

AMERICAN AXLE & MANUFACTURING HOLDINGS INC
 Form 4
 December 04, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 SIMONTE MICHAEL K

2. Issuer Name and Ticker or Trading Symbol
 AMERICAN AXLE & MANUFACTURING HOLDINGS INC [AXL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
 Executive VP & CFO

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
 12/02/2013

ONE DAUCH DRIVE
 (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 ___ Form filed by More than One Reporting Person

DETROIT, MI 48211-1198
 (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) | Price | | |
| | | | | Code V | Amount | | |
| Common Stock | 12/02/2013 | | M | 12,500 | A \$ 10.08 | 141,703 | D |
| Common Stock | 12/02/2013 | | S | 12,229 | D \$ 19.47 | 129,474 | D |
| Common Stock | 12/02/2013 | | S | 9,614 | D \$ 19.48 | 119,860 | D |
| Common Stock | 12/02/2013 | | S | 607 | D \$ 19.49 | 119,253 | D |
| Common Stock | 12/02/2013 | | S | 379 | D \$ 19.57 | 118,874 | D |

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| | | | | | | | |
|--------------|------------|---|-------|---|----------|---------|---|
| Common Stock | 12/02/2013 | S | 3,400 | D | \$ 19.58 | 115,474 | D |
| Common Stock | 12/02/2013 | S | 1,021 | D | \$ 19.59 | 114,453 | D |
| Common Stock | 12/02/2013 | S | 200 | D | \$ 19.6 | 114,253 | D |
| Common Stock | 12/02/2013 | S | 973 | D | \$ 19.61 | 113,280 | D |
| Common Stock | 12/02/2013 | S | 2,700 | D | \$ 19.62 | 110,580 | D |
| Common Stock | 12/02/2013 | S | 1,200 | D | \$ 19.63 | 109,380 | D |
| Common Stock | 12/02/2013 | S | 2,627 | D | \$ 19.64 | 106,753 | D |
| Common Stock | 12/03/2013 | S | 100 | D | \$ 19.29 | 106,653 | D |
| Common Stock | 12/03/2013 | S | 900 | D | \$ 19.3 | 105,753 | D |
| Common Stock | 12/03/2013 | S | 900 | D | \$ 19.31 | 104,853 | D |
| Common Stock | 12/03/2013 | S | 500 | D | \$ 19.34 | 104,353 | D |
| Common Stock | 12/03/2013 | S | 4,400 | D | \$ 19.35 | 99,953 | D |
| Common Stock | 12/03/2013 | S | 2,600 | D | \$ 19.36 | 97,353 | D |
| Common Stock | 12/03/2013 | S | 4,200 | D | \$ 19.37 | 93,153 | D |
| Common Stock | 12/03/2013 | S | 300 | D | \$ 19.38 | 92,853 | D |
| Common Stock | 12/03/2013 | S | 100 | D | \$ 19.4 | 92,753 | D |
| Common Stock | 12/03/2013 | S | 2,988 | D | \$ 19.41 | 89,765 | D |
| Common Stock | 12/03/2013 | S | 2,012 | D | \$ 19.42 | 87,753 | D |
| Common Stock | 12/03/2013 | S | 100 | D | \$ 19.44 | 87,653 | D |
| Common Stock | 12/03/2013 | S | 3,200 | D | \$ 19.46 | 84,453 | D |
| | 12/03/2013 | S | 2,200 | D | | 82,253 | D |

Common Stock \$ 19.47

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Options (Right to Buy) | \$ 10.08 | 12/02/2013 | | M | 12,500 | <u>(1)</u> 06/25/2018 | Common Stock | 12,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--|---|
| SIMONTE MICHAEL K ONE DAUCH DRIVE DETROIT, MI 48211-1198 | Director 10% Owner Officer Executive VP & CFO Other |

Signatures

Laura L. Douglas,
Attorney-in-fact 12/04/2013

 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These options vested in three approximately equal annual installments beginning June 25, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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