   Officer   Other

FRIERSON DANIEL K  
104 NOWLIN LANE  
SUITE 101  
CHATTANOOGA, TN 37421

X

## Signatures

Robert Taylor, attorney in fact for Daniel K.

Frierson

11/16/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities consist of deferred stock units (DSU's) acquired under the issuer's 1998 Non-Employee Directors Incentive Plan. The

- (1) DSU's convert on a one-for-one basis into shares of the Issuer's common stock subsequent to the reporting person's termination of services as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.