Edgar Filing: ASHLAND INC. - Form 4

ASHLAND I Form 4												
January 03, 2	Л		GEGUD		G • 1			NCEO			PROVAL	
	• UNITED	STATES	OMMISSION	OMB Number:	3235-0287							
Check this if no long subject to Section 16 Form 4 or	er STATEN	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES								Expires: Estimated a burden hou response	urs per	
Form 5 obligation may conti <i>See</i> Instru- 1(b).	nue. Section 17(a	a) of the P	ublic Ut	ility H	Iold		ipany	Act of	e Act of 1934, 1935 or Section 0	n		
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> WILLIS J KEVIN			2. Issuer Name and Ticker or Trading Symbol ASHLAND INC. [ASH]						5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	Aiddle)	3. Date of Earliest Transaction					(Chec	k all applicable)		
			(Month/Day/Year) 12/31/2013						Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
				mendment, Date Original Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
COVINGTO	N, KY 41011								Person	lore than One Re	porung	
(City)	(State)	(Zip)	Tabl	e I - No	on-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/31/2013			S <u>(1)</u>	·	1,121	D	\$ 96.69	1,199	D		
Common Stock	12/31/2013			G	V	325	D	\$0	874	D		
Common Stock									14,114 (2)	I	401(k)	
Common Stock									887 <u>(3)</u>	Ι	LESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date	The	of		
				Code V	(Λ) (D)				Shares		
				Code v	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
WILLIS J KEVIN 50 E. RIVERCENTER BLVD. COVINGTON, KY 41011			Chief Financial Officer					
Signatures								
/s/ David A. Rines,								

Attorney-in-Fact 01/03/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 25, 2013.
- (2) Based on Employee Savings Plan information as of December 13, 2013, the latest date for which such information is reasonably available.
- (3) Shares accrued under Ashland's Leveraged Employee Stock Ownership Plan as of 12/13/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.