Edgar Filing: Harper Sean E - Form 4

Harper Sean	ιE											
Form 4												
March 27, 2	018											
FORM	14								OMB AF	PROVAL		
	UNITE	D STATE:		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287		
Check th				NGES IN BENEFICIAL OWNERSHIP OF				Expires:	January 31,			
if no lon subject t		EMENT O	F CHAN					NERSHIP OF	Estimated a	2005		
Section				SECURITIES					burden hours per			
Form 4 c									response	. 0.5		
Form 5 obligatio							•	e Act of 1934,				
may con				•	•			f 1935 or Sectior	1			
See Instr		30(h)) of the Ir	vestment	Compar	ny Act	t of 194	10				
1(b).												
(Print or Type	Responses)											
(I fint of Type	(Kesponses)											
1. Name and A	Address of Reporti	ng Person *	2 Issue	r Name and	l Ticker or	Tradin	a	5. Relationship of	Reporting Pers	on(s) to		
Harper Sean E Symbol				Issuer Name and Ticker or Trading			Issuer					
•			•	N INC [A	MGN1							
				3. Date of Earliest Transaction				(Check all applicable)				
(Last)	(First)	(Middle)			ransaction			Director	10%	Owner		
ONE AMG	EN CENTER I	DRIVE		(Month/Day/Year) 03/23/2018				Director 10% Owner X_ Officer (give title Other (specify				
			0312312	010				below)	below)			
								EVP, Resea	arch & Develop	oment		
(Street) 4. If			4. If Ame	. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(M				d(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
THOUGAN								Form filed by M				
THOUSAN	91320-1799							Person				
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securi	ties Acq	uired, Disposed of	, or Beneficiall	ly Owned		
1.Title of	2. Transaction D	ate 2A. Deer	med	3.	4. Securi	ties Aco	quired	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Yea	n Date, if Transaction(A) or Disposed of (D)					Securities	Ownership	Indirect			
(Instr. 3)		Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8))	Beneficially Owned	Form: Direct					
						Following	(D) or Indirect (I)	Ownership (Instr. 4)				
						(•)		Reported	(Instr. 4)	(1115111-1)		
						(A) or		Transaction(s)				
				Code V	Amount		Price	(Instr. 3 and 4)				
Common	02/02/0010			Б	7 5 40	D	\$	50 212 (1) (2)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

03/23/2018

Stock

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

58,313 (1) (2) D

\$

176.08

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

7,549 D

Reporting Owners

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	Number	Expiration Da	ate	Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	T .4	or		
						Exercisable	Date		Number		
					(\mathbf{A}) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Harper Sean E ONE AMGEN CENTER DRIVE THOUSAND OAKS, CA 91320-1799			EVP, Research & Development					
Signatures								
/s/ Richard Benson, Attorney-in-Fact for Harper	r Dr.	03/	27/2018					
<u>**</u> Signature of Reporting Person			Date					
Explanation of Respon	ses:							

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares include the following Restricted Stock Units (RSUs) granted under the Company's equity plans: 1,340 RSUs which vest in one installment on 1/30/2019; 4,477 RSUs which vest in two equal installments of 1,477 on 5/3/2018 and 5/3/2019 and one installment of

(1) 1,523 on 5/3/2020; and 4,551 RSUs which vest in installments of 1,501 on 5/1/2019, 1,502 on 5/1/2029 and 1,548 on 5/1/2021. Vested RSUs will be paid in shares of the Company's common stock on a one-to-one basis.

These shares include 477 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Amended and Restated Equity Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting person's unvested RSUs and are paid out in

(2) shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional share amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.