### **WEINSWIG MARK**

Form 4

December 28, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WEINSWIG MARK

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

EMCORE CORP [EMKR]

(Check all applicable)

Chief Financial Officer

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

12/23/2011

Director X\_ Officer (give title

10% Owner Other (specify

C/O EMCORE

(City)

CORPORATION, 10420 RESEARCH ROAD, SE

> 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> > Applicable Line)

Filed(Month/Day/Year)

(Zip)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ALBUQUERQUE, NM 87123

(Street)

(State)

1.Title of	2. Transaction Date	2A. Deemed
Security	(Month/Day/Year)	Execution Date, if
(Instr. 3)		any
		(Month/Day/Year)

3.	4. Securities		
TransactionAcquired (A) or			
Code	Disposed of (D)		
(Instr. 8)	(Instr. 3, 4 and 5)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of		
ate, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect		
	Code	Disposed of (D)	Beneficially	(D) or	Beneficial		
Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership		
			Following	(Instr. 4)	(Instr. 4)		

D

Ι

Reported Transaction(s) (Instr. 3 and 4)

Price Code V Amount (D)

(A)

**Emcore** 

Common 12/23/2011 Stock

1,667 A (3)

 $110,322 \frac{(1)}{2}$ 

3,516 (2)

401K Plan

**Emcore** 

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

### Edgar Filing: WEINSWIG MARK - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit.	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr	. 3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m: 1	or		
						Exercisable	Date	Title	Number		
				G 1 W	(A) (B)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WEINSWIG MARK C/O EMCORE CORPORATION 10420 RESEARCH ROAD, SE ALBUQUERQUE, NM 87123

Chief Financial Officer

## **Signatures**

Alfredo Gomez, attorney-in-fact

12/28/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 50,000 restricted stock units granted under the Company's 2010 Equity Incentive Plan and vesting in three equal annual
- (1) installments beginning on August 22, 2012; and 48,000 restricted stock units vesting in four equal installments on February 14, 2012; December 6, 2012; December 6, 2013; and December 6, 2014.
- (2) Shares attributable to EMCORE Corporation 401(k) account as of September 30, 2011.
- (3) Shares were purchased under the Company's Officer and Director Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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