FINK LAURENCE

Form 4

February 01, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires:

0.5

of

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

obligations

2005 Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

FINK LAURENCE

Symbol BlackRock Inc. [BLK]

(Check all applicable)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

01/31/2019

_X__ Director X_ Officer (give title

10% Owner Other (specify

BLACKROCK, INC., 55 EAST

(Street)

(First)

52ND STREET

(Last)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Chairman and CEO

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

NEW YORK, NY 10055

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Shares Of Common Stock (par Value \$0.01 Per Share)	01/31/2019		Code V	Amount 6,428	(D)	Price \$ 412.57 (1)	(Instr. 3 and 4) 1,039,461 (2)	D	
Shares Of Common Stock (par Value \$0.01 Per Share)	01/31/2019		S	2,620	D	\$ 413.19 (3)	1,036,841 (2)	D	

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Shares Of Common Stock (par Value \$0.01 Per Share)	01/31/2019	A	41,734 (<u>4)</u>	A	\$ 0	1,078,575 (2)	D
Shares Of Common Stock (par Value \$0.01 Per Share)	01/31/2019	F	28,070 (5)	D	\$ 408.33	1,050,505 (2)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
Troporting O Water Tumb (Trum 1000	Director	10% Owner	Officer	Other				
FINK LAURENCE								
BLACKROCK, INC.	X		Chairman and CEO					
55 EAST 52ND STREET	Λ							
NEW YORK, NY 10055								

Reporting Owners 2

Signatures

/s/ Daniel R. Waltcher as Attorney-in-Fact for Laurence Fink

02/01/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$412.00 to \$412.99. The price reported represents the weighted average sale price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.
- (2) Includes Common Stock as well as Restricted Stock and Restricted Stock Units that vest over a period of 1 to 3 years. Each Restricted Stock and Restricted Stock and Restricted Stock Unit are payable solely by delivery of an equal number of shares of Common Stock.
- This transaction was executed in multiple trades at prices ranging from \$413.01 to \$413.51. The price reported represents the weighted average sale price of these trades. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.
 - Reflects a 2016 BlackRock Performance Incentive Plan award value of \$12,284,834 converted to 41,486 Restricted Stock Units by dividing the award value by \$296.12, which was the average of the high and low price per share of Common Stock on January 19, 2016). The award was disclosed in the table on page 40 of BlackRock's 2016 Proxy Statement in the column headed "Long-Term
- (4) Incentive Award (BPIP)." As outlined in BlackRock's 2016 Proxy Statement, the award was subject to adjustment based on certain performance metrics approved by the Management Development and Compensation Committee at the time of the award. Based on the Company's performance, the Restricted Stock Units vesting represent 100.6% of original award.
- (5) Represents the withholding by BlackRock of common stock to satisfy tax obligation on the vesting of the reporting person's awards granted under the Second Amended and Restated BlackRock, Inc. 1999 Stock Award and Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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