

TORO CO
Form 3
October 18, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * ^ HAMILTON BRADLEY A (Last) (First) (Middle)		2. Date of Event Requiring Statement (Month/Day/Year) 10/12/2016	3. Issuer Name and Ticker or Trading Symbol TORO CO [TTC]	
8111 LYNDAL AVE SOUTH (Street)			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ____ Director ____ 10% Owner <u> X </u> Officer ____ Other (give title below) (specify below) VP & GM, Commercial	5. If Amendment, Date Original Filed(Month/Day/Year)
BLOOMINGTON, MN 55420 (City) (State) (Zip)			6. Individual or Joint/Group Filing(Check Applicable Line) <u> X </u> Form filed by One Reporting Person ____ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	1,033.766	D	^
Common Stock	766.772	I	The Toro Company Investment, Savings & ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Non-Qualified Stock Option	Â <u>(1)</u>	12/03/2018	Common Stock	6,180	\$ 7.155	D	Â
Non-Qualified Stock Option	Â <u>(2)</u>	12/01/2019	Common Stock	6,060	\$ 10.1825	D	Â
Non-Qualified Stock Option	Â <u>(3)</u>	12/08/2020	Common Stock	3,340	\$ 15.88	D	Â
Non-Qualified Stock Option	Â <u>(4)</u>	12/08/2020	Common Stock	3,340	\$ 15.88	D	Â
Non-Qualified Stock Option	Â <u>(5)</u>	12/07/2021	Common Stock	3,480	\$ 14.1125	D	Â
Non-Qualified Stock Option	Â <u>(6)</u>	12/11/2022	Common Stock	2,600	\$ 21.03	D	Â
Non-Qualified Stock Option	Â <u>(7)</u>	12/06/2023	Common Stock	1,940	\$ 29.75	D	Â
Non-Qualified Stock Option	Â <u>(8)</u>	12/06/2023	Common Stock	1,940	\$ 29.75	D	Â
Non-Qualified Stock Option	Â <u>(9)</u>	12/05/2024	Common Stock	2,230	\$ 31.375	D	Â
Non-Qualified Stock Option	Â <u>(10)</u>	12/04/2025	Common Stock	10,800	\$ 38.82	D	Â
Restricted Stock Units	Â <u>(11)</u>	Â <u>(11)</u>	Common Stock	158.03	\$ <u>(12)</u>	D	Â
Restricted Stock Units	Â <u>(13)</u>	Â <u>(13)</u>	Common Stock	70.702	\$ <u>(12)</u>	D	Â
Restricted Stock Units	Â <u>(14)</u>	Â <u>(14)</u>	Common Stock	207.93	\$ <u>(12)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAMILTON BRADLEY A 8111 LYNDALE AVENUE SOUTH BLOOMINGTON, MN 55420	Â	Â	Â VP & GM, Commercial	Â

Signatures

/s/ Nancy A. McGrath,
Attorney-In-Fact

10/18/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 3, 2008.
- (2) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 1, 2009.
- (3) The option vested in full on the third anniversary of the date of grant, which was December 8, 2010.
- (4) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 8, 2010.
- (5) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 7, 2011.
- (6) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 11, 2012.
- (7) The option vests in full on the third anniversary of the date of grant, which was December 6, 2013.
- (8) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 6, 2013.
- (9) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 5, 2014.
- (10) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 4, 2015.
- (11) The restricted stock units vest and become non-forfeitable in three equal annual installments commencing on December 5, 2015, which is the first anniversary of the date of grant.
- (12) Each restricted stock unit represents a contingent right to receive one share of Toro common stock.
- (13) The restricted stock units vest and become non-forfeitable in three equal annual installments commencing on December 6, 2014, which was the first anniversary of the date of grant.
- (14) The restricted stock units vest and become non-forfeitable in full on December 6, 2016, which is the third anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.