Edgar Filing: FERRO CORP - Form 4

FERRO CO Form 4	RP										
June 11, 201	14										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287		
Check tl if no lon subject t Section Form 4	so STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							January 31, 2005 verage 's per 0.5		
Form 5 obligatio may con <i>See</i> Instr 1(b).	ons Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Killian Ann			2. issuer raine und riener of ridding				5. Relationship of Reporting Person(s) to Issuer				
(Last)					(Check all applicable)						
6060 PARKLAND BOULEVARD							Director 10% Owner _X Officer (give title Other (specify below) Vice President, Human Resource				
(Street)			Filed(Month/Day/Year) A				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
MAYFIEL	D HEIGHTS, OH	[44124				_	Form filed by Mo Person				
(City)	(State)	(Zip)	Fable I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, any	1			(D)	Securities Beneficially Owned Following	OwnershipIndirectForm:BenefitDirect (D)Owneror Indirect(Instr.	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Common Stock	06/10/2014		М	35,000	А	\$ 1.37	66,847	D			
Common Stock	06/10/2014		D	35,000	D	\$ 12.5826 (1)	31,847	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: FERRO CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	Secur Secur Acqu or Di (D)	rities hired (A) isposed of r. 3, 4,	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and 4	Securiti
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Stock Options (Right to Buy)	\$ 1.37	06/10/2014		М		35,000	02/25/2010	02/25/2019	Common Stock	3.
Performance Share Unit	\$ 0						(2)	12/31/2016	Common Stock	1
Performance Share Unit	\$ 0						(2)	12/31/2014	Common Stock	3
Performance Share Unit	\$ 0						(2)	12/31/2015	Common Stock	5
Phantom Shares (3)	<u>(3)</u>						(3)	(3)	Common Stock	19,3
Restricted Share Unit	\$ 0						02/20/2017	02/20/2017	Common Stock	e
Restricted Share Unit	\$ 0						02/23/2015	02/23/2015	Common Stock	1.
Restricted Share Unit	\$ 0						02/23/2016	02/23/2016	Common Stock	2
Stock Options (Right to Buy)	\$ 21.01						07/11/2006	07/11/2015	Common Stock	3
Stock Options (Right to Buy)	\$ 20.69						02/16/2007	02/16/2016	Common Stock	1
Stock Options (Right to Buy)	\$ 21.99						02/06/2008	02/06/2017	Common Stock	1
Stock Options (Right to	\$ 17.26						02/28/2009	02/28/2018	Common Stock	1

Buy)					
Stock Options (Right to Buy)	\$ 8.25	02/25/2011	02/25/2020	Common Stock	3
Stock Options (Right to Buy)	\$ 15.16	02/24/2012	02/24/2021	Common Stock	2
Stock Options (Right to Buy)	\$ 6.84	02/23/2013	02/23/2022	Common Stock	3
Stock Options (Right to Buy)	\$ 5.29	02/21/2014	02/21/2023	Common Stock	4
Stock Options (Right to Buy)	\$ 13.09	02/20/2015	02/20/2024	Common Stock	1

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Killian Ann 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			Vice President, Human Resource				
Signatures							
/s/ John T. Bingle, Treasurer, by Power of Attorney			06/11/2014				
**Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$12.53-\$12.67. The
 (1) reporting person hereby undertakes, upon request of the Commission, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.

Performance Share Units granted as a performance award, vesting based upon degree of acheivement of performance goal. At the end of
(2) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.

(3) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: FERRO CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.