

WARREN ROBERT C JR  
Form 4  
January 09, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WARREN ROBERT C JR**

2. Issuer Name and Ticker or Trading Symbol  
**CASCADE CORP [CAE]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**2201 NE 201ST AVENUE**

3. Date of Earliest Transaction (Month/Day/Year)  
**01/05/2006**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

**President and CEO**

(Street)  
**FAIRVIEW, OR 97024**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                       |   |  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-----------------------|---|--|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |                       |   |  |
| Common Stock                    | 01/05/2006                           |  | M                              |   | 4,545   | A  | \$ 14.3   | 58,755                | D |  |
| Common Stock                    | 01/05/2006                           |  | M                              |   | 5,318   | A  | \$ 17.6   | 64,073                | D |  |
| Common Stock                    | 01/05/2006                           |  | M                              |   | 6,667   | A  | \$ 16.775   | 70,740                | D |  |
| Common Stock                    | 01/05/2006                           |  | M                              |   | 46,500  | A  | \$ 18.0125  | 117,240               | D |  |
| Common Stock                    | 01/05/2006                           |  | F                              |   | 22,157  | D  | \$ 50   | 95,083 <sup>(5)</sup> | D |  |

Edgar Filing: WARREN ROBERT C JR - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Employee Stock Option (right to buy)       | \$ 14.3  | 01/05/2006                           |  | M                              | 4,545   | 05/13/1999 <sup>(1)</sup> 05/13/2009                     | Common Stock  | 4,545                      |
| Employee Stock Option (right to buy)       | \$ 17.6  | 01/05/2006                           |  | M                              | 5,318   | 05/14/1996 <sup>(2)</sup> 05/14/2006                     | Common Stock  | 5,318                      |
| Employee Stock Option (right to buy)       | \$ 16.775  | 01/05/2006                           |  | M                              | 6,667   | 05/13/1997 <sup>(3)</sup> 05/13/2007                     | Common Stock  | 6,667                      |
| Employee Stock Option (right to buy)       | \$ 18.0125   | 01/05/2006                           |  | M                              | 46,500  | 05/14/1998 <sup>(4)</sup> 05/14/2008                     | Common Stock  | 46,500                     |

## Reporting Owners

| Reporting Owner Name / Address             | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| WARREN ROBERT C JR<br>2201 NE 201ST AVENUE |               |           | President and CEO |       |

FAIRVIEW, OR 97024

## Signatures

Robert C.  
Warren, Jr.

01/09/2006

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option was granted for 4,545 shares on May 13, 1999 and became exercisable for 25% of the shares on each of the first four anniversaries.
- (2) The option was granted for 5,318 shares on May 14, 1996 and became exercisable for 25% of the shares on each of the first four anniversaries.
- (3) The option was granted for 6,667 shares on May 13, 1997 and became exercisable for 25% of the shares on each of the first four anniversaries.
- (4) The option was granted for 46,500 shares on May 14, 1998 and became exercisable for 25% of the shares on each of the first four anniversaries.

- Mr. Warren also holds indirectly the following shares: 1) 35,499 shares - held by 401(k) plan; 2) 500 shares - held by his spouse; 3) 20,280 shares - Mr. Warren is trustee of a trust for each of his three daughters - each trust holds 6,760 shares; 4) 827,896 shares - held by
- (5) The Robert C. and Nani S. Warren Revocable Trust. Mr. Warren is a co-trustee of the trust and has sole voting and shared investment power.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.