

NACCO INDUSTRIES INC

Form 4

June 26, 2006

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
300**

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)

03/24/2006

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☒ Other (specify
below)

CEO / Group Member

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price		
Class A Common Stock						32,800	I	By Trust 3 (Grandchildren) <u>(11)</u>
Class A Common Stock						2,000	I	By Trust 4 (Charities) <u>(12)</u>
Class A Common Stock						26,608	I	By Trust 2 (SR) <u>(13)</u>
Class A						30,000	I	By Trust

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Common Stock										(Unitrust) ⁽¹⁴⁾
Class A Common Stock							14,000	I		By IRA ⁽¹⁵⁾
Class A Common Stock							1,975	I		By RMI (Delaware) ⁽¹⁶⁾
Class A Common Stock							114,102	I		By Trust (AMR) ⁽¹⁷⁾
Class A Common Stock							2,116	I		By Assoc II/Spouse ⁽¹⁸⁾
Class A Common Stock							6	I		By GP ⁽¹⁹⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	31	A	\$ 0 2,657	I		By RA4 ⁽¹⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	158	D	\$ 0 78,952	I		By RA4 (CLT) ⁽³⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	7,307	D	\$ 0 28,864	I		By Assoc II ⁽⁴⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	1,771	D	\$ 0 12,229	I		By Trust (CLTR) ⁽⁵⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	77	A	\$ 0 20,361	I		By Spouse/Trust ⁽⁶⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	1,200	D	\$ 0 64,817	I		By Trust (Assoc II-BTR) ⁽⁷⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	31	A	\$ 0 15,665	I		By RA4 (BTR) ⁽⁸⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	360	A	\$ 0 5,654	I		By Assoc II/Daughter 1 ⁽⁹⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	360	A	\$ 0 5,654	I		By Assoc II/Daughter 2 ⁽⁹⁾

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Class A Common Stock	03/24/2006	03/24/2006	G	V	77	A	\$ 0	38,517	I	By Trust/Daughter 1 ⁽¹⁰⁾
Class A Common Stock	03/24/2006	03/24/2006	G	V	77	A	\$ 0	38,517	I	By Trust/Daughter 2 ⁽¹⁰⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	\$ 0 ⁽²⁾					<u>(2)</u>	<u>(2)</u>	Class A Common Stock	113,198	
Class B Common Stock	\$ 0 ⁽²⁾					<u>(2)</u>	<u>(2)</u>	Class A Common Stock	126,507	
Class B Common Stock	\$ 0 ⁽²⁾					<u>(2)</u>	<u>(2)</u>	Class A Common Stock	46,052	
Class B Common Stock	\$ 0 ⁽²⁾					<u>(2)</u>	<u>(2)</u>	Class A Common Stock	106,923	
Class B Common Stock	\$ 0 ⁽²⁾					<u>(2)</u>	<u>(2)</u>	Class A Common Stock	7,000	
Class B Common	\$ 0 ⁽²⁾	03/24/2006	03/24/2006	G	V 89	<u>(2)</u>	<u>(2)</u>	Class A Common	89	\$

Stock

Class B

Common \$ 0 ⁽²⁾

Stock

Class B

Common \$ 0 ⁽²⁾

Stock

Class B

Common \$ 0 ⁽²⁾

Stock

Stock

Class A

Common 19

Stock

Class A

Common 442

Stock

Class A

Common 89

Stock

Reporting Owners

Relationships

Reporting Owner Name / Address

Director 10% Owner Officer Other

RANKIN ALFRED M ET AL
NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 300
CLEVELAND, OH 44124

X

CEO Group Member

Signatures

/s/Constantine E. Tsipis, attorney-in-fact for Alfred M.
Rankin, Jr.

06/26/2006

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (2) N/A
- (3) (CTR RAIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Clara Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (4) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.
- (5) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (6) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Represents the proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (8) (BTR RAIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Represents the Reporting Person's daughter's proportionate limited partnership interest in shares held by Rankin Associate II, L.P., which is held in a trust for the benefit of the daughter. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims

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beneficial ownership of all such shares.

- (10) Reporting Person serves as Trustee for a Trust held for the Benefit of Reporting Person's daughter. Reporting Person disclaims beneficial ownership of all such shares.
- (11) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (13) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (14) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (16) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (17) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (18) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. .. Reporting Person disclaims beneficial ownership of all such shares.
- (19) (GP)Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (20) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (21) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.
- (22) Represents the proportionate limited partnership interest in shares held by Rankin Associates I, L.P., which is held in a trust for the benefit of Clara T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (23) Reporting Person serves as Trustee of a Trust for the benefit of the Clara L.T. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (24) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.

Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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