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3M CO Form 8-K October 23, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT PURSUANT

TO SECTION 13 OR 15(D) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): October 23, 2018

3M COMPANY

(Exact Name of Registrant as Specified in Its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

File No. 1-3285 (Commission File Number)

41-0417775 (IRS Employer Identification No.)

3M Center, St. Paul, Minnesota (Address of Principal Executive Offices)

55144-1000 (Zip Code)

(651) 733-1110

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(Registrant s Telephone Number, Including Area Code)

(Former Name or Former Address, if Changed Since Last Report)

| Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (<i>see</i> General Instruction A.2. below): | | | |
|--|--|--|--|
| o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) | | | |
| o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) | | | |
| o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) | | | |
| o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) | | | |
| Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). | | | |
| Emerging growth company O | | | |
| If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. O | | | |
| | | | |

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| Item 2.02. Results of Operations and Financial Condition | | | | |
|---|--|---|--|--|
| On October 23, 2018, 3M Company issued a press release reporting third-quarter 2018 financial results and updating full-year 2018 expectations (attached hereunder as Exhibit 99 and incorporated herein by reference). | | | | |
| Item 9.01. Financial Statements and Exhibits | | | | |
| (d) Exhibits | | | | |
| Exhibit Number 99 | Description Press Release, dated as of October 23, 201 | 8, of 3M Company (furnished pursuant to Item 2.02 hereof) | | |
| SIGNATURE | | | | |
| Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized. | | | | |
| | 3M COM | MPANY | | |
| | Ву: | /s/ Gregg M. Larson Gregg M. Larson, Deputy General Counsel and Secretary | | |
| Dated: October 23, 201 | 8 | | | |