

BANTLE ROBERT B  
Form 5  
February 12, 2009

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
BANTLE ROBERT B

2. Issuer Name and Ticker or Trading Symbol  
TOMPKINS FINANCIAL CORP  
[TMP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2008

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Exec. Vice President

TOMPKINS FINANCIAL CORPORATION, P.O. BOX 460  
(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

ITHACA, NY 14851

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock - 401k/esop	12/31/2008	^	L	382 A \$ <sup>(1)</sup> 2,669		D	^
Common Stock	^	^	^	^ ^ ^ 322		D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 28.36	Â	Â	Â	Â (A) Â (D)	Â (2) 07/24/2011	Common Stock	6,655
Incentive Stock Option (Right to Buy)	\$ 32.23	Â	Â	Â	Â (A) Â (D)	Â (2) 09/30/2012	Common Stock	7,986
Incentive Stock Option (Right to Buy)	\$ 39.34	Â	Â	Â	Â (A) Â (D)	Â (2) 05/03/2014	Common Stock	5,986
Incentive Stock Option (Right to Buy)	\$ 42.39	Â	Â	Â	Â (A) Â (D)	Â (3) 01/23/2016	Common Stock	7,260
Incentive Stock Option (Right to Buy)	\$ 41	Â	Â	Â	Â (A) Â (D)	Â (3) 11/29/2017	Common Stock	3,748
Non-qualified Stock Option (Right to Buy)	\$ 39.34	Â	Â	Â	Â (A) Â (D)	Â (2) 05/03/2014	Common Stock	4,903
Non-qualified Stock Option (Right to Buy)	\$ 42.39	Â	Â	Â	Â (A) Â (D)	Â (3) 01/23/2016	Common Stock	3,740
Non-qualified Stock Option (Right to Buy)	\$ 41	Â	Â	Â	Â (A) Â (D)	Â (3) 11/29/2017	Common Stock	6,252

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director    10% Owner    Officer    Other

BANTLE ROBERT B  
TOMPKINS FINANCIAL CORPORATION  
P.O. BOX 460  
ITHACA, NY 14851

^

^

^ Exec.  
Vice ^  
President

## Signatures

/s/ Robert B.  
Bantle

02/11/2009

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired through payroll deferral and reinvestment of quarterly cash dividends.
  - (2) The stock option becomes exercisable in four installments commencing two years after the grant date.
  - (3) The stock option becomes exercisable in six annual installments commencing two years after the date of the grant.

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