## Edgar Filing: Henderson Jane - Form 4

| Henderson<br>Form 4  | Jane                     |  |                      |            |      |  |   |                                    |  |  |   |  |  |
|--|--------------------------|--|----------------------|------------|------|--|---|------------------------------------|--|--|---|--|--|
| July 11, 20  | )18                      |  |                      |            |      |  |   |                                    |  |  |   |  |  |
| FOR  |                          | OT A TES   | SECU                 | DITIE      |      | ND EV  |   | NCE                                |  | NT.  | PPROVAL   |  |  |
| Washington, D.C. 20549   |                          |  |                      |            |      |  |   |                                    |  | Number:  | 3235-0287   |  |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>Filed pursuant to Section 16(a) of the Securities Exchange Act |                          |  |                      |            |      |  |   | Estimated<br>burden ho<br>response | urs per  |  |   |  |  |
|  | tions                    | (a) of the I   | Public U             | Jtility H  | Iolo |  | mpan  | y Act                              | of 1935 or Secti   |  |   |  |  |
| (Print or Typ  | e Responses)             |  |                      |            |      |  |   |                                    |  |  |   |  |  |
| 1. Name and<br>Henderson   | Person <u>*</u>          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Ophthotech Corp. [OPHT] |                      |            |      | ing  | 5. Relationship of Reporting Person(s) to Issuer  |                                    |  |  |   |  |  |
| (Last)   | (First) (                | Middle)  | •                    |            | -    | -  | -   |                                    | (Check all applicable)   |  |   |  |  |
| (Last)<br>C/O OPH<br>CORPOR  |                          | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>05/23/2018                |                      |            |      |  | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)  |                                    |  |  |   |  |  |
|  | 35TH FLOOR               | 111  |                      |            |      |  |   |                                    |  |  |   |  |  |
|  |                          | 4. If Amendment, Date Original Filed(Month/Day/Year)                             |                      |            |      |  | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul> |                                    |  |  |   |  |  |
| NEW YO   | RK, NY 10119             |  |                      |            |      |  |   |                                    |  | More than One F  |   |  |  |
| (City)   | (State)                  | (Zip)  | Tal                  | ole I - No | n-D  | Derivative   | e Secu  | rities A                           | Acquired, Disposed   | of, or Beneficia   | ally Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deem<br>Execution<br>any<br>(Month/D                                  |                          |  | Date, if Transaction |            |      | 4. Securities<br>hAcquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>(A)<br>or |   | )                                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |                          |  |                      | Code       | V    | Amount   | (D)   | Price                              | (IIIsti: 5 and 4)  |  |   |  |  |
| Reminder: R  | eport on a separate line | e for each cla   | ass of sec           | urities be | enef | -  |   | -                                  | -  | ation of   | SEC 1474  |  |  |
|  |                          |  |                      |            |      | inforı<br>requi  | matio<br>red to<br>ays a  | n cont<br>o resp                   | spond to the colle<br>tained in this forn<br>ond unless the fo<br>ntly valid OMB co                                | n are not<br>rm  | (9-02)  |  |  |
|  | Tab                      |  |                      |            |      |  |   |                                    | Beneficially Owner<br>securities)  | d  |   |  |  |
| 1 101  | о от                     |  | 0.4 D                |            |      |  | ~ ~   |                                    |  |  | <b>5 5 1 1 1</b>  |  |  |

| 1. Title of | 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|------------|---------------------|--------------------|------------|--------------|-------------------------|------------------------|---|
| Derivative  | Conversion | (Month/Day/Year)    | Execution Date, if | Transactio | orDerivative | Expiration Date         | Underlying Securities  | D |

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| 2  | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                     |  |  |  |
|--|-------------------------|--------------------|--|---------------------|--------------------|------------------|-------------------------------------|--|--|--|
|  |                         | Code V             | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |  |  |  |
| Stock<br>Option<br>(Right to<br>Buy)   |                         | A                  | 16,000   | <u>(1)</u>          | 05/22/2028         | Common<br>Stock  | 16,000                              |  |  |  |
| Reporting Owners   |                         |                    |  |                     |                    |                  |                                     |  |  |  |
| Reporting Owner Name / Address   | Relationships           |                    |  |                     |                    |                  |                                     |  |  |  |
|  | Director 10%            | Owner C            | Officer Other  |                     |                    |                  |                                     |  |  |  |
| Henderson Jane<br>C/O OPHTHOTECH CORPORATION<br>ONE PENN PLAZA, 35TH FLOOR<br>NEW YORK, NY 10119 | Х                       |                    |  |                     |                    |                  |                                     |  |  |  |
| Signatures   |                         |                    |  |                     |                    |                  |                                     |  |  |  |
| /s/ David F. Carroll, as Attorney-in-Fac<br>Henderson  | t for Jane P.           |                    | 07/11/20   | 18                  |                    |                  |                                     |  |  |  |
| **Signature of Reporting Perso   | n                       |                    | Date   |                     |                    |                  |                                     |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Subject to Reporting Person providing continued services to the Issuer and other terms and conditions under the Registrant's 2013 Stock Incentive Plan, the option will vest and become exercisable in 12 consecutive, equal installments on each consecutive monthly

(1) Internet of the date of grant, until fully vested and exercisable in 12 consecutive, equal instantients on each consecutive monthly anniversary of the date of grant, until fully vested and exercisable on the first anniversary of the date of grant. Any unvested shares subject to the option will be accelerated in full one business day prior to the Issuer's 2019 annual meeting of stockholders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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