

ILLUMINA INC
Form 4
January 08, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
WALT DAVID R

(Last) (First) (Middle)

5200 ILLUMINA WAY

(Street)

SAN DIEGO, CA 92122

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ILLUMINA INC [ILMN]

3. Date of Earliest Transaction
(Month/Day/Year)
01/06/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/06/2015		M	Amount (1) 4,000	A \$ 6.225 860,930	D	
Common Stock	01/06/2015		S	Amount (1) 1,100	\$ 186.5695 859,830	D	
Common Stock	01/06/2015		S	Amount (1) 1,100	\$ 187.8668 858,730	D	
Common Stock	01/06/2015		S	Amount (1) 700	\$ 189.0786 858,030	D	

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Common Stock	01/06/2015	S	600 <u>(1)</u>	D	\$ 190.3333 <u>(5)</u>	857,430	D	
Common Stock	01/06/2015	S	500 <u>(1)</u>	D	\$ 191.61 <u>(6)</u>	856,930	D	
Common Stock	01/06/2015	S	500 <u>(1)</u>	D	\$ 186.574 <u>(7)</u>	78,460	I	By Spouse
Common Stock	01/06/2015	S	500 <u>(1)</u>	D	\$ 187.7205 <u>(8)</u>	77,960	I	By Spouse
Common Stock	01/06/2015	S	400 <u>(1)</u>	D	\$ 188.8763 <u>(9)</u>	77,560	I	By Spouse
Common Stock	01/06/2015	S	300 <u>(1)</u>	D	\$ 189.9 <u>(10)</u>	77,260	I	By Spouse
Common Stock	01/06/2015	S	150 <u>(1)</u>	D	\$ 190.84 <u>(11)</u>	77,110	I	By Spouse
Common Stock	01/06/2015	S	150 <u>(1)</u>	D	\$ 191.58 <u>(12)</u>	76,960	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Date Exercisable	9. Expiration Date	10. Title	11. Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 6.225	01/06/2015		M	4,000 <u>(1)</u>	06/07/2006 06/28/2015	Common Stock				4,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
WALT DAVID R 5200 ILLUMINA WAY SAN DIEGO, CA 92122	X

Signatures

Scott M. Davies for David
R. Walt

01/08/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The transaction was made pursuant to a 10b5-1 plan.
- (2) Weighted average sale price representing 1,100 shares sold ranging from \$186.31 to \$186.88 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 1,100 shares sold ranging from \$187.42 to \$188.38 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 700 shares sold ranging from \$188.75 to \$189.48 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 600 shares sold ranging from \$189.81 to \$190.66 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Weighted average sale price representing 500 shares sold ranging from \$191.35 to \$192.17 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 500 shares sold ranging from \$186.28 to \$186.88 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (8) Weighted average sale price representing 500 shares sold ranging from \$187.415 to \$187.90 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (9) Weighted average sale price representing 400 shares sold ranging from \$188.425 to \$189.29 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (10) Weighted average sale price representing 300 shares sold ranging from \$189.47 to \$190.33 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (11) Weighted average sale price representing 150 shares sold ranging from \$190.51 to \$191.50 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (12) Weighted average sale price representing 150 shares sold ranging from \$191.52 to \$191.61 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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