Edgar Filing: NOVAK ROBERT K - Form 4

| NOVAK RC | DBERT K | | | | | | | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|---------------------------------------------------------|------------|------|------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|---------|--|
| Form 4 February 02, | 2012 | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB APPROVAL OMB 3235-0287 Number: | | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b). | ger 5 16. 5 5 5 5 5 5 5 5 5 5 5 5 5 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | Expires:January 31, 2005Estimated average burden hours per response0.5 | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| NOVAK ROBERT K Symb TEX | | | Symbol | AS INSTRUMENTS INC | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Mo | | | | nte of Earliest Transaction nth/Day/Year) 11/2012 | | | | Director 10% Owner XOfficer (give title Other (specify below) below) Sr. Vice President | | | |
| | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| DALLAS, 7 | ГХ 75243 | | | | | | | Person | ore than One Re | porung | |
| (City) | (State) | (Zip) | Tabl | e I - Non-I | Derivative | Secu | rities Acqu | iired, Disposed of | , or Beneficial | y Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | n Date, if | Code (Instr. 3, 4 and 5) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Comment | | | | Code V | Amount | | Price | (Instr. 3 and 4) | | | |
| Common Stock | 01/31/2012 | | | F <u>(1)</u> | 1,174 | D | \$ 32.18 | 117,178 | D | | |
| Common Stock | 01/31/2012 | | | S <u>(2)</u> | 3,010 | D | \$ 32.156 | 114,168 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Tit Amou Under Secur (Instr | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|--------------------------------------------|----------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|----------------------------------------------------------|---------------|-----------|--------------------|-------|--|--|--|--|
| reporting o wher runte, runteess | Director | 10% Owner | Officer | Other | | | | |
| NOVAK ROBERT K 12500 TI BOULEVARD DALLAS, TX 75243 | | | Sr. Vice President | | | | | |
| Signatures | | | | | | | | |
| /s/ Daniel M. Drory, Attorney In Fact | | 02/02/20 | 12 | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withholding of shares of common stock to satisfy tax withholding obligation (relating to vesting of a previously granted award).
- (2) The price in Table 1 is a weighted average sale price. The sales were at prices ranging from \$31.9950 to \$32.2750. The Issuer undertakes to provide upon request a detailed breakout of the sale prices and the number of shares sold at each price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.