Edgar Filing: Gomez Alfredo - Form 4

Form 4	00										
March 07, 20)11										
FORM	$ 4 _{\text{UNITEDS}}$	TATES	SECUR	ITIFS A	ND FY('HA'	NCF (COMMISSION	r	PPROVAL	
Chaole thi		IALES		hington,					OMB Number:	3235-0287	
Check thi if no long		CHAN			CIAI			Expires:	January 31, 2005		
subject to Section 10 Form 4 or Form 5		F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange						Estimated a burden hou response	average		
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a) of the P	ublic Ut		ing Com	pany	Act of	f 1935 or Sectio	'n		
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Gomez Alfredo (Last) (First) (Middle)			2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			3. Date of Earliest Transaction								
(Last) (First) (Middle) 10420 RESEARCH ROAD SE			(Month/Day/Year) 03/04/2011					Director 10% Owner X Officer (give title Other (specify below) Gen. Counsel and Corp. Sec.			
				4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ALBUQUE	RQUE, NM 8712.	3						Person	More than One Re	porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transactic Code (Instr. 8) Code V	n(A) or Di (D) (Instr. 3, -	spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Emcore Common Stock	03/04/2011			P	165 <u>(1)</u>		\$ 3.03	50,373 <u>(2)</u>	D		
Emcore Common Stock								4,555 <u>(3)</u>	Ι	By Trust 401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Gomez Alfredo 10420 RESEARCH ROAD SE			Gen. Counsel and Corp. Sec.					
ALBUQUERQUE, NM 87123								
Signatures								

/s/ Alfredo 03/07/2011 Gomez

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were purchased under the Company's Director and Officer Share Purchase Plan in a transaction exempt from Section 16b pursuant to Rule 16b-3(d).
- (2) Includes 50,000 shares of restricted stock granted under the Company's 2010 Equity Incentive Plan, vesting in three equal annual installments beginning on January 28, 2012.
- (3) Shares attributable to Company 401(k) account as of December 31, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.