Anthem, Inc. Form 3 June 03, 2016

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Anthem, Inc. [ANTM] Gallina John E (Month/Day/Year) 06/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 120 MONUMENT CIRCLE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person INDIANAPOLIS, ÂINÂ 46204 (give title below) (specify below) Form filed by More than One EVP & Chief Financial Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock  $17,241 \frac{(1)}{2}$ Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	(Instr. 5)	
			(Instr. 4)		Price of	Derivative		
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect		

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				Shares		(I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	03/01/2020	Common Stock	3,948	\$ 61.88	D	Â
Employee Stock Option (Right to Buy)	(3)	03/03/2021	Common Stock	6,466	\$ 89.44	D	Â
Employee Stock Option (Right to Buy)	(4)	03/01/2026	Common Stock	5,883	\$ 131.8	D	Â
Employee Stock Option (Right to Buy)	(5)	03/02/2025	Common Stock	5,775	\$ 146.93	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gallina John E 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204	Â	Â	EVP & Chief Financial	Â		

### **Signatures**

/s/ Kathleen S. Kiefer, Attorney in fact

06/03/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 5,377 restricted shares which vest on various dates between March 2, 2017 and March 1, 2019.
- The option, representing a right to purchase a total of 11,843 shares, became exercisable in one installment of 1,973 shares and five (2) semi-annual installments of 1,974 shares each beginning on September 1, 2013, which was the six-month anniversary of the date on which the option was granted.
- The option, representing a right to purchase a total of 9,698 shares, became exercisable in four semi-annual installments of 1,616 shares each and two semi-annual installments of 1,617 shares each beginning on September 3, 2014, which was the six-month anniversary of the date on which the option was granted.
- The option representing a right to purchase a total of 5,883 shares, is exercisable in three semi-annual installments of 980 shares each and (4) three semi-annual installments of 981 shares each, beginning on September 1, 2016, which is the six-month anniversary of the option grant date.
- The option representing a right to purchase a total of 5,775 shares, became exercisable in three semi-annual installments of 962 shares each and three semi-annual installments of 963 shares each, beginning on September 2, 2015, which was the six-month anniversary of the option grant date.

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#### Remarks:

### Exhibit List: Exhibit 24-Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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