

Edgar Filing: SMITH DENNIS H - Form 4

SMITH DENNIS H  
 Form 4  
 November 09, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

FORM 4  
 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[ ] Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.

1. Name and Address of Reporting Person(s)  
 Smith, Dennis H.  
 2140 Lake Park Blvd.  
 Richardson, Texas 75080-2254
2. Issuer Name and Ticker or Trading Symbol  
 Lennox International Inc. (LII)
3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)
4. Statement for Month/Year  
 10/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 President, Service Experts Inc
7. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1) Title of Security                        | 2) Trans-<br>action<br>Date<br>(Month/<br>Day/Year) | 3) Trans-<br>action<br>Code<br>Code V | 4) Securities Acquired (A)<br>or Disposed of (D)<br>A<br>or<br>D Price<br>Amount | 5) Amount of<br>Securities<br>Beneficially<br>Owned at<br>End of Month | 6. Fo<br>(D<br>In<br>(I |
|---|---|---------------------------------------|--|--|-------------------------|
| Common Stock, par value<br>\$0.01 per share | 10/30/01  | P                                     | 5,400  | A \$8.6500 5,400   | Di                      |

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Table II (PART 1) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative<br>Security | 2) Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3) Trans-<br>action<br>Date | 4) Trans-<br>action<br>Code<br>Code V | 5) Number of Derivative<br>Securities Acquired (A)<br>or Disposed of (D)<br>A D |
|------------------------------------|--|-----------------------------|---------------------------------------|---|
| Non-Qualified Stock Option         | \$8.8400   | 10/24/01                    | A V                                   | 40,000.00   |

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(right to buy)

Table II (PART 2) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

| 1) Title of Derivative Security           | 3) Transaction Date | 7) Title and Amount of Underlying Securities | Amount or Number of Shares | 8) Price of Derivative Security | 9) Number of Derivative Securities Beneficially Owned |
|---|---------------------|--|----------------------------|---------------------------------|---|
| Non-Qualified Stock Option (right to buy) | 10/24/01            | Common Stock, \$0.01 par value per share     | 40,000.00                  |                                 | 40,000  |

SIGNATURE OF REPORTING PERSON  
 /S/ By: Carl E. Edwards, Jr.  
 For: Dennis H. Smith  
 DATE 11/08/01