#### **BUTLER HELEN RANKIN**

Form 5

February 14, 2018

**OMB APPROVAL** FORM 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: January 31, Expires: 2005

3235-0362

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

**OMB** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions

Reported

1. Name and Address BUTLER HELE	s of Reporting Person ** N RANKIN	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
		NACCO INDUSTRIES INC [NC]	(Check all applicable)			
(Last) (	First) (Middle)	3. Statement for Issuer's Fiscal Year Ended	(Chook an apphonene)			
		(Month/Day/Year)	Director 10% Owner			
		12/31/2017	Officer (give titleX Other (specify			
NACCO INDUS	TRIES,		below) below)			
INC., 5875 LA			Member of a Group			

DRIVE, STE. 220

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

### **MAYFIELD** HEIGHTS, OHÂ 44124

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State)	(Zip) Tak	ole I - Non-De	rivative So	ecurit	ies Acq	uired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	12/14/2017	Â	G	81	A	\$ 0 (1)	12,058	I	By RAII (2)
Class A Common Stock	12/14/2017	Â	G	163	A	\$ 0 (1)	7,634	I	By RAII/Spouse (3)
Class A Common	12/14/2017	Â	G	163	D	\$ 0 (1)	12,058	I	By RAII (2)

Stock									
Class A Common Stock	12/14/2017	Â	G	163	A	\$ 0 (1)	8,211	I	By RAII/Child 1
Class A Common Stock	12/14/2017	Â	G	163	A	\$ 0 (1)	8,367	I	By RAII/Child 2
Class A Common Stock	12/14/2017	Â	G	163	D	\$ 0 (1)	12,058	I	By RAII (2)
Class A Common Stock	Â	Â	Â	Â	Â	Â	4,083	I	By Trust-Child 1
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,927	I	By Trust-Child 2
Class A Common Stock	Â	Â	Â	Â	Â	Â	68,094	I	By Trust (6)
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,800	I	By Spouse/IRA
Class A Common Stock	Â	Â	Â	Â	Â	Â	63,638	I	By Spouse/Trust (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Prio Deriv Secur (Instr.
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
	Â	Â	Â	Â	Â	(1)	(1)		69,458	Â

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Class B Common Stock							Class A Common Stock
Class B Common Stock	\$ 0	Â	Â	Â	(1)	(1)	Class A Common 41,827 Stock
Class B Common Stock	Â	Â	Â	Â	(1)	(1)	Class A Common 9,195 Stock

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

BUTLER HELEN RANKIN NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OHÂ 44124

Â Â Member of a Group

## **Signatures**

/s/ Jesse L. Adkins, attorney-in-fact

02/14/2018

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.
- (3) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, L. P. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P... Reporting Person disclaims beneficial ownership of all such shares.
- (5) Held by Trust, John C. Butler, Jr., Trustee, for the benefit of Reporting Person's minor child. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Held by Trust for the benefit of Reporting Person.
- (7) Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (8) Reporting Person's spouse serves as Trustee of the J.C. Butler, Jr. Revocable Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (9) RAI-Represents Reporting Person's limited partnership interest in shares held by Rankin Associates I, L.P.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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