Edgar Filing: Bradway Robert A - Form 4

Bradway Rol	bert A										
Form 4 May 01, 2018	0										
									OMB AI	PPROVAL	
FORM	4 UNITED	STATES			ND EXC D.C. 205		IGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or	k this box longer ct to on 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES					NERSHIP OF	Expires: January 31 2009 Estimated average burden hours per response 0.9				
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17	(a) of the		ility Hold	ling Com	pany	Act of	e Act of 1934, f 1935 or Section 40			
(Print or Type R	Responses)										
Bradway Robert A Symb			Symbol	2. Issuer Name and Ticker or Trading ymbol MGEN INC [AMGN]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) ((Middle)		Earliest Tr	-			(Chec	k all applicable	:)	
(Month/			(Month/D	Month/Day/Year) 4/27/2018				X Director 10% Owner X Officer (give title Other (specify below) below) Chairman, CEO and President			
			nendment, Date Original onth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person					
THOUSANI OAKS, CA								Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year) Execution any	med on Date, if Day/Year)	Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4 Amount	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common	04/07/0019			×	14,087	(D)		422 412 (2) (3)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

А

04/27/2018

Stock (2)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

0 433,413 (2) (3) D

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

(1)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou Numb Shares
Nqso (Right to Buy)	\$ 177.46	04/27/2018		А	108,444	04/27/2020(4)	04/27/2028	Common Stock	108,4

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Bradway Robert A ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799	Х		Chairman, CEO and President			
Signatures						
/s/ Robert A						

/s/ Robert A. Bradway	05/01/2018			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units (RSUs) were granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and vest in three annual installments of 33%, 33% and 34% on 4/27/2020, 4/27/2021 and 4/27/2022, respectively.

These shares include the following RSUs granted under the Company's equity plans: 4,556 RSUs which vest in one installment on 1/30/2019; 14,070 RSUs which vest in two equal installments of 4,643 on 5/3/2018 and 5/3/2019 and one installment of 4,784 on

(2) $\frac{175072019}{5/3/2020}$; and $\frac{14,760}{8}$ RSUs which vest in installments of $\frac{4,045}{8}$ on $\frac{5}{1/2019}$, $\frac{14,045}{8}$ on $\frac{5}{1/2020}$ and $\frac{5}{1/2020}$ and $\frac{5}{1/2021}$. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 1,542 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting person's unvested RSUs and are

- (3) Internet of that and subject to a quantying dividend reinvestment plan. Dis are created on the reporting person's divested Roos and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional share amount.
- (4) These non-qualified stock options are exercisable in three installments of 33%, 33% and 34% on 4/27/2020, 4/27/2021 and 4/27/2022, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.