Andrews Brian G Form 4 January 09, 2019

## FORM 4

Form 5

obligations

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction 1(b).

(Print or Type Responses)

2. Issuer Name and Ticker or Trading Andrews Brian G Issuer Symbol COOPER COMPANIES INC [COO] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director X\_ Officer (give title

6140 STONERIDGE MALL ROAD. **SUITE 590** 

1. Name and Address of Reporting Person \*

(Street) Filed(Month/Day/Year)

01/08/2019

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

below)

SVP, CFO & Treasurer

below)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

10% Owner

Other (specify

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

PLEASANTON, CA 94588

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code Amount (D) Price Common 01/08/2019 M 166 A \$0 1,814 D Stock Common 01/08/2019 F 87 (1) D \$0 D 1,727 Stock Common 01/08/2019 154 \$0 D M A 1,881 Stock Common 82 (1) 01/08/2019 F D \$0 1,799 D Stock Common 01/08/2019 M 190 \$0 1,989 D Α Stock

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Common Stock	01/08/2019	F	101 (1)	D	\$0	1,888	D	
Common Stock	01/08/2019	M	143	A	\$0	2,031	D	
Common Stock	01/08/2019	F	76 <u>(1)</u>	D	\$0	1,955	D	
Common Stock	01/08/2019	M	109	A	\$0	2,064	D	
Common Stock	01/08/2019	F	58 (1)	D	\$0	2,006	D	
Common Stock						283.25	I	Roth IRA
Common Stock						220.976	I	Traditional IRA
Common Stock						796.347	I	Rollover IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Day.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (2)	01/08/2019		M	166	(3)	<u>(4)</u>	Common Stock	166	\$ (
Restricted Stock Units	\$ 0 (2)	01/08/2019		M	154	(5)	<u>(4)</u>	Common Stock	154	\$ (

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Restricted Stock Units	\$ 0 (2)	01/08/2019	M	190	<u>(6)</u>	<u>(4)</u>	Common Stock	190	\$ 0
Restricted Stock Units	\$ 0 (2)	01/08/2019	M	143	<u>(7)</u>	<u>(4)</u>	Common Stock	143	\$ 0
Restricted Stock Units	\$ 0 (2)	01/08/2019	M	109	(8)	<u>(4)</u>	Common Stock	109	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 8	Director	10% Owner	Officer	Other		
Andrews Brian G 6140 STONERIDGE MALL ROAD, SUITE 590 PLEASANTON, CA 94588			SVP, CFO & Treasurer			

## **Signatures**

/s/ Brian G.
Andrews

\*\*Signature of Reporting Person

O1/09/2019

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1/8/2019 RSU Release: Shares withheld to cover applicable tax obligations. A FMV (closing price of the Company's common stock on 1/8/2019) of \$253.83 was used.
- (2) RSU Exercise Price: There is no exercise price associated with the grant of Restricted Stock Units.
- (3) Grant Date 12/11/13 RSU Vesting Date: The grant vests in equal portions on the following dates: 1/8/15, 1/8/16, 1/8/17, 1/8/18 and 1/8/19.
- (4) RSU Expiration Date: This award has no expiration date. Units will either vest or be forfeit.
- (5) 12/9/14 RSU Grant Vesting Date: The grant vests in equal portions on the following dates: 1/8/16, 1/8/17, 1/8/18, 1/8/19 and 1/8/20.
- (6) 12/9/15 RSU Grant Vesting Date: The grant vests in equal portions on the following dates: 1/8/17, 1/8/18, 1/8/19, 1/8/20 and 1/8/21.
- (7) 12/13/16 RSU Grant Vesting Date: The grant vests in equal portions on the following dates: 1/8/18, 1/8/19, 1/8/20, 1/8/21 and 1/8/22.
- (8) 12/12/17 RSU Grant Vesting Date: The grant vests in equal portions on the following dates: 1/8/19, 1/8/20, 1/8/21, 1/8/22 and 1/8/23.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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