

TRI-CONTINENTAL CORP
Form N-PX
August 25, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM N-PX

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT
COMPANY**

| | |
|--|---|
| Investment Company Act File Number: | 811-00266 |
| Name of Registrant: | Tri-Continental Corporation |
| Address of Principal Executive Offices: | 225 Franklin Street Boston, Massachusetts 02110 |
| Name and address of agent of service: | Christopher O. Petersen, Esq. c/o Columbia Management Investment Advisers, LLC 225 Franklin Street Boston, Massachusetts 02110 |
| Registrant's telephone number including area code: | 612-671-4321 |
| Date of fiscal year end: | 12/31 |
| Date of reporting period: | 07/01/2015 06/30/2016 |

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***** FORM N-Px REPORT *****

ICA File Number: 811-00266

Reporting Period: 07/01/2015 - 06/30/2016

Tri-Continental Corporation

Item 1. Proxy Voting Record

===== Tri-Continental Corporation =====

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100

Meeting Date: APR 29, 2016 Meeting Type: Annual

Record Date: MAR 02, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Robert J. Alpern | For | For | Management |
| 1.2 | Elect Director Roxanne S. Austin | For | For | Management |
| 1.3 | Elect Director Sally E. Blount | For | For | Management |

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| | | | | |
|------|--------------------------------------|-----|-----|------------|
| 1.4 | Elect Director W. James Farrell | For | For | Management |
| 1.5 | Elect Director Edward M. Liddy | For | For | Management |
| 1.6 | Elect Director Nancy McKinstry | For | For | Management |
| 1.7 | Elect Director Phebe N. Novakovic | For | For | Management |
| 1.8 | Elect Director William A. Osborn | For | For | Management |
| 1.9 | Elect Director Samuel C. Scott, III | For | For | Management |
| 1.10 | Elect Director Glenn F. Tilton | For | For | Management |
| 1.11 | Elect Director Miles D. White | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |
| | Executive Officers' Compensation | | | |

AFLAC INCORPORATED

Ticker: AFL Security ID: 001055102

Meeting Date: MAY 02, 2016 Meeting Type: Annual

Record Date: FEB 24, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Daniel P. Amos | For | For | Management |
| 1b | Elect Director Paul S. Amos, II | For | For | Management |
| 1c | Elect Director W. Paul Bowers | For | For | Management |
| 1d | Elect Director Kriss Cloninger, III | For | For | Management |
| 1e | Elect Director Toshihiko Fukuzawa | For | For | Management |
| 1f | Elect Director Elizabeth J. Hudson | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1g | Elect Director Douglas W. Johnson | For | For | Management |
| 1h | Elect Director Robert B. Johnson | For | For | Management |
| 1i | Elect Director Thomas J. Kenny | For | For | Management |
| 1j | Elect Director Charles B. Knapp | For | For | Management |
| 1k | Elect Director Joseph L. Moskowitz | For | For | Management |
| 1l | Elect Director Barbara K. Rimer | For | For | Management |
| 1m | Elect Director Melvin T. Stith | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |

AGILENT TECHNOLOGIES, INC.

Ticker: A Security ID: 00846U101

Meeting Date: MAR 16, 2016 Meeting Type: Annual

Record Date: JAN 19, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Paul N. Clark | For | Against | Management |
| 1.2 | Elect Director James G. Cullen | For | For | Management |
| 1.3 | Elect Director Tadataka Yamada | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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4 Declassify the Board of Directors For For Management

ALEXANDRIA REAL ESTATE EQUITIES, INC.

Ticker: ARE Security ID: 015271109

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 31, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Joel S. Marcus | For | For | Management |
| 1.2 | Elect Director Steven R. Hash | For | For | Management |
| 1.3 | Elect Director John L. Atkins, III | For | For | Management |
| 1.4 | Elect Director James P. Cain | For | For | Management |
| 1.5 | Elect Director Maria C. Freire | For | For | Management |
| 1.6 | Elect Director Richard H. Klein | For | For | Management |
| 1.7 | Elect Director James H. Richardson | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

ALEXION PHARMACEUTICALS, INC.

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Ticker: ALXN Security ID: 015351109

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: MAR 15, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Leonard Bell | For | For | Management |
| 1.2 | Elect Director Felix J. Baker | For | For | Management |
| 1.3 | Elect Director David R. Brennan | For | For | Management |
| 1.4 | Elect Director M. Michele Burns | For | Withhold | Management |
| 1.5 | Elect Director Christopher J. Coughlin | For | For | Management |
| 1.6 | Elect Director David L. Hallal | For | For | Management |
| 1.7 | Elect Director John T. Mollen | For | Withhold | Management |
| 1.8 | Elect Director R. Douglas Norby | For | Withhold | Management |
| 1.9 | Elect Director Alvin S. Parven | For | Withhold | Management |
| 1.10 | Elect Director Andreas Rummelt | For | For | Management |
| 1.11 | Elect Director Ann M. Veneman | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

ALKERMES PLC

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Ticker: ALKS Security ID: G01767105

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: MAR 17, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director David W. Anstice | For | For | Management |
| 1.2 | Elect Director Robert A. Breyer | For | For | Management |
| 1.3 | Elect Director Wendy L. Dixon | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Approve the Grant to Issue Shares Under Irish Law | For | For | Management |
| 6a | Amend Articles of Association to Address the Adoption of the Irish Companies Act 2014 | For | For | Management |
| 6b | Amend Memorandum of Association to Address the Adoption of the Irish Companies Act 2014 | For | For | Management |
| 7 | Authorize the Board to Opt-Out the Statutory Pre-Emptions Rights | For | For | Management |

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ALPHABET INC.

Ticker: GOOGL Security ID: 02079K305

Meeting Date: JUN 08, 2016 Meeting Type: Annual

Record Date: APR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Larry Page | For | For | Management |
| 1.2 | Elect Director Sergey Brin | For | For | Management |
| 1.3 | Elect Director Eric E. Schmidt | For | For | Management |
| 1.4 | Elect Director L. John Doerr | For | Withhold | Management |
| 1.5 | Elect Director Diane B. Greene | For | For | Management |
| 1.6 | Elect Director John L. Hennessy | For | Withhold | Management |
| 1.7 | Elect Director Ann Mather | For | For | Management |
| 1.8 | Elect Director Alan R. Mulally | For | For | Management |
| 1.9 | Elect Director Paul S. Otellini | For | For | Management |
| 1.10 | Elect Director K. Ram Shriram | For | For | Management |
| 1.11 | Elect Director Shirley M. Tilghman | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | Against | Management |
| 4 | Amended and Restated Certificate of Incorporation of Google Inc. | For | For | Management |
| 5 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 7 | Report on Political Contributions | Against | Against | Shareholder |
| 8 | Require a Majority Vote for the | Against | For | Shareholder |

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Election of Directors

| | | | | |
|----|------------------------------------|---------|---------|-------------|
| 9 | Require Independent Board Chairman | Against | For | Shareholder |
| 10 | Report on Gender Pay Gap | Against | Abstain | Shareholder |

ALTERA CORPORATION

Ticker: ALTR Security ID: 021441100

Meeting Date: OCT 06, 2015 Meeting Type: Special

Record Date: AUG 12, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |
| 3 | Advisory Vote on Golden Parachutes | For | For | Management |

ALTRIA GROUP, INC.

Ticker: MO Security ID: 02209S103

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 28, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|------|--|---------|---------|-------------|
| 1.1 | Elect Director Gerald L. Baliles | For | For | Management |
| 1.2 | Elect Director Martin J. Barrington | For | For | Management |
| 1.3 | Elect Director John T. Casteen, III | For | Against | Management |
| 1.4 | Elect Director Dinyar S. Devitre | For | For | Management |
| 1.5 | Elect Director Thomas F. Farrell, II | For | Against | Management |
| 1.6 | Elect Director Thomas W. Jones | For | For | Management |
| 1.7 | Elect Director Debra J. Kelly-Ennis | For | For | Management |
| 1.8 | Elect Director W. Leo Kiely, III | For | For | Management |
| 1.9 | Elect Director Kathryn B. McQuade | For | For | Management |
| 1.10 | Elect Director George Munoz | For | For | Management |
| 1.11 | Elect Director Nabil Y. Sakkab | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Health Impacts of Additives and Chemicals in Products | Against | Abstain | Shareholder |
| 5 | Participate in OECD Mediation for Human Rights Violations | Against | Abstain | Shareholder |

AMAZON.COM, INC.

Ticker: AMZN Security ID: 023135106

Meeting Date: MAY 17, 2016 Meeting Type: Annual

Record Date: MAR 23, 2016

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Jeffrey P. Bezos | For | For | Management |
| 1b | Elect Director Tom A. Alberg | For | For | Management |
| 1c | Elect Director John Seely Brown | For | For | Management |
| 1d | Elect Director William B. Gordon | For | For | Management |
| 1e | Elect Director Jamie S. Gorelick | For | For | Management |
| 1f | Elect Director Judith A. McGrath | For | For | Management |
| 1g | Elect Director Jonathan J. Rubinstein | For | For | Management |
| 1h | Elect Director Thomas O. Ryder | For | For | Management |
| 1i | Elect Director Patricia Q. Stonesifer | For | For | Management |
| 1j | Elect Director Wendell P. Weeks | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Report on Sustainability, Including GHG Goals | Against | Abstain | Shareholder |
| 4 | Report on Human Rights Risk Assessment Process | Against | Abstain | Shareholder |
| 5 | Report on Political Contributions | Against | Against | Shareholder |

AMEREN CORPORATION

Ticker: AEE Security ID: 023608102

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: MAR 08, 2016

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Warner L. Baxter | For | For | Management |
| 1.2 | Elect Director Catherine S. Brune | For | For | Management |
| 1.3 | Elect Director J. Edward Coleman | For | For | Management |
| 1.4 | Elect Director Ellen M. Fitzsimmons | For | For | Management |
| 1.5 | Elect Director Rafael Flores | For | For | Management |
| 1.6 | Elect Director Walter J. Galvin | For | For | Management |
| 1.7 | Elect Director Richard J. Harshman | For | For | Management |
| 1.8 | Elect Director Gayle P.W. Jackson | For | For | Management |
| 1.9 | Elect Director James C. Johnson | For | For | Management |
| 1.10 | Elect Director Steven H. Lipstein | For | For | Management |
| 1.11 | Elect Director Stephen R. Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Report Analyzing Renewable Energy Adoption | Against | Abstain | Shareholder |
| 5 | Adopt Share Retention Policy For Senior Executives | Against | For | Shareholder |

AMERISOURCEBERGEN CORPORATION

Ticker: ABC Security ID: 03073E105

Meeting Date: MAR 03, 2016 Meeting Type: Annual

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Record Date: JAN 04, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Ornella Barra | For | For | Management |
| 1.2 | Elect Director Steven H. Collis | For | For | Management |
| 1.3 | Elect Director Douglas R. Conant | For | For | Management |
| 1.4 | Elect Director D. Mark Durcan | For | For | Management |
| 1.5 | Elect Director Richard W. Gochnauer | For | For | Management |
| 1.6 | Elect Director Lon R. Greenberg | For | For | Management |
| 1.7 | Elect Director Jane E. Henney | For | For | Management |
| 1.8 | Elect Director Kathleen W. Hyle | For | For | Management |
| 1.9 | Elect Director Michael J. Long | For | For | Management |
| 1.10 | Elect Director Henry W. McGee | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Proxy Access | Against | Against | Shareholder |

ANTHEM, INC.

Ticker: ANTM Security ID: 036752103

Meeting Date: DEC 03, 2015 Meeting Type: Special

Record Date: OCT 22, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|---|--|-----|-----|------------|
| 1 | Issue Shares in Connection with Acquisition | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |

APPLE INC.

Ticker: AAPL Security ID: 037833100

Meeting Date: FEB 26, 2016 Meeting Type: Annual

Record Date: DEC 28, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect Director James Bell | For | For | Management |
| 1.2 | Elect Director Tim Cook | For | For | Management |
| 1.3 | Elect Director Al Gore | For | For | Management |
| 1.4 | Elect Director Bob Iger | For | For | Management |
| 1.5 | Elect Director Andrea Jung | For | For | Management |
| 1.6 | Elect Director Art Levinson | For | For | Management |
| 1.7 | Elect Director Ron Sugar | For | For | Management |
| 1.8 | Elect Director Sue Wagner | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Create Feasibility Plan for Net-Zero GHG Emissions, Including for Major | Against | Abstain | Shareholder |

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Suppliers

| | | | | |
|---|--|---------|---------|-------------|
| 6 | Adopt Policy to Increase Diversity of Senior Management and Board of Directors | Against | Abstain | Shareholder |
| 7 | Report on Guidelines for Country Selection | Against | Abstain | Shareholder |
| 8 | Adopt Proxy Access Right | Against | Against | Shareholder |

ARCHER-DANIELS-MIDLAND COMPANY

Ticker: ADM Security ID: 039483102

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Alan L. Boeckmann | For | For | Management |
| 1.2 | Elect Director Mollie Hale Carter | For | For | Management |
| 1.3 | Elect Director Terrell K. Crews | For | For | Management |
| 1.4 | Elect Director Pierre Dufour | For | For | Management |
| 1.5 | Elect Director Donald E. Felsing | For | For | Management |
| 1.6 | Elect Director Juan R. Luciano | For | For | Management |
| 1.7 | Elect Director Antonio Maciel Neto | For | For | Management |
| 1.8 | Elect Director Patrick J. Moore | For | For | Management |
| 1.9 | Elect Director Francisco Sanchez | For | For | Management |
| 1.10 | Elect Director Debra A. Sandler | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.11 | Elect Director Daniel Shih | For | For | Management |
| 1.12 | Elect Director Kelvin R. Westbrook | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 04, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director R. Kipp deVeer | For | For | Management |
| 1.2 | Elect Director Daniel G. Kelly, Jr. | For | For | Management |
| 1.3 | Elect Director Eric B. Siegel | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |

ARES CAPITAL CORPORATION

Ticker: ARCC Security ID: 04010L103

Meeting Date: MAY 12, 2016 Meeting Type: Special

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Record Date: MAR 04, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Issuance of Shares Below Net Asset Value (NAV) | For | For | Management |

AT&T INC.

Ticker: T Security ID: 00206R102

Meeting Date: APR 29, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Randall L. Stephenson | For | For | Management |
| 1.2 | Elect Director Samuel A. Di Piazza, Jr. | For | For | Management |
| 1.3 | Elect Director Richard W. Fisher | For | For | Management |
| 1.4 | Elect Director Scott T. Ford | For | For | Management |
| 1.5 | Elect Director Glenn H. Hutchins | For | For | Management |
| 1.6 | Elect Director William E. Kennard | For | For | Management |
| 1.7 | Elect Director Michael B. McCallister | For | For | Management |
| 1.8 | Elect Director Beth E. Mooney | For | For | Management |
| 1.9 | Elect Director Joyce M. Roche | For | For | Management |
| 1.10 | Elect Director Matthew K. Rose | For | For | Management |
| 1.11 | Elect Director Cynthia B. Taylor | For | For | Management |
| 1.12 | Elect Director Laura D'Andrea Tyson | For | For | Management |

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| | | | | |
|---|---|---------|---------|-------------|
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Report on Indirect Political Contributions | Against | Against | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 7 | Require Independent Board Chairman | Against | For | Shareholder |

AUTOMATIC DATA PROCESSING, INC.

Ticker: ADP Security ID: 053015103

Meeting Date: NOV 10, 2015 Meeting Type: Annual

Record Date: SEP 11, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Ellen R. Alemany | For | For | Management |
| 1.2 | Elect Director Peter Bisson | For | For | Management |
| 1.3 | Elect Director Richard T. Clark | For | For | Management |
| 1.4 | Elect Director Eric C. Fast | For | For | Management |
| 1.5 | Elect Director Linda R. Gooden | For | For | Management |
| 1.6 | Elect Director Michael P. Gregoire | For | For | Management |
| 1.7 | Elect Director R. Glenn Hubbard | For | For | Management |
| 1.8 | Elect Director John P. Jones | For | Withhold | Management |
| 1.9 | Elect Director Carlos A. Rodriguez | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

BEST BUY CO., INC.

Ticker: BBY Security ID: 086516101

Meeting Date: JUN 14, 2016 Meeting Type: Annual

Record Date: APR 18, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Lisa M. Caputo | For | For | Management |
| 1b | Elect Director J. Patrick Doyle | For | For | Management |
| 1c | Elect Director Russell P. Fradin | For | For | Management |
| 1d | Elect Director Kathy J. Higgins Victor | For | For | Management |
| 1e | Elect Director Hubert Joly | For | For | Management |
| 1f | Elect Director David W. Kenny | For | For | Management |
| 1g | Elect Director Karen A. McLoughlin | For | For | Management |
| 1h | Elect Director Thomas L. "Tommy" Millner | For | For | Management |
| 1i | Elect Director Claudia F. Munce | For | For | Management |
| 1j | Elect Director Gerard R. Vittecoq | For | For | Management |
| 2 | Ratify Deloitte & Touche, LLP as Auditors | For | For | Management |

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3 Advisory Vote to Ratify Named For For Management
Executive Officers' Compensation

BIOGEN INC.

Ticker: BIIB Security ID: 09062X103

Meeting Date: JUN 08, 2016 Meeting Type: Annual

Record Date: APR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Alexander J. Denner | For | For | Management |
| 1b | Elect Director Caroline D. Dorsa | For | For | Management |
| 1c | Elect Director Nancy L. Leaming | For | For | Management |
| 1d | Elect Director Richard C. Mulligan | For | For | Management |
| 1e | Elect Director Robert W. Pangia | For | For | Management |
| 1f | Elect Director Stelios Papadopoulos | For | For | Management |
| 1g | Elect Director Brian S. Posner | For | For | Management |
| 1h | Elect Director Eric K. Rowinsky | For | For | Management |
| 1i | Elect Director George A. Scangos | For | For | Management |
| 1j | Elect Director Lynn Schenk | For | For | Management |
| 1k | Elect Director Stephen A. Sherwin | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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BIOMARIN PHARMACEUTICAL INC.

Ticker: BMRN Security ID: 09061G101

Meeting Date: JUN 06, 2016 Meeting Type: Annual

Record Date: APR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect Director Jean-Jacques Bienaime | For | For | Management |
| 1.2 | Elect Director Michael Grey | For | For | Management |
| 1.3 | Elect Director Elaine J. Heron | For | For | Management |
| 1.4 | Elect Director V. Bryan Lawlis | For | For | Management |
| 1.5 | Elect Director Alan J. Lewis | For | For | Management |
| 1.6 | Elect Director Richard A. Meier | For | For | Management |
| 1.7 | Elect Director David Pyott | For | For | Management |
| 1.8 | Elect Director Dennis J. Slamon | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Report on Sustainability, Including GHG Goals | Against | Abstain | Shareholder |

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BLACKSTONE MORTGAGE TRUST, INC.

Ticker: BXMT Security ID: 09257W100

Meeting Date: JUN 16, 2016 Meeting Type: Annual

Record Date: APR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Michael B. Nash | For | For | Management |
| 1.2 | Elect Director Stephen D. Plavin | For | For | Management |
| 1.3 | Elect Director Leonard W. Cotton | For | For | Management |
| 1.4 | Elect Director Thomas E. Dobrowski | For | For | Management |
| 1.5 | Elect Director Martin L. Edelman | For | Withhold | Management |
| 1.6 | Elect Director Henry N. Nassau | For | Withhold | Management |
| 1.7 | Elect Director Jonathan L. Pollack | For | For | Management |
| 1.8 | Elect Director Lynne B. Sagalyn | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

BP PLC

Ticker: BP. Security ID: 055622104

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Meeting Date: APR 14, 2016 Meeting Type: Annual

Record Date: FEB 19, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Remuneration Report | For | Against | Management |
| 3 | Re-elect Bob Dudley as Director | For | For | Management |
| 4 | Re-elect Dr Brian Gilvary as Director | For | For | Management |
| 5 | Re-elect Paul Anderson as Director | For | For | Management |
| 6 | Re-elect Alan Boeckmann as Director | For | For | Management |
| 7 | Re-elect Frank Bowman as Director | For | For | Management |
| 8 | Re-elect Cynthia Carroll as Director | For | For | Management |
| 9 | Re-elect Ian Davis as Director | For | For | Management |
| 10 | Re-elect Dame Ann Dowling as Director | For | For | Management |
| 11 | Re-elect Brendan Nelson as Director | For | For | Management |
| 12 | Elect Paula Reynolds as Director | For | For | Management |
| 13 | Elect Sir John Sawers as Director | For | For | Management |
| 14 | Re-elect Andrew Shilston as Director | For | For | Management |
| 15 | Re-elect Carl-Henric Svanberg as Director | For | For | Management |
| 16 | Reappoint Ernst & Young LLP as Auditors and Authorise Their Remuneration | For | For | Management |
| 17 | Authorise EU Political Donations and Expenditure | For | For | Management |
| 18 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |

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| | | | | |
|----|---|-----|---------|------------|
| 19 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 20 | Authorise Market Purchase of Ordinary Shares | For | For | Management |
| 21 | Authorise the Company to Call General Meeting with Two Weeks' Notice | For | Against | Management |

CAPITAL ONE FINANCIAL CORPORATION

Ticker: COF Security ID: 14040H105

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Richard D. Fairbank | For | For | Management |
| 1b | Elect Director Patrick W. Gross | For | For | Management |
| 1c | Elect Director Ann Fritz Hackett | For | For | Management |
| 1d | Elect Director Lewis Hay, III | For | For | Management |
| 1e | Elect Director Benjamin P. Jenkins, III | For | For | Management |
| 1f | Elect Director Peter Thomas Killalea | For | For | Management |
| 1g | Elect Director Pierre E. Leroy | For | For | Management |
| 1h | Elect Director Peter E. Raskind | For | For | Management |
| 1i | Elect Director Mayo A. Shattuck, III | For | For | Management |
| 1j | Elect Director Bradford H. Warner | For | For | Management |
| 1k | Elect Director Catherine G. West | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

CARDINAL HEALTH, INC.

Ticker: CAH Security ID: 14149Y108

Meeting Date: NOV 04, 2015 Meeting Type: Annual

Record Date: SEP 08, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director David J. Anderson | For | For | Management |
| 1.2 | Elect Director Colleen F. Arnold | For | For | Management |
| 1.3 | Elect Director George S. Barrett | For | For | Management |
| 1.4 | Elect Director Carrie S. Cox | For | For | Management |
| 1.5 | Elect Director Calvin Darden | For | For | Management |
| 1.6 | Elect Director Bruce L. Downey | For | For | Management |
| 1.7 | Elect Director Patricia A. Hemingway Hall | For | For | Management |
| 1.8 | Elect Director Clayton M. Jones | For | For | Management |
| 1.9 | Elect Director Gregory B. Kenny | For | For | Management |
| 1.10 | Elect Director Nancy Killefer | For | For | Management |
| 1.11 | Elect Director David P. King | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

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Executive Officers' Compensation

CARNIVAL CORPORATION

Ticker: CCL Security ID: 143658300

Meeting Date: APR 14, 2016 Meeting Type: Annual

Record Date: FEB 16, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Re-elect Micky Arison As A Director Of Carnival Corporation and As A Director Of Carnival Plc. | For | For | Management |
| 2 | Re-elect Jonathon Band as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 3 | Re-elect Arnold W. Donald as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 4 | Re-elect Richard J. Glasier as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 5 | Re-elect Debra Kelly-Ennis as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 6 | Re-elect John Parker as Director of | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| | Carnival Corporation and as a Director of Carnival plc. | | | |
| 7 | Re-elect Stuart Subotnick as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 8 | Re-elect Laura Weil as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 9 | Re-elect Randall J. Weisenburger as Director of Carnival Corporation and as a Director of Carnival plc. | For | For | Management |
| 10 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 11 | Approve Remuneration Report of Executive Directors | For | For | Management |
| 12 | Reappoint The UK Firm Of PricewaterhouseCoopers LLP As Independent Auditors For Carnival Plc And Ratify The U.S. Firm Of PricewaterhouseCoopers LLP As The Independent Auditor For Carnival Corporation | For | For | Management |
| 13 | Authorize The Audit Committee Of Carnival Plc To Fix Remuneration Of The Independent Auditors Of Carnival Plc | For | For | Management |
| 14 | Receive UK Accounts and Reports of the Directors and Auditors of Carnival plc | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 15 | Authorise Issue of Equity with Pre-emptive Rights | For | For | Management |
| 16 | Authorise Issue of Equity without Pre-emptive Rights | For | For | Management |
| 17 | Authorize Share Repurchase Program | For | For | Management |

CELGENE CORPORATION

Ticker: CELG Security ID: 151020104

Meeting Date: JUN 15, 2016 Meeting Type: Annual

Record Date: APR 18, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Robert J. Hugin | For | For | Management |
| 1.2 | Elect Director Mark J. Alles | For | For | Management |
| 1.3 | Elect Director Richard W. Barker | For | For | Management |
| 1.4 | Elect Director Michael W. Bonney | For | For | Management |
| 1.5 | Elect Director Michael D. Casey | For | For | Management |
| 1.6 | Elect Director Carrie S. Cox | For | For | Management |
| 1.7 | Elect Director Jacquelyn A. Fouse | For | For | Management |
| 1.8 | Elect Director Michael A. Friedman | For | For | Management |
| 1.9 | Elect Director Julia A. Haller | For | For | Management |
| 1.10 | Elect Director Gilla S. Kaplan | For | For | Management |
| 1.11 | Elect Director James J. Loughlin | For | For | Management |
| 1.12 | Elect Director Ernest Mario | For | For | Management |

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| | | | | |
|---|---|---------|-----|-------------|
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | For | For | Management |
| 6 | Call Special Meetings | Against | For | Shareholder |
| 7 | Proxy Access | Against | For | Shareholder |

CHEVRON CORPORATION

Ticker: CVX Security ID: 166764100

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: MAR 30, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Alexander B. Cummings, Jr. - Resigned | None | None | Management |
| 1b | Elect Director Linnet F. Deily | For | For | Management |
| 1c | Elect Director Robert E. Denham | For | Against | Management |
| 1d | Elect Director Alice P. Gast | For | For | Management |
| 1e | Elect Director Enrique Hernandez, Jr. | For | Against | Management |
| 1f | Elect Director Jon M. Huntsman, Jr. | For | For | Management |
| 1g | Elect Director Charles W. Moorman, IV | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1h | Elect Director John G. Stumpf | For | Against | Management |
| 1i | Elect Director Ronald D. Sugar | For | Against | Management |
| 1j | Elect Director Inge G. Thulin | For | Against | Management |
| 1k | Elect Director John S. Watson | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Approve Non-Employee Director Omnibus Stock Plan | For | For | Management |
| 5 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 6 | Adopt Quantitative GHG Goals for Products and Operations | Against | Abstain | Shareholder |
| 7 | Annually Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario | Against | For | Shareholder |
| 8 | Annually Report Reserve Replacements in BTUs | Against | Abstain | Shareholder |
| 9 | Increase Return of Capital to Shareholders in Light of Climate Change Risks | Against | Abstain | Shareholder |
| 10 | Report on the Result of Efforts to Minimize Hydraulic Fracturing Impacts | Against | Abstain | Shareholder |
| 11 | Require Director Nominee with Environmental Experience | Against | Abstain | Shareholder |
| 12 | Amend Bylaws -- Call Special Meetings | Against | Against | Shareholder |

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CIGNA CORPORATION

Ticker: CI Security ID: 125509109

Meeting Date: DEC 03, 2015 Meeting Type: Special

Record Date: OCT 22, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Advisory Vote on Golden Parachutes | For | For | Management |
| 3 | Adjourn Meeting | For | For | Management |

CIGNA CORPORATION

Ticker: CI Security ID: 125509109

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: FEB 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director David M. Cordani | For | For | Management |
| 1.2 | Elect Director Isaiah Harris, Jr. | For | For | Management |
| 1.3 | Elect Director Jane E. Henney | For | For | Management |
| 1.4 | Elect Director Donna F. Zarcone | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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3 Ratify PricewaterhouseCoopers LLP as For For Management
Auditors

CINEMARK HOLDINGS, INC.

Ticker: CNK Security ID: 17243V102

Meeting Date: MAY 26, 2016 Meeting Type: Annual

Record Date: APR 04, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Benjamin D. Chereskin | For | For | Management |
| 1.2 | Elect Director Lee Roy Mitchell | For | For | Management |
| 1.3 | Elect Director Raymond W. Syufy | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102

Meeting Date: NOV 19, 2015 Meeting Type: Annual

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Record Date: SEP 21, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Carol A. Bartz | For | For | Management |
| 1b | Elect Director M. Michele Burns | For | For | Management |
| 1c | Elect Director Michael D. Capellas | For | For | Management |
| 1d | Elect Director John T. Chambers | For | For | Management |
| 1e | Elect Director Brian L. Halla | For | For | Management |
| 1f | Elect Director John L. Hennessy | For | Against | Management |
| 1g | Elect Director Kristina M. Johnson | For | For | Management |
| 1h | Elect Director Roderick C. McGeary | For | For | Management |
| 1i | Elect Director Charles H. Robbins | For | For | Management |
| 1j | Elect Director Arun Sarin | For | For | Management |
| 1k | Elect Director Steven M. West | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Adopt Holy Land Principles | Against | Abstain | Shareholder |
| 5 | Adopt Proxy Access Right | Against | Against | Shareholder |

CITIGROUP INC.

Ticker: C Security ID: 172967424

Meeting Date: APR 26, 2016 Meeting Type: Annual

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Record Date: FEB 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Michael L. Corbat | For | For | Management |
| 1b | Elect Director Ellen M. Costello | For | For | Management |
| 1c | Elect Director Duncan P. Hennes | For | For | Management |
| 1d | Elect Director Peter B. Henry | For | For | Management |
| 1e | Elect Director Franz B. Humer | For | For | Management |
| 1f | Elect Director Renee J. James | For | For | Management |
| 1g | Elect Director Eugene M. McQuade | For | For | Management |
| 1h | Elect Director Michael E. O'Neill | For | For | Management |
| 1i | Elect Director Gary M. Reiner | For | For | Management |
| 1j | Elect Director Judith Rodin | For | For | Management |
| 1k | Elect Director Anthony M. Santomero | For | For | Management |
| 1l | Elect Director Joan E. Spero | For | For | Management |
| 1m | Elect Director Diana L. Taylor | For | For | Management |
| 1n | Elect Director William S. Thompson, Jr. | For | For | Management |
| 1o | Elect Director James S. Turley | For | For | Management |
| 1p | Elect Director Ernesto Zedillo Ponce de Leon | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 6 | Demonstrate No Gender Pay Gap | Against | Abstain | Shareholder |
| 7 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 8 | Appoint a Stockholder Value Committee | Against | Abstain | Shareholder |

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| | | | | |
|----|--|---------|---------|-------------|
| 9 | Claw-back of Payments under Restatements | Against | Against | Shareholder |
| 10 | Limit/Prohibit Accelerated Vesting of Awards | Against | Against | Shareholder |

COCA-COLA ENTERPRISES, INC.

Ticker: CCE Security ID: 19122T109

Meeting Date: APR 26, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Jan Bennink | For | For | Management |
| 1.2 | Elect Director John F. Brock | For | For | Management |
| 1.3 | Elect Director Calvin Darden | For | For | Management |
| 1.4 | Elect Director L. Phillip Humann | For | For | Management |
| 1.5 | Elect Director Orrin H. Ingram, II | For | For | Management |
| 1.6 | Elect Director Thomas H. Johnson | For | For | Management |
| 1.7 | Elect Director Veronique Morali | For | For | Management |
| 1.8 | Elect Director Andrea L. Saia | For | For | Management |
| 1.9 | Elect Director Garry Watts | For | For | Management |
| 1.10 | Elect Director Curtis R. Welling | For | For | Management |
| 1.11 | Elect Director Phoebe A. Wood | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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3 Ratify Ernst & Young LLP as Auditors For For Management

COCA-COLA ENTERPRISES, INC.

Ticker: CCE Security ID: 19122T109

Meeting Date: MAY 24, 2016 Meeting Type: Special

Record Date: APR 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------------------|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Classify the Board of Directors | For | Against | Management |
| 3 | Adjourn Meeting | For | For | Management |
| 4 | Advisory Vote on Golden Parachutes | For | For | Management |

COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101

Meeting Date: DEC 10, 2015 Meeting Type: Special

Record Date: OCT 20, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------------------------------|---------|-----------|------------|
| 1 | Approve Conversion of Securities | For | For | Management |

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COMCAST CORPORATION

Ticker: CMCSA Security ID: 20030N101

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director Kenneth J. Bacon | For | For | Management |
| 1.2 | Elect Director Madeline S. Bell | For | For | Management |
| 1.3 | Elect Director Sheldon M. Bonovitz | For | For | Management |
| 1.4 | Elect Director Edward D. Breen | For | Withhold | Management |
| 1.5 | Elect Director Joseph J. Collins | For | Withhold | Management |
| 1.6 | Elect Director Gerald L. Hassell | For | Withhold | Management |
| 1.7 | Elect Director Jeffrey A. Honickman | For | For | Management |
| 1.8 | Elect Director Eduardo G. Mestre | For | For | Management |
| 1.9 | Elect Director Brian L. Roberts | For | For | Management |
| 1.10 | Elect Director Johnathan A. Rodgers | For | For | Management |
| 1.11 | Elect Director Judith Rodin | For | Withhold | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Amend Restricted Stock Plan | For | For | Management |
| 4 | Amend Stock Option Plan | For | For | Management |
| 5 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 6 | Amend Nonqualified Employee Stock Purchase Plan | For | For | Management |
| 7 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 8 | Pro-rata Vesting of Equity Awards | Against | For | Shareholder |
| 9 | Require Independent Board Chairman | Against | For | Shareholder |
| 10 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |

CONOCOPHILLIPS

Ticker: COP Security ID: 20825C104

Meeting Date: MAY 10, 2016 Meeting Type: Annual

Record Date: MAR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---------------------------------------|---------|-----------|------------|
| 1a | Elect Director Richard L. Armitage | For | For | Management |
| 1b | Elect Director Richard H. Auchinleck | For | For | Management |
| 1c | Elect Director Charles E. Bunch | For | For | Management |
| 1d | Elect Director James E. Copeland, Jr. | For | For | Management |
| 1e | Elect Director John V. Faraci | For | For | Management |
| 1f | Elect Director Jody L. Freeman | For | For | Management |
| 1g | Elect Director Gay Huey Evans | For | For | Management |
| 1h | Elect Director Ryan M. Lance | For | For | Management |
| 1i | Elect Director Arjun N. Murti | For | For | Management |
| 1j | Elect Director Robert A. Niblock | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1k | Elect Director Harald J. Norvik | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 5 | Adjust Executive Compensation Program for Reserve Write-Downs | Against | Abstain | Shareholder |

CULLEN/FROST BANKERS, INC.

Ticker: CFR Security ID: 229899109

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: MAR 04, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director R. Denny Alexander | For | For | Management |
| 1.2 | Elect Director Carlos Alvarez | For | For | Management |
| 1.3 | Elect Director Chris Avery | For | For | Management |
| 1.4 | Elect Director Royce S. Caldwell | For | For | Management |
| 1.5 | Elect Director Crawford H. Edwards | For | For | Management |
| 1.6 | Elect Director Ruben M. Escobedo | For | For | Management |
| 1.7 | Elect Director Patrick B. Frost | For | For | Management |
| 1.8 | Elect Director Phillip D. Green | For | For | Management |
| 1.9 | Elect Director David J. Haemisegger | For | For | Management |
| 1.10 | Elect Director Karen E. Jennings | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.11 | Elect Director Richard M. Kleberg, III | For | For | Management |
| 1.12 | Elect Director Charles W. Matthews | For | For | Management |
| 1.13 | Elect Director Ida Clement Steen | For | For | Management |
| 1.14 | Elect Director Horace Wilkins, Jr. | For | For | Management |
| 1.15 | Elect Director Jack Wood | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

DARDEN RESTAURANTS, INC.

Ticker: DRI Security ID: 237194105

Meeting Date: SEP 17, 2015 Meeting Type: Annual

Record Date: JUL 24, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Margaret Shan Atkins | For | For | Management |
| 1.2 | Elect Director Jean M. Birch | For | For | Management |
| 1.3 | Elect Director Bradley D. Blum | For | For | Management |
| 1.4 | Elect Director James P. Fogarty | For | For | Management |
| 1.5 | Elect Director Cynthia T. Jamison | For | For | Management |
| 1.6 | Elect Director Eugene (Gene) I. Lee, Jr. | For | For | Management |
| 1.7 | Elect Director William H. Lenehan | For | For | Management |
| 1.8 | Elect Director Lionel L. Nowell, III | For | For | Management |

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| | | | | |
|------|---|---------|---------|-------------|
| 1.9 | Elect Director William S. Simon | For | For | Management |
| 1.10 | Elect Director Jeffrey C. Smith | For | For | Management |
| 1.11 | Elect Director Charles (Chuck) M. Sonsteby | For | For | Management |
| 1.12 | Elect Director Alan N. Stillman | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Amend Right to Call Special Meeting | For | For | Management |
| 5 | Eliminate Supermajority Vote Requirement | For | For | Management |
| 6 | Eliminate Supermajority Vote Requirement to Remove Directors | For | For | Management |
| 7 | Remove Business Combination/Fair Price Provision from the Charter, and Opt In to the State Business Combination/Fair Price Provision | For | For | Management |
| 8 | Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | For | Against | Management |
| 9 | Approve Omnibus Stock Plan | For | For | Management |
| 10 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

DELTA AIR LINES, INC.

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Ticker: DAL Security ID: 247361702

Meeting Date: JUN 10, 2016 Meeting Type: Annual

Record Date: APR 18, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Richard H. Anderson | For | For | Management |
| 1b | Elect Director Edward H. Bastian | For | For | Management |
| 1c | Elect Director Francis S. Blake | For | For | Management |
| 1d | Elect Director Daniel A. Carp | For | For | Management |
| 1e | Elect Director David G. DeWalt | For | For | Management |
| 1f | Elect Director Thomas E. Donilon | For | For | Management |
| 1g | Elect Director William H. Easter, III | For | For | Management |
| 1h | Elect Director Mickey P. Foret | For | For | Management |
| 1i | Elect Director Shirley C. Franklin | For | For | Management |
| 1j | Elect Director George N. Mattson | For | For | Management |
| 1k | Elect Director Douglas R. Ralph | For | For | Management |
| 1l | Elect Director Sergio A.L. Rial | For | For | Management |
| 1m | Elect Director Kathy N. Waller | For | For | Management |
| 1n | Elect Director Kenneth B. Woodrow | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Stock Retention | Against | Against | Shareholder |

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DENTSPLY INTERNATIONAL INC.

Ticker: XRAY Security ID: 249030107

Meeting Date: JAN 11, 2016 Meeting Type: Special

Record Date: DEC 02, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Issue Shares in Connection with Acquisition | For | For | Management |
| 2 | Adopt Second Amended and Restated Certificate of Incorporation | For | For | Management |
| 3 | Advisory Vote on Golden Parachutes | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Adjourn Meeting | For | For | Management |

DENTSPLY SIRONA INC.

Ticker: XRAY Security ID: 24906P109

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: MAR 28, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Michael C. Alfano | For | For | Management |
| 1b | Elect Director David K. Beecken | For | For | Management |
| 1c | Elect Director Eric K. Brandt | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1d | Elect Director Michael J. Coleman | For | For | Management |
| 1e | Elect Director Willie A. Deese | For | For | Management |
| 1f | Elect Director Thomas Jetter | For | For | Management |
| 1g | Elect Director Arthur D. Kowaloff | For | For | Management |
| 1h | Elect Director Harry M. Jansen Kraemer, Jr. | For | For | Management |
| 1i | Elect Director Francis J. Lunger | For | For | Management |
| 1j | Elect Director Jeffrey T. Slovin | For | For | Management |
| 1k | Elect Director Bret W. Wise | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

DIGITAL REALTY TRUST, INC.

Ticker: DLR Security ID: 253868103

Meeting Date: MAY 10, 2016 Meeting Type: Annual

Record Date: MAR 17, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1A | Elect Director Dennis E. Singleton | For | For | Management |
| 1B | Elect Director Laurence A. Chapman | For | For | Management |
| 1C | Elect Director Kathleen Earley | For | For | Management |
| 1D | Elect Director Kevin J. Kennedy | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1E | Elect Director William G. LaPerch | For | For | Management |
| 1F | Elect Director A. William Stein | For | For | Management |
| 1G | Elect Director Robert H. Zerbst | For | For | Management |
| 2 | Ratify KMPG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

DR PEPPER SNAPPLE GROUP, INC.

Ticker: DPS Security ID: 26138E109

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director David E. Alexander | For | For | Management |
| 1b | Elect Director Antonio Carrillo | For | For | Management |
| 1c | Elect Director Pamela H. Patsley | For | For | Management |
| 1d | Elect Director Joyce M. Roche | For | For | Management |
| 1e | Elect Director Ronald G. Rogers | For | For | Management |
| 1f | Elect Director Wayne R. Sanders | For | For | Management |
| 1g | Elect Director Dunia A. Shive | For | For | Management |
| 1h | Elect Director M. Anne Szostak | For | For | Management |
| 1i | Elect Director Larry D. Young | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

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| | | | | |
|---|---|---------|---------|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Certificate of Incorporation | For | For | Management |
| 5 | Adopt Comprehensive Recycling Strategy for Beverage Containers | Against | Abstain | Shareholder |

EATON CORPORATION PLC

Ticker: ETN Security ID: G29183103

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: FEB 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Craig Arnold | For | For | Management |
| 1b | Elect Director Todd M. Bluedorn | For | For | Management |
| 1c | Elect Director Christopher M. Connor | For | For | Management |
| 1d | Elect Director Michael J. Critelli | For | For | Management |
| 1e | Elect Director Alexander M. Cutler | For | For | Management |
| 1f | Elect Director Richard H. Fearon | For | For | Management |
| 1g | Elect Director Charles E. Golden | For | For | Management |
| 1h | Elect Director Linda A. Hill | For | For | Management |
| 1i | Elect Director Arthur E. Johnson | For | For | Management |
| 1j | Elect Director Ned C. Lautenbach | For | For | Management |
| 1k | Elect Director Deborah L. McCoy | For | For | Management |
| 1l | Elect Director Gregory R. Page | For | For | Management |

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| | | | | |
|----|---|-----|-----|------------|
| 1m | Elect Director Sandra Pianalto | For | For | Management |
| 1n | Elect Director Gerald B. Smith | For | For | Management |
| 2A | Amend Company's Articles of Association in Connection with Recent Changes in Irish Law and for General Administrative Reasons | For | For | Management |
| 2B | Amend Company's Memorandum of Association in Connection with Recent Changes in Irish Law | For | For | Management |
| 3 | Amend Company's Articles of Association to Clarify the Board's Sole Authority to Determine its Size within the Fixed Limits in Articles | For | For | Management |
| 4 | Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Approval of Overseas Market Purchases of the Company Shares | For | For | Management |

ELECTRONIC ARTS INC.

Ticker: EA Security ID: 285512109

Meeting Date: AUG 14, 2015 Meeting Type: Annual

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Record Date: JUN 22, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Leonard S. Coleman | For | For | Management |
| 1b | Elect Director Jay C. Hoag | For | For | Management |
| 1c | Elect Director Jeffrey T. Huber | For | Against | Management |
| 1d | Elect Director Vivek Paul | For | For | Management |
| 1e | Elect Director Lawrence F. Probst, III | For | For | Management |
| 1f | Elect Director Richard A. Simonson | For | For | Management |
| 1g | Elect Director Luis A. Ubinas | For | For | Management |
| 1h | Elect Director Denise F. Warren | For | For | Management |
| 1i | Elect Director Andrew Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Proxy Access | Against | Against | Shareholder |

ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103

Meeting Date: MAY 06, 2016 Meeting Type: Annual

Record Date: MAR 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Maureen Scannel Bateman | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1b | Elect Director Patrick J. Condon | For | For | Management |
| 1c | Elect Director Leo P. Denault | For | For | Management |
| 1d | Elect Director Kirkland H. Donald | For | For | Management |
| 1e | Elect Director Philip L. Frederickson | For | For | Management |
| 1f | Elect Director Alexis M. Herman | For | For | Management |
| 1g | Elect Director Donald C. Hintz | For | For | Management |
| 1h | Elect Director Stuart L. Levenick | For | For | Management |
| 1i | Elect Director Blanche Lambert Lincoln | For | For | Management |
| 1j | Elect Director Karen A. Puckett | For | For | Management |
| 1k | Elect Director W.J. 'Billy' Tauzin | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Assess Plans to Increase Distributed Low-Carbon Electricity Generation | Against | Abstain | Shareholder |

EQUIFAX INC.

Ticker: EFX Security ID: 294429105

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 02, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---------------------------------------|---------|-----------|------------|
| 1a | Elect Director James E. Copeland, Jr. | For | For | Management |

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| | | | | |
|----|---|-----|---------|------------|
| 1b | Elect Director Robert D. Daleo | For | For | Management |
| 1c | Elect Director Walter W. Driver, Jr. | For | For | Management |
| 1d | Elect Director Mark L. Feidler | For | For | Management |
| 1e | Elect Director L. Phillip Humann | For | For | Management |
| 1f | Elect Director Robert D. Marcus | For | For | Management |
| 1g | Elect Director Siri S. Marshall | For | For | Management |
| 1h | Elect Director John A. McKinley | For | For | Management |
| 1i | Elect Director Richard F. Smith | For | For | Management |
| 1j | Elect Director Mark B. Templeton | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

EQUINIX, INC.

Ticker: EQIX Security ID: 29444U700

Meeting Date: JUN 01, 2016 Meeting Type: Annual

Record Date: APR 20, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Thomas Bartlett | For | For | Management |
| 1.2 | Elect Director Nanci Caldwell | For | For | Management |
| 1.3 | Elect Director Gary Hromadko | For | For | Management |
| 1.4 | Elect Director John Hughes | For | For | Management |
| 1.5 | Elect Director Scott Kriens | For | For | Management |

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| | | | | |
|------|---|-----|----------|------------|
| 1.6 | Elect Director William Luby | For | For | Management |
| 1.7 | Elect Director Irving Lyons, III | For | For | Management |
| 1.8 | Elect Director Christopher Paisley | For | For | Management |
| 1.9 | Elect Director Stephen Smith | For | For | Management |
| 1.10 | Elect Director Peter Van Camp | For | Withhold | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

ESH HOSPITALITY, INC.

Ticker: Security ID: 30224P211

Meeting Date: MAY 18, 2016 Meeting Type: Annual

Record Date: APR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Douglas G. Geoga | For | For | Management |
| 1.2 | Elect Director Christopher K. Daniello | For | For | Management |
| 1.3 | Elect Director Kevin W. Dinnie | For | For | Management |
| 1.4 | Elect Director Gerardo I. Lopez | For | For | Management |
| 1.5 | Elect Director Lisa Palmer | For | For | Management |
| 1.6 | Elect Director Ty E. Wallach | For | For | Management |
| 1.7 | Elect Director Richard F. Wallman | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as | For | For | Management |

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Auditors

EXPRESS SCRIPTS HOLDING COMPANY

Ticker: ESRX Security ID: 30219G108

Meeting Date: MAY 04, 2016 Meeting Type: Annual

Record Date: MAR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Maura C. Breen | For | For | Management |
| 1b | Elect Director William J. DeLaney | For | For | Management |
| 1c | Elect Director Elder Granger | For | For | Management |
| 1d | Elect Director Nicholas J. LaHowchic | For | For | Management |
| 1e | Elect Director Thomas P. Mac Mahon | For | For | Management |
| 1f | Elect Director Frank Mergenthaler | For | For | Management |
| 1g | Elect Director Woodrow A. Myers, Jr. | For | For | Management |
| 1h | Elect Director Roderick A. Palmore | For | For | Management |
| 1i | Elect Director George Paz | For | For | Management |
| 1j | Elect Director William L. Roper | For | For | Management |
| 1k | Elect Director Seymour Sternberg | For | For | Management |
| 1l | Elect Director Timothy Wentworth | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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| | | | | |
|---|------------------------------------|---------|---------|-------------|
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Report on Political Contributions | Against | Against | Shareholder |

EXTENDED STAY AMERICA, INC.

Ticker: STAY Security ID: 30224P200

Meeting Date: MAY 18, 2016 Meeting Type: Annual

Record Date: APR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Douglas G. Geoga | For | For | Management |
| 1.2 | Elect Director Michael A. Barr | For | For | Management |
| 1.3 | Elect Director Gerardo I. Lopez | For | For | Management |
| 1.4 | Elect Director William A. Kussell | For | For | Management |
| 1.5 | Elect Director William D. Rahm | For | For | Management |
| 1.6 | Elect Director William J. Stein | For | For | Management |
| 1.7 | Elect Director Richard F. Wallman | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

EXTRA SPACE STORAGE INC.

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Ticker: EXR Security ID: 30225T102

Meeting Date: MAY 24, 2016 Meeting Type: Annual

Record Date: MAR 28, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Kenneth M. Woolley | For | For | Management |
| 1.2 | Elect Director Spencer F. Kirk | For | For | Management |
| 1.3 | Elect Director Karl Haas | For | For | Management |
| 1.4 | Elect Director Diane Olmstead | For | For | Management |
| 1.5 | Elect Director Roger B. Porter | For | For | Management |
| 1.6 | Elect Director Gary B. Sabin- Withdrawn Resolution | None | None | Management |
| 1.7 | Elect Director K. Fred Skousen | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Elect Director Dennis J. Letham | For | For | Management |

EXXON MOBIL CORPORATION

Ticker: XOM Security ID: 30231G102

Meeting Date: MAY 25, 2016 Meeting Type: Annual

Record Date: APR 06, 2016

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|-------------|
| 1.1 | Elect Director Michael J. Boskin | For | For | Management |
| 1.2 | Elect Director Peter Brabeck-Letmathe | For | For | Management |
| 1.3 | Elect Director Angela F. Braly | For | For | Management |
| 1.4 | Elect Director Ursula M. Burns | For | For | Management |
| 1.5 | Elect Director Larry R. Faulkner | For | For | Management |
| 1.6 | Elect Director Jay S. Fishman | For | For | Management |
| 1.7 | Elect Director Henrietta H. Fore | For | For | Management |
| 1.8 | Elect Director Kenneth C. Frazier | For | For | Management |
| 1.9 | Elect Director Douglas R. Oberhelman | For | For | Management |
| 1.10 | Elect Director Samuel J. Palmisano | For | For | Management |
| 1.11 | Elect Director Steven S. Reinemund | For | For | Management |
| 1.12 | Elect Director Rex W. Tillerson | For | For | Management |
| 1.13 | Elect Director William C. Weldon | For | For | Management |
| 1.14 | Elect Director Darren W. Woods | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Require Director Nominee with Environmental Experience | Against | Abstain | Shareholder |
| 6 | Seek Sale of Company/Assets | Against | Against | Shareholder |
| 7 | Proxy Access | Against | For | Shareholder |
| 8 | Disclose Percentage of Females at Each Percentile of Compensation | Against | Abstain | Shareholder |
| 9 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 10 | Increase Return of Capital to | Against | Abstain | Shareholder |

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Shareholders in Light of Climate

Change Risks

| | | | | |
|----|--|---------|---------|-------------|
| 11 | Adopt Policy Acknowledging the Imperative for 2 Degree Limit | Against | Abstain | Shareholder |
| 12 | Annually Assess Portfolio Impacts of Policies to Meet 2 Degree Scenario | Against | For | Shareholder |
| 13 | Annually Report Reserve Replacements in BTUs | Against | Abstain | Shareholder |
| 14 | Report on the Result of Efforts to Minimize Hydraulic Fracturing Impacts | Against | Abstain | Shareholder |

FACEBOOK, INC.

Ticker: FB Security ID: 30303M102

Meeting Date: JUN 20, 2016 Meeting Type: Annual

Record Date: APR 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Marc L. Andreessen | For | Withhold | Management |
| 1.2 | Elect Director Erskine B. Bowles | For | For | Management |
| 1.3 | Elect Director Susan D. Desmond-Hellmann | For | For | Management |
| 1.4 | Elect Director Reed Hastings | For | For | Management |
| 1.5 | Elect Director Jan Koum | For | For | Management |
| 1.6 | Elect Director Sheryl K. Sandberg | For | For | Management |

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| | | | | |
|-----|--|---------|---------|-------------|
| 1.7 | Elect Director Peter A. Thiel | For | For | Management |
| 1.8 | Elect Director Mark Zuckerberg | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify the Grant of Restricted Stock Units to Non-Employee Directors | For | For | Management |
| 5 | Ratify the Grant of Restricted Stock Units to Non-Employee Directors | For | For | Management |
| 6 | Approve Annual Compensation Program for Non-employee Directors | For | For | Management |
| 7A | Amend Certificate of Incorporation to Establish the Class C Capital Stock and to Make Certain Clarifying Changes | For | Against | Management |
| 7B | Increase Authorized Common Stock | For | Against | Management |
| 7C | Amend Certificate of Incorporation to Provide Equal Treatment of Shares of Class A Common Stock, Class B Common Stock, and Class C Capital Stock | For | For | Management |
| 7D | Amend Certificate of Incorporation to Provide for Additional Events | For | For | Management |
| 8 | Amend Omnibus Stock Plan | For | Against | Management |
| 9 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 10 | Report on Sustainability | Against | Abstain | Shareholder |
| 11 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 12 | Establish International Policy Board Committee | Against | Abstain | Shareholder |

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13 Report on Gender Pay Gap Against Abstain Shareholder

 FORD MOTOR COMPANY

Ticker: F Security ID: 345370860

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 16, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Stephen G. Butler | For | For | Management |
| 1.2 | Elect Director Kimberly A. Casiano | For | For | Management |
| 1.3 | Elect Director Anthony F. Earley, Jr. | For | For | Management |
| 1.4 | Elect Director Mark Fields | For | For | Management |
| 1.5 | Elect Director Edsel B. Ford, II | For | For | Management |
| 1.6 | Elect Director William Clay Ford, Jr. | For | For | Management |
| 1.7 | Elect Director James H. Hance, Jr. | For | For | Management |
| 1.8 | Elect Director William W. Helman, IV | For | For | Management |
| 1.9 | Elect Director Jon M. Huntsman, Jr. | For | For | Management |
| 1.10 | Elect Director William E. Kennard | For | For | Management |
| 1.11 | Elect Director John C. Lechleiter | For | For | Management |
| 1.12 | Elect Director Ellen R. Marram | For | For | Management |
| 1.13 | Elect Director Gerald L. Shaheen | For | For | Management |
| 1.14 | Elect Director John L. Thornton | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

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| | | | | |
|---|---|---------|-----|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Tax Benefits Preservation Plan | For | For | Management |
| 5 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 6 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

FORTRESS TRANSPORTATION & INFRASTRUCTURE INVESTORS LLC

Ticker: FTAI Security ID: 34960P101

Meeting Date: JUN 15, 2016 Meeting Type: Annual

Record Date: APR 25, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Paul R. Goodwin | For | For | Management |
| 1.2 | Elect Director Ray M. Robinson | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103

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Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: FEB 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| A1 | Elect Director Sebastien M. Bazin | For | For | Management |
| A2 | Elect Director W. Geoffrey Beattie | For | For | Management |
| A3 | Elect Director John J. Brennan | For | For | Management |
| A4 | Elect Director Francisco D' Souza | For | For | Management |
| A5 | Elect Director Marijn E. Dekkers | For | For | Management |
| A6 | Elect Director Peter B. Henry | For | For | Management |
| A7 | Elect Director Susan J. Hockfield | For | For | Management |
| A8 | Elect Director Jeffrey R. Immelt | For | For | Management |
| A9 | Elect Director Andrea Jung | For | For | Management |
| A10 | Elect Director Robert W. Lane | For | For | Management |
| A11 | Elect Director Rochelle B. Lazarus | For | For | Management |
| A12 | Elect Director Lowell C. McAdam | For | For | Management |
| A13 | Elect Director James J. Mulva | For | For | Management |
| A14 | Elect Director James E. Rohr | For | For | Management |
| A15 | Elect Director Mary L. Schapiro | For | For | Management |
| A16 | Elect Director James S. Tisch | For | For | Management |
| B1 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| B2 | Ratify KPMG LLP as Auditors | For | For | Management |
| C1 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| C2 | Require Independent Board Chairman | Against | For | Shareholder |
| C3 | Adopt Holy Land Principles | Against | Abstain | Shareholder |
| C4 | Restore or Provide for Cumulative Voting | Against | Against | Shareholder |

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| | | | | |
|----|--|---------|---------|-------------|
| C5 | Performance-Based and/or Time-Based Equity Awards | Against | Against | Shareholder |
| C6 | Report on Guidelines for Country Selection | Against | Abstain | Shareholder |

GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: MAR 16, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director John F. Cogan | For | For | Management |
| 1b | Elect Director Kevin E. Lofton | For | For | Management |
| 1c | Elect Director John W. Madigan | For | For | Management |
| 1d | Elect Director John C. Martin | For | For | Management |
| 1e | Elect Director John F. Milligan | For | For | Management |
| 1f | Elect Director Nicholas G. Moore | For | For | Management |
| 1g | Elect Director Richard J. Whitley | For | For | Management |
| 1h | Elect Director Gayle E. Wilson | For | For | Management |
| 1i | Elect Director Per Wold-Olsen | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

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5 Provide Right to Act by Written Consent Against For Shareholder

 HOLLYFRONTIER CORPORATION

Ticker: HFC Security ID: 436106108

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: MAR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Douglas Y. Bech | For | For | Management |
| 1b | Elect Director George J. Damiris | For | For | Management |
| 1c | Elect Director Leldon E. Echols | For | For | Management |
| 1d | Elect Director R. Kevin Hardage | For | For | Management |
| 1e | Elect Director Michael C. Jennings | For | For | Management |
| 1f | Elect Director Robert J. Kostelnik | For | For | Management |
| 1g | Elect Director James H. Lee | For | For | Management |
| 1h | Elect Director Franklin Myers | For | For | Management |
| 1i | Elect Director Michael E. Rose | For | For | Management |
| 1j | Elect Director Tommy A. Valenta | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Omnibus Stock Plan | For | For | Management |

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HONEYWELL INTERNATIONAL INC.

Ticker: HON Security ID: 438516106

Meeting Date: APR 25, 2016 Meeting Type: Annual

Record Date: FEB 26, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1A | Elect Director William S. Ayer | For | For | Management |
| 1B | Elect Director Kevin Burke | For | For | Management |
| 1C | Elect Director Jaime Chico Pardo | For | For | Management |
| 1D | Elect Director David M. Cote | For | For | Management |
| 1E | Elect Director D. Scott Davis | For | For | Management |
| 1F | Elect Director Linnet F. Deily | For | For | Management |
| 1G | Elect Director Judd Gregg | For | For | Management |
| 1H | Elect Director Clive Hollick | For | For | Management |
| 1I | Elect Director Grace D. Lieblein | For | For | Management |
| 1J | Elect Director George Paz | For | For | Management |
| 1K | Elect Director Bradley T. Sheares | For | For | Management |
| 1L | Elect Director Robin L. Washington | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Approve Non-Employee Director Omnibus | For | For | Management |

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Stock Plan

| | | | | |
|---|---|---------|-----|-------------|
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 8 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

ILLINOIS TOOL WORKS INC.

Ticker: ITW Security ID: 452308109

Meeting Date: MAY 06, 2016 Meeting Type: Annual

Record Date: MAR 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Daniel J. Brutto | For | For | Management |
| 1b | Elect Director Susan Crown | For | For | Management |
| 1c | Elect Director James W. Griffith | For | For | Management |
| 1d | Elect Director Richard H. Lenny | For | For | Management |
| 1e | Elect Director Robert S. Morrison | For | For | Management |
| 1f | Elect Director E. Scott Santi | For | For | Management |
| 1g | Elect Director James A. Skinner | For | For | Management |
| 1h | Elect Director David B. Smith, Jr. | For | For | Management |
| 1i | Elect Director Pamela B. Strobel | For | For | Management |
| 1j | Elect Director Kevin M. Warren | For | For | Management |
| 1k | Elect Director Anre D. Williams | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as | For | For | Management |

Auditors

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| | | | | |
|---|---|---------|---------|-------------|
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Provide Right to Call Special Meeting | For | For | Management |
| 5 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 6 | Exclude the Impact of Stock Buyback Programs on the Financial Metrics on which CEO Pay is Based | Against | Against | Shareholder |

INCYTE CORPORATION

Ticker: INCY Security ID: 45337C102

Meeting Date: MAY 27, 2016 Meeting Type: Annual

Record Date: APR 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Julian C. Baker | For | For | Management |
| 1.2 | Elect Director Jean-Jacques Bienaime | For | For | Management |
| 1.3 | Elect Director Paul A. Brooke | For | For | Management |
| 1.4 | Elect Director Paul J. Clancy | For | For | Management |
| 1.5 | Elect Director Wendy L. Dixon | For | For | Management |
| 1.6 | Elect Director Paul A. Friedman | For | For | Management |
| 1.7 | Elect Director Herve Hoppenot | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

INTEL CORPORATION

Ticker: INTC Security ID: 458140100

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Charlene Barshefsky | For | For | Management |
| 1b | Elect Director Aneel Bhusri | For | For | Management |
| 1c | Elect Director Andy D. Bryant | For | For | Management |
| 1d | Elect Director John J. Donahoe | For | For | Management |
| 1e | Elect Director Reed E. Hundt | For | For | Management |
| 1f | Elect Director Brian M. Krzanich | For | For | Management |
| 1g | Elect Director James D. Plummer | For | For | Management |
| 1h | Elect Director David S. Pottruck | For | For | Management |
| 1i | Elect Director Frank D. Yeary | For | For | Management |
| 1j | Elect Director David B. Yoffie | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Holy Land Principles | Against | Abstain | Shareholder |

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| | | | |
|---|---|---------|-------------|
| 5 | Provide Right to Act by Written Consent Against | For | Shareholder |
| 6 | Provide Vote Counting to Exclude | Against | Shareholder |
| | Abstentions | | |

JAGUAR MINING INC.

Ticker: JAG Security ID: 47009M400

Meeting Date: JUN 06, 2016 Meeting Type: Annual/Special

Record Date: APR 25, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1 | Fix Number of Directors at Six | For | For | Management |
| 2.1 | Elect Director Rodney Lamond | For | For | Management |
| 2.2 | Elect Director Richard D. Falconer | For | For | Management |
| 2.3 | Elect Director Edward V. Reeser | For | For | Management |
| 2.4 | Elect Director Luis Ricardo Miraglia | For | Withhold | Management |
| 2.5 | Elect Director Jared Hardner | For | For | Management |
| 2.6 | Elect Director Thomas S. Weng | For | For | Management |
| 3 | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 4 | Re-approve Stock Option Plan | For | For | Management |
| 5 | Approve Stock Option Plan Grants | For | For | Management |

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JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1a | Elect Director Mary C. Beckerle | For | For | Management |
| 1b | Elect Director D. Scott Davis | For | For | Management |
| 1c | Elect Director Ian E. L. Davis | For | For | Management |
| 1d | Elect Director Alex Gorsky | For | For | Management |
| 1e | Elect Director Susan L. Lindquist | For | For | Management |
| 1f | Elect Director Mark B. McClellan | For | For | Management |
| 1g | Elect Director Anne M. Mulcahy | For | For | Management |
| 1h | Elect Director William D. Perez | For | For | Management |
| 1i | Elect Director Charles Prince | For | For | Management |
| 1j | Elect Director A. Eugene Washington | For | For | Management |
| 1k | Elect Director Ronald A. Williams | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Adopt a Payout Policy Giving Preference to Share Buybacks Over Dividends | Against | Against | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

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| | | | | |
|---|--|---------|---------|-------------|
| 6 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 7 | Report on Policies for Safe Disposal of Prescription Drugs by Users | Against | Abstain | Shareholder |

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100

Meeting Date: MAY 17, 2016 Meeting Type: Annual

Record Date: MAR 18, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Linda B. Bammann | For | For | Management |
| 1b | Elect Director James A. Bell | For | For | Management |
| 1c | Elect Director Crandall C. Bowles | For | For | Management |
| 1d | Elect Director Stephen B. Burke | For | For | Management |
| 1e | Elect Director James S. Crown | For | For | Management |
| 1f | Elect Director James Dimon | For | For | Management |
| 1g | Elect Director Timothy P. Flynn | For | For | Management |
| 1h | Elect Director Laban P. Jackson, Jr. | For | For | Management |
| 1i | Elect Director Michael A. Neal | For | For | Management |
| 1j | Elect Director Lee R. Raymond | For | For | Management |
| 1k | Elect Director William C. Weldon | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as | For | For | Management |

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Auditors

| | | | | |
|---|------------------------------------|---------|-----|-------------|
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Provide Vote Counting to Exclude | Against | For | Shareholder |

Abstentions

| | | | | |
|---|---|---------|---------|-------------|
| 6 | Prohibit Accelerated Vesting of Awards to Pursue Government Service | Against | Against | Shareholder |
| 7 | Appoint Committee to Explore the Feasibly to Divest Non-Core Banking | Against | Against | Shareholder |

Segments

| | | | | |
|---|--|---------|---------|-------------|
| 8 | Clawback Amendment | Against | Against | Shareholder |
| 9 | Adopt Executive Compensation Philosophy with Social Factors | Against | Abstain | Shareholder |

KLA-TENCOR CORPORATION

Ticker: KLAC Security ID: 482480100

Meeting Date: NOV 04, 2015 Meeting Type: Annual

Record Date: SEP 15, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Edward W. Barnholt | For | For | Management |
| 1.2 | Elect Director Robert M. Calderoni | For | For | Management |
| 1.3 | Elect Director John T. Dickson | For | For | Management |
| 1.4 | Elect Director Emiko Higashi | For | For | Management |
| 1.5 | Elect Director Kevin J. Kennedy | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.6 | Elect Director Gary B. Moore | For | For | Management |
| 1.7 | Elect Director Kiran M. Patel | For | For | Management |
| 1.8 | Elect Director Robert A. Rango | For | For | Management |
| 1.9 | Elect Director Richard P. Wallace | For | For | Management |
| 1.10 | Elect Director David C. Wang | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

KLA-TENCOR CORPORATION

Ticker: KLAC Security ID: 482480100

Meeting Date: FEB 19, 2016 Meeting Type: Special

Record Date: JAN 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Approve Merger Agreement | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |
| 3 | Advisory Vote on Golden Parachutes | For | For | Management |
| 4 | Approve Extension of Outside Director Accelerated Vesting Policy | For | For | Management |

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LAM RESEARCH CORPORATION

Ticker: LRCX Security ID: 512807108

Meeting Date: FEB 19, 2016 Meeting Type: Special

Record Date: JAN 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Issue Shares in Connection with Acquisition | For | For | Management |
| 2 | Adjourn Meeting | For | For | Management |
| 3 | Increase Authorized Common Stock | For | For | Management |

LOCKHEED MARTIN CORPORATION

Ticker: LMT Security ID: 539830109

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: FEB 26, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director Daniel F. Akerson | For | For | Management |
| 1b | Elect Director Nolan D. Archibald | For | For | Management |
| 1c | Elect Director Rosalind G. Brewer | For | For | Management |
| 1d | Elect Director David B. Burritt | For | For | Management |
| 1e | Elect Director Bruce A. Carlson | For | For | Management |

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| | | | | |
|----|---|---------|-----|-------------|
| 1f | Elect Director James O. Ellis, Jr. | For | For | Management |
| 1g | Elect Director Thomas J. Falk | For | For | Management |
| 1h | Elect Director Marillyn A. Hewson | For | For | Management |
| 1i | Elect Director James M. Loy | For | For | Management |
| 1j | Elect Director Joseph W. Ralston | For | For | Management |
| 1k | Elect Director Anne Stevens | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Bylaws -- Call Special Meetings | Against | For | Shareholder |

LYONDELLBASELL INDUSTRIES NV

Ticker: LYB Security ID: N53745100

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: APR 13, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Jacques Aigrain to Supervisory Board | For | For | Management |
| 1b | Elect Lincoln Benet to Supervisory Board | For | For | Management |
| 1c | Elect Nance K. Dicciani to Supervisory Board | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1d | Elect Bruce A. Smith to Supervisory Board | For | For | Management |
| 2a | Elect Thomas Aebischer to Management Board | For | For | Management |
| 2b | Elect Dan Coombs to Management Board | For | For | Management |
| 2c | Elect James D. Guilfoyle to Management Board | For | For | Management |
| 3 | Adopt Financial Statements and Statutory Reports | For | For | Management |
| 4 | Approve Discharge of Management Board | For | For | Management |
| 5 | Approve Discharge of Supervisory Board | For | For | Management |
| 6 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 7 | Ratify PricewaterhouseCoopers Accountants N.V. as Auditors | For | For | Management |
| 8 | Approve Dividends of USD 3.12 Per Share | For | For | Management |
| 9 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 10 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | For | For | Management |

MACQUARIE INFRASTRUCTURE CORPORATION

Ticker: MIC Security ID: 55608B105

Meeting Date: MAY 18, 2016 Meeting Type: Annual

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Record Date: MAR 23, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Norman H. Brown, Jr. | For | For | Management |
| 1b | Elect Director George W. Carmany, III | For | For | Management |
| 1c | Elect Director H.E. (Jack) Lentz | For | For | Management |
| 1d | Elect Director Ouma Sananikone | For | For | Management |
| 1e | Elect Director William H. Webb | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |

MASTERCARD INCORPORATED

Ticker: MA Security ID: 57636Q104

Meeting Date: JUN 28, 2016 Meeting Type: Annual

Record Date: APR 29, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1a | Elect Director Richard Haythornthwaite | For | For | Management |
| 1b | Elect Director Ajay Banga | For | For | Management |
| 1c | Elect Director Silvio Barzi | For | For | Management |
| 1d | Elect Director David R. Carlucci | For | For | Management |
| 1e | Elect Director Steven J. Freiberg | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1f | Elect Director Julius Genachowski | For | For | Management |
| 1g | Elect Director Merit E. Janow | For | For | Management |
| 1h | Elect Director Nancy J. Karch | For | For | Management |
| 1i | Elect Director Oki Matsumoto | For | For | Management |
| 1j | Elect Director Rima Qureshi | For | For | Management |
| 1k | Elect Director Jose Octavio Reyes Lagunes | For | For | Management |
| 1l | Elect Director Jackson Tai | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

MEDTRONIC PLC

Ticker: MDT Security ID: G5960L103

Meeting Date: DEC 11, 2015 Meeting Type: Annual

Record Date: OCT 12, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Richard H. Anderson | For | For | Management |
| 1b | Elect Director Craig Arnold | For | For | Management |
| 1c | Elect Director Scott C. Donnelly | For | For | Management |
| 1d | Elect Director Randall J. Hogan, III | For | For | Management |
| 1e | Elect Director Omar Ishrak | For | For | Management |

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| | | | | |
|----|--|----------|----------|------------|
| 1f | Elect Director Shirley Ann Jackson | For | For | Management |
| 1g | Elect Director Michael O. Leavitt | For | For | Management |
| 1h | Elect Director James T. Lenehan | For | For | Management |
| 1i | Elect Director Elizabeth G. Nabel | For | For | Management |
| 1j | Elect Director Denise M. O'Leary | For | For | Management |
| 1k | Elect Director Kendall J. Powell | For | Against | Management |
| 1l | Elect Director Robert C. Pozen | For | For | Management |
| 1m | Elect Director Preetha Reddy | For | For | Management |
| 2 | Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Advisory Vote on Say on Pay Frequency | One Year | One Year | Management |

MERCK & CO., INC.

Ticker: MRK Security ID: 58933Y105

Meeting Date: MAY 24, 2016 Meeting Type: Annual

Record Date: MAR 31, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------|---------|-----------|------------|
| 1a | Elect Director Leslie A. Brun | For | For | Management |
| 1b | Elect Director Thomas R. Cech | For | For | Management |
| 1c | Elect Director Pamela J. Craig | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1d | Elect Director Kenneth C. Frazier | For | For | Management |
| 1e | Elect Director Thomas H. Glocer | For | For | Management |
| 1f | Elect Director C. Robert Kidder | For | For | Management |
| 1g | Elect Director Rochelle B. Lazarus | For | For | Management |
| 1h | Elect Director Carlos E. Represas | For | For | Management |
| 1i | Elect Director Paul B. Rothman | For | For | Management |
| 1j | Elect Director Patricia F. Russo | For | For | Management |
| 1k | Elect Director Craig B. Thompson | For | For | Management |
| 1l | Elect Director Wendell P. Weeks | For | For | Management |
| 1m | Elect Director Peter C. Wendell | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Provide Right to Act by Written Consent Against | For | | Shareholder |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Report on Policies for Safe Disposal of Prescription Drugs by Users | Against | Abstain | Shareholder |

METLIFE, INC.

Ticker: MET Security ID: 59156R108

Meeting Date: JUN 14, 2016 Meeting Type: Annual

Record Date: APR 19, 2016

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Cheryl W. Grise | For | For | Management |
| 1.2 | Elect Director Carlos M. Gutierrez | For | For | Management |
| 1.3 | Elect Director R. Glenn Hubbard | For | For | Management |
| 1.4 | Elect Director Steven A. Kandarian | For | For | Management |
| 1.5 | Elect Director Alfred F. Kelly, Jr. | For | For | Management |
| 1.6 | Elect Director Edward J. Kelly, III | For | For | Management |
| 1.7 | Elect Director William E. Kennard | For | For | Management |
| 1.8 | Elect Director James M. Kilts | For | For | Management |
| 1.9 | Elect Director Catherine R. Kinney | For | For | Management |
| 1.10 | Elect Director Denise M. Morrison | For | For | Management |
| 1.11 | Elect Director Kenton J. Sicchitano | For | For | Management |
| 1.12 | Elect Director Lulu C. Wang | For | For | Management |
| 2 | Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Provide Right to Act by Written Consent | Against | For | Shareholder |

MICROSOFT CORPORATION

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Ticker: MSFT Security ID: 594918104

Meeting Date: DEC 02, 2015 Meeting Type: Annual

Record Date: OCT 02, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|------------|
| 1.1 | Elect Director William H. Gates, III | For | For | Management |
| 1.2 | Elect Director Teri L. List-Stoll | For | For | Management |
| 1.3 | Elect Director G. Mason Morfit | For | For | Management |
| 1.4 | Elect Director Satya Nadella | For | For | Management |
| 1.5 | Elect Director Charles H. Noski | For | For | Management |
| 1.6 | Elect Director Helmut Panke | For | For | Management |
| 1.7 | Elect Director Sandra E. Peterson | For | For | Management |
| 1.8 | Elect Director Charles W. Scharf | For | For | Management |
| 1.9 | Elect Director John W. Stanton | For | For | Management |
| 1.10 | Elect Director John W. Thompson | For | For | Management |
| 1.11 | Elect Director Padmasree Warrior | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

MOODY'S CORPORATION

Ticker: MCO Security ID: 615369105

Meeting Date: APR 12, 2016 Meeting Type: Annual

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Record Date: FEB 17, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Basil L. Anderson | For | For | Management |
| 1.2 | Elect Director Jorge A. Bermudez | For | For | Management |
| 1.3 | Elect Director Darrell Duffie | For | For | Management |
| 1.4 | Elect Director Kathryn M. Hill | For | For | Management |
| 1.5 | Elect Director Ewald Kist | For | For | Management |
| 1.6 | Elect Director Raymond W. McDaniel, Jr. | For | For | Management |
| 1.7 | Elect Director Henry A. McKinnell, Jr. | For | For | Management |
| 1.8 | Elect Director Leslie F. Seidman | For | For | Management |
| 1.9 | Elect Director Bruce Van Saun | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |

NAVIENT CORPORATION

Ticker: NAVI Security ID: 63938C108

Meeting Date: MAY 26, 2016 Meeting Type: Annual

Record Date: MAR 30, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director John K. Adams, Jr. | For | For | Management |
| 1b | Elect Director Ann Torre Bates | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1c | Elect Director Anna Escobedo Cabral | For | For | Management |
| 1d | Elect Director William M. Diefenderfer, III | For | For | Management |
| 1e | Elect Director Diane Suitt Gilleland | For | For | Management |
| 1f | Elect Director Katherine A. Lehman | For | For | Management |
| 1g | Elect Director Linda A. Mills | For | For | Management |
| 1h | Elect Director Barry A. Munitz | For | For | Management |
| 1i | Elect Director John F. Remondi | For | For | Management |
| 1j | Elect Director Jane J. Thompson | For | For | Management |
| 1k | Elect Director Laura S. Unger | For | For | Management |
| 1l | Elect Director Barry L. Williams | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

NEWMONT MINING CORPORATION

Ticker: NEM Security ID: 651639106

Meeting Date: APR 20, 2016 Meeting Type: Annual

Record Date: FEB 23, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Gregory H. Boyce | For | For | Management |
| 1.2 | Elect Director Bruce R. Brook | For | For | Management |

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| | | | | |
|------|--------------------------------------|-----|-----|------------|
| 1.3 | Elect Director J. Kofi Bucknor | For | For | Management |
| 1.4 | Elect Director Vincent A. Calarco | For | For | Management |
| 1.5 | Elect Director Joseph A. Carrabba | For | For | Management |
| 1.6 | Elect Director Noreen Doyle | For | For | Management |
| 1.7 | Elect Director Gary J. Goldberg | For | For | Management |
| 1.8 | Elect Director Veronica M. Hagen | For | For | Management |
| 1.9 | Elect Director Jane Nelson | For | For | Management |
| 1.10 | Elect Director Julio M. Quintana | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |
| | Executive Officers' Compensation | | | |

NORTHROP GRUMMAN CORPORATION

Ticker: NOC Security ID: 666807102

Meeting Date: MAY 18, 2016 Meeting Type: Annual

Record Date: MAR 22, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Wesley G. Bush | For | For | Management |
| 1.2 | Elect Director Marianne C. Brown | For | For | Management |
| 1.3 | Elect Director Victor H. Fazio | For | For | Management |
| 1.4 | Elect Director Donald E. Felsing | For | For | Management |
| 1.5 | Elect Director Ann M. Fudge | For | For | Management |
| 1.6 | Elect Director Bruce S. Gordon | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.7 | Elect Director William H. Hernandez | For | For | Management |
| 1.8 | Elect Director Madeleine A. Kleiner | For | For | Management |
| 1.9 | Elect Director Karl J. Krapek | For | For | Management |
| 1.10 | Elect Director Richard B. Myers | For | For | Management |
| 1.11 | Elect Director Gary Roughead | For | For | Management |
| 1.12 | Elect Director Thomas M. Schoewe | For | For | Management |
| 1.13 | Elect Director James S. Turley | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

NRG YIELD, INC.

Ticker: NYLD.A Security ID: 62942X306

Meeting Date: APR 26, 2016 Meeting Type: Annual

Record Date: MAR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director John F. Chlebowski | For | For | Management |
| 1.2 | Elect Director Mauricio Gutierrez | For | For | Management |
| 1.3 | Elect Director Kirkland B. Andrews | For | For | Management |
| 1.4 | Elect Director Brian R. Ford | For | For | Management |
| 1.5 | Elect Director Ferrell P. McClean | For | For | Management |
| 1.6 | Elect Director Christopher S. Sotos | For | For | Management |

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| | | | | |
|---|--|-----|-----|------------|
| 2 | Amend Charter to Allow Removal of Directors With or Without Cause by Majority Vote | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |

NRG YIELD, INC.

Ticker: NYLD.A Security ID: 62942X405

Meeting Date: APR 26, 2016 Meeting Type: Annual

Record Date: MAR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director John F. Chlebowski | For | For | Management |
| 1.2 | Elect Director Mauricio Gutierrez | For | For | Management |
| 1.3 | Elect Director Kirkland B. Andrews | For | For | Management |
| 1.4 | Elect Director Brian R. Ford | For | For | Management |
| 1.5 | Elect Director Ferrell P. McClean | For | For | Management |
| 1.6 | Elect Director Christopher S. Sotos | For | For | Management |
| 2 | Amend Charter to Allow Removal of Directors With or Without Cause by Majority Vote | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |

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NVIDIA CORPORATION

Ticker: NVDA Security ID: 67066G104

Meeting Date: MAY 18, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Robert K. Burgess | For | For | Management |
| 1b | Elect Director Tench Coxe | For | For | Management |
| 1c | Elect Director Persis S. Drell | For | For | Management |
| 1d | Elect Director James C. Gaither | For | For | Management |
| 1e | Elect Director Jen-Hsun Huang | For | For | Management |
| 1f | Elect Director Dawn Hudson | For | For | Management |
| 1g | Elect Director Harvey C. Jones | For | For | Management |
| 1h | Elect Director Michael G. McCaffery | For | For | Management |
| 1i | Elect Director William J. Miller | For | For | Management |
| 1j | Elect Director Mark L. Perry | For | For | Management |
| 1k | Elect Director A. Brooke Seawell | For | For | Management |
| 1l | Elect Director Mark A. Stevens | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105

Meeting Date: NOV 18, 2015 Meeting Type: Annual

Record Date: SEP 21, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Director Jeffrey S. Berg | For | Withhold | Management |
| 1.2 | Elect Director H. Raymond Bingham | For | Withhold | Management |
| 1.3 | Elect Director Michael J. Boskin | For | Withhold | Management |
| 1.4 | Elect Director Safra A. Catz | For | Withhold | Management |
| 1.5 | Elect Director Bruce R. Chizen | For | Withhold | Management |
| 1.6 | Elect Director George H. Conrades | For | Withhold | Management |
| 1.7 | Elect Director Lawrence J. Ellison | For | Withhold | Management |
| 1.8 | Elect Director Hector Garcia-Molina | For | Withhold | Management |
| 1.9 | Elect Director Jeffrey O. Henley | For | Withhold | Management |
| 1.10 | Elect Director Mark V. Hurd | For | Withhold | Management |
| 1.11 | Elect Director Leon E. Panetta | For | For | Management |
| 1.12 | Elect Director Naomi O. Seligman | For | Withhold | Management |
| 2 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Adopt Quantitative Renewable Energy Goals | Against | Abstain | Shareholder |

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| | | | | |
|----|--|---------|---------|-------------|
| 6 | Proxy Access | Against | For | Shareholder |
| 7 | Approve Quantifiable Performance Metrics | Against | Against | Shareholder |
| 8 | Amend Corporate Governance Guidelines | Against | For | Shareholder |
| 9 | Proxy Voting Disclosure, Confidentiality, and Tabulation | Against | For | Shareholder |
| 10 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

PACWEST BANCORP

Ticker: PACW Security ID: 695263103

Meeting Date: MAY 16, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Paul R. Burke | For | For | Management |
| 1.2 | Elect Director Craig A. Carlson | For | For | Management |
| 1.3 | Elect Director John M. Eggemeyer | For | For | Management |
| 1.4 | Elect Director Barry C. Fitzpatrick | For | For | Management |
| 1.5 | Elect Director Andrew B. Fremder | For | For | Management |
| 1.6 | Elect Director C. William Hosler | For | For | Management |
| 1.7 | Elect Director Susan E. Lester | For | For | Management |
| 1.8 | Elect Director Roger H. Molvar | For | For | Management |
| 1.9 | Elect Director James J. Pieczynski | For | For | Management |
| 1.10 | Elect Director Daniel B. Platt | For | For | Management |

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| | | | | |
|------|---|-----|---------|------------|
| 1.11 | Elect Director Robert A. Stine | For | For | Management |
| 1.12 | Elect Director Matthew P. Wagner | For | For | Management |
| 2 | Amend Omnibus Stock Plan | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Ratify KPMG LLP as Auditors | For | For | Management |
| 5 | Adjourn Meeting | For | For | Management |
| 6 | Other Business | For | Against | Management |

PFIZER INC.

Ticker: PFE Security ID: 717081103

Meeting Date: APR 28, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Dennis A. Ausiello | For | For | Management |
| 1.2 | Elect Director W. Don Cornwell | For | For | Management |
| 1.3 | Elect Director Joseph J. Echevarria | For | For | Management |
| 1.4 | Elect Director Frances D. Fergusson | For | For | Management |
| 1.5 | Elect Director Helen H. Hobbs | For | For | Management |
| 1.6 | Elect Director James M. Kilts | For | For | Management |
| 1.7 | Elect Director Shantanu Narayan | For | For | Management |
| 1.8 | Elect Director Suzanne Nora Johnson | For | For | Management |
| 1.9 | Elect Director Ian C. Read | For | For | Management |

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| | | | | |
|------|---|---------|---------|-------------|
| 1.10 | Elect Director Stephen W. Sanger | For | For | Management |
| 1.11 | Elect Director James C. Smith | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 5 | Give Shareholders Final Say on Election of Directors | Against | Against | Shareholder |
| 6 | Provide Right to Act by Written Consent | Against | For | Shareholder |
| 7 | Prohibit Tax Gross-ups on Inversions | Against | Against | Shareholder |

PHILIP MORRIS INTERNATIONAL INC.

Ticker: PM Security ID: 718172109

Meeting Date: MAY 04, 2016 Meeting Type: Annual

Record Date: MAR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Harold Brown | For | For | Management |
| 1.2 | Elect Director Andre Calantzopoulos | For | For | Management |
| 1.3 | Elect Director Louis C. Camilleri | For | For | Management |
| 1.4 | Elect Director Werner Geissler | For | For | Management |
| 1.5 | Elect Director Jennifer Li | For | For | Management |
| 1.6 | Elect Director Jun Makihara | For | For | Management |
| 1.7 | Elect Director Sergio Marchionne | For | Against | Management |

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| | | | | |
|------|---|---------|---------|-------------|
| 1.8 | Elect Director Kalpana Morparia | For | For | Management |
| 1.9 | Elect Director Lucio A. Noto | For | For | Management |
| 1.10 | Elect Director Frederik Paulsen | For | For | Management |
| 1.11 | Elect Director Robert B. Polet | For | For | Management |
| 1.12 | Elect Director Stephen M. Wolf | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers SA as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Adopt Human Rights Policy, Including the Right to Health | Against | Abstain | Shareholder |
| 5 | Participate in OECD Mediation for Human Rights Violations | Against | Abstain | Shareholder |

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102

Meeting Date: MAY 10, 2016 Meeting Type: Annual

Record Date: MAR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director Thomas J. Baltimore, Jr. | For | For | Management |
| 1.2 | Elect Director Gilbert F. Casellas | For | For | Management |
| 1.3 | Elect Director James G. Cullen | For | For | Management |
| 1.4 | Elect Director Mark B. Grier | For | For | Management |

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| | | | | |
|------|---|---------|-----|-------------|
| 1.5 | Elect Director Martina Hund-Mejean | For | For | Management |
| 1.6 | Elect Director Karl J. Krapek | For | For | Management |
| 1.7 | Elect Director Peter R. Lighte | For | For | Management |
| 1.8 | Elect Director George Paz | For | For | Management |
| 1.9 | Elect Director Sandra Pianalto | For | For | Management |
| 1.10 | Elect Director Christine A. Poon | For | For | Management |
| 1.11 | Elect Director Douglas A. Scovanner | For | For | Management |
| 1.12 | Elect Director John R. Strangfeld | For | For | Management |
| 1.13 | Elect Director Michael A. Todma | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |

PUBLIC SERVICE ENTERPRISE GROUP INCORPORATED

Ticker: PEG Security ID: 744573106

Meeting Date: APR 19, 2016 Meeting Type: Annual

Record Date: FEB 19, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Willie A. Deese | For | For | Management |
| 1.2 | Elect Director Albert R. Gamper, Jr. | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.3 | Elect Director William V. Hickey | For | For | Management |
| 1.4 | Elect Director Ralph Izzo | For | For | Management |
| 1.5 | Elect Director Shirley Ann Jackson | For | For | Management |
| 1.6 | Elect Director David Lilley | For | For | Management |
| 1.7 | Elect Director Thomas A. Renyi | For | For | Management |
| 1.8 | Elect Director Hak Cheol (H.C.) Shin | For | For | Management |
| 1.9 | Elect Director Richard J. Swift | For | For | Management |
| 1.10 | Elect Director Susan Tomasky | For | For | Management |
| 1.11 | Elect Director Alfred W. Zollar | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

PUBLIC STORAGE

Ticker: PSA Security ID: 74460D109

Meeting Date: APR 25, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Ronald L. Havner, Jr. | For | For | Management |
| 1.2 | Elect Director Tamara Hughes Gustavson | For | Against | Management |
| 1.3 | Elect Director Uri P. Harkham | For | For | Management |
| 1.4 | Elect Director B. Wayne Hughes, Jr. | For | For | Management |

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| | | | | |
|-----|---|-----|-----|------------|
| 1.5 | Elect Director Avedick B. Poladian | For | For | Management |
| 1.6 | Elect Director Gary E. Pruitt | For | For | Management |
| 1.7 | Elect Director Ronald P. Spogli | For | For | Management |
| 1.8 | Elect Director Daniel C. Staton | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Approve Omnibus Stock Plan | For | For | Management |

ROBERT HALF INTERNATIONAL INC.

Ticker: RHI Security ID: 770323103

Meeting Date: MAY 16, 2016 Meeting Type: Annual

Record Date: MAR 24, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director Andrew S. Berwick, Jr. | For | For | Management |
| 1.2 | Elect Director Harold M. Messmer, Jr. | For | For | Management |
| 1.3 | Elect Director Marc H. Morial | For | For | Management |
| 1.4 | Elect Director Barbara J. Novogradac | For | For | Management |
| 1.5 | Elect Director Robert J. Pace | For | For | Management |
| 1.6 | Elect Director Frederick A. Richman | For | For | Management |
| 1.7 | Elect Director M. Keith Waddell | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

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3 Advisory Vote to Ratify Named Executive Officers' Compensation For For Management

ROCKWELL AUTOMATION, INC.

Ticker: ROK Security ID: 773903109

Meeting Date: FEB 02, 2016 Meeting Type: Annual

Record Date: DEC 07, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| A1 | Elect Director Keith D. Nosbusch | For | For | Management |
| A2 | Elect Director William T. McCormick, Jr. | For | For | Management |
| B | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| C | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| D | Amend Omnibus Stock Plan | For | For | Management |
| E | Adopt the Jurisdiction of Incorporation as the Exclusive Forum for Certain Disputes | For | For | Management |

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S&P GLOBAL INC.

Ticker: MHFI Security ID: 580645109

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: MAR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Director Winfried Bischoff | For | For | Management |
| 1.2 | Elect Director William D. Green | For | For | Management |
| 1.3 | Elect Director Charles E. Haldeman, Jr. | For | For | Management |
| 1.4 | Elect Director Rebecca Jacoby | For | For | Management |
| 1.5 | Elect Director Hilda Ochoa-Brillembourg | For | For | Management |
| 1.6 | Elect Director Douglas L. Peterson | For | For | Management |
| 1.7 | Elect Director Michael Rake | For | For | Management |
| 1.8 | Elect Director Edward B. Rust, Jr. | For | For | Management |
| 1.9 | Elect Director Kurt L. Schmoke | For | For | Management |
| 1.10 | Elect Director Richard E. Thornburgh | For | For | Management |
| 2 | Change Company Name from McGraw Hill Financial, Inc. to S&P Global Inc. | For | For | Management |
| 3 | Establish Range For Board Size | For | For | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 5 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

SIMON PROPERTY GROUP, INC.

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Ticker: SPG Security ID: 828806109

Meeting Date: MAY 11, 2016 Meeting Type: Annual

Record Date: MAR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Glyn F. Aeppel | For | For | Management |
| 1b | Elect Director Larry C. Glasscock | For | For | Management |
| 1c | Elect Director Karen N. Horn | For | For | Management |
| 1d | Elect Director Allan Hubbard | For | For | Management |
| 1e | Elect Director Reuben S. Leibowitz | For | For | Management |
| 1f | Elect Director Gary M. Rodkin | For | For | Management |
| 1g | Elect Director Daniel C. Smith | For | For | Management |
| 1h | Elect Director J. Albert Smith, Jr. | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

SOUTHWESTERN ENERGY COMPANY

Ticker: SWN Security ID: 845467109

Meeting Date: MAY 17, 2016 Meeting Type: Annual

Record Date: MAR 23, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|-----|---|-----|-----|------------|
| 1.1 | Elect Director John D. Gass | For | For | Management |
| 1.2 | Elect Director Catherine A. Kehr | For | For | Management |
| 1.3 | Elect Director Greg D. Kerley | For | For | Management |
| 1.4 | Elect Director Kenneth R. Mourton | For | For | Management |
| 1.5 | Elect Director Elliott Pew | For | For | Management |
| 1.6 | Elect Director Terry W. Rathert | For | For | Management |
| 1.7 | Elect Director Alan H. Stevens | For | For | Management |
| 1.8 | Elect Director William J. Way | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

STAPLES, INC.

Ticker: SPLS Security ID: 855030102

Meeting Date: JUN 14, 2016 Meeting Type: Annual

Record Date: APR 18, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director Drew G. Faust | For | For | Management |
| 1b | Elect Director Curtis Feeny | For | For | Management |
| 1c | Elect Director Paul-Henri Ferrand | For | For | Management |
| 1d | Elect Director Deborah A. Henretta | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1e | Elect Director Kunal S. Kamlani | For | For | Management |
| 1f | Elect Director John F. Lundgren | For | For | Management |
| 1g | Elect Director Carol Meyrowitz | For | For | Management |
| 1h | Elect Director Ronald L. Sargent | For | For | Management |
| 1i | Elect Director Robert E. Sulentic | For | For | Management |
| 1j | Elect Director Vijay Vishwanath | For | For | Management |
| 1k | Elect Director Paul F. Walsh | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Pro-rata Vesting of Equity Awards | Against | Against | Shareholder |
| 5 | Amend Bylaws -- Call Special Meetings | Against | For | Shareholder |

STARWOOD PROPERTY TRUST, INC.

Ticker: STWD Security ID: 85571B105

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Richard D. Bronson | For | For | Management |
| 1.2 | Elect Director Jeffrey G. Dishner | For | For | Management |
| 1.3 | Elect Director Camille J. Douglas | For | For | Management |
| 1.4 | Elect Director Solomon J. Kumin | For | For | Management |
| 1.5 | Elect Director Barry S. Sternlicht | For | For | Management |

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| | | | | |
|-----|---|-----|---------|------------|
| 1.6 | Elect Director Strauss Zelnick | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |

SYSCO CORPORATION

Ticker: SYX Security ID: 871829107

Meeting Date: NOV 18, 2015 Meeting Type: Annual

Record Date: SEP 21, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director John M. Cassaday | For | For | Management |
| 1b | Elect Director Judith B. Craven | For | For | Management |
| 1c | Elect Director William J. DeLaney | For | For | Management |
| 1d | Elect Director Joshua D. Frank | For | For | Management |
| 1e | Elect Director Larry C. Glasscock | For | For | Management |
| 1f | Elect Director Jonathan Golden | For | Against | Management |
| 1g | Elect Director Joseph A. Hafner, Jr. | For | For | Management |
| 1h | Elect Director Hans-Joachim Koerber | For | For | Management |
| 1i | Elect Director Nancy S. Newcomb | For | For | Management |
| 1j | Elect Director Nelson Peltz | For | For | Management |
| 1k | Elect Director Richard G. Tilghman | For | For | Management |
| 1l | Elect Director Jackie M. Ward | For | For | Management |

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| | | | | |
|---|---|-----|-----|------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |

T. ROWE PRICE GROUP, INC.

Ticker: TROW Security ID: 74144T108

Meeting Date: APR 27, 2016 Meeting Type: Annual

Record Date: FEB 26, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director Mark S. Bartlett | For | For | Management |
| 1b | Elect Director Edward C. Bernard | For | For | Management |
| 1c | Elect Director Mary K. Bush | For | For | Management |
| 1d | Elect Director H. Lawrence Culp, Jr. | For | For | Management |
| 1e | Elect Director Freeman A. Hrabowski, III | For | For | Management |
| 1f | Elect Director Robert F. MacLellan | For | For | Management |
| 1g | Elect Director Brian C. Rogers | For | For | Management |
| 1h | Elect Director Olympia J. Snowe | For | For | Management |
| 1i | Elect Director William J. Stromberg | For | For | Management |
| 1j | Elect Director Dwight S. Taylor | For | For | Management |
| 1k | Elect Director Anne Marie Whittemore | For | For | Management |
| 1l | Elect Director Alan D. Wilson | For | For | Management |
| 2 | Advisory Vote to Ratify Named | For | For | Management |

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Executive Officers' Compensation

| | | | | |
|---|---|---------|---------|-------------|
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Report on and Assess Proxy Voting Policies in Relation to Climate Change Position | Against | Abstain | Shareholder |

TARGET CORPORATION

Ticker: TGT Security ID: 87612E106

Meeting Date: JUN 08, 2016 Meeting Type: Annual

Record Date: APR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Roxanne S. Austin | For | For | Management |
| 1b | Elect Director Douglas M. Baker, Jr. | For | For | Management |
| 1c | Elect Director Brian C. Cornell | For | For | Management |
| 1d | Elect Director Calvin Darden | For | For | Management |
| 1e | Elect Director Henrique De Castro | For | For | Management |
| 1f | Elect Director Robert L. Edwards | For | For | Management |
| 1g | Elect Director Melanie L. Healey | For | For | Management |
| 1h | Elect Director Donald R. Knauss | For | For | Management |
| 1i | Elect Director Monica C. Lozano | For | For | Management |
| 1j | Elect Director Mary E. Minnick | For | For | Management |
| 1k | Elect Director Anne M. Mulcahy | For | For | Management |
| 1l | Elect Director Derica W. Rice | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1m | Elect Director Kenneth L. Salazar | For | For | Management |
| 1n | Elect Director John G. Stumpf | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Report on Guidelines for Country Selection | Against | Abstain | Shareholder |

TESORO CORPORATION

Ticker: TSO Security ID: 881609101

Meeting Date: MAY 03, 2016 Meeting Type: Annual

Record Date: MAR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|----------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Rodney F. Chase | For | For | Management |
| 1.2 | Elect Director Edward G. Galante | For | For | Management |
| 1.3 | Elect Director Gregory J. Goff | For | For | Management |
| 1.4 | Elect Director Robert W. Goldman | For | For | Management |
| 1.5 | Elect Director David Lilley | For | For | Management |
| 1.6 | Elect Director Mary Pat McCarthy | For | For | Management |
| 1.7 | Elect Director J.W. Nokes | For | For | Management |
| 1.8 | Elect Director Susan Tomasky | For | For | Management |
| 1.9 | Elect Director Michael E. Wiley | For | For | Management |
| 1.10 | Elect Director Patrick Y. Yang | For | For | Management |

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| | | | | |
|---|---|---------|---------|-------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

THE BOEING COMPANY

Ticker: BA Security ID: 097023105

Meeting Date: MAY 02, 2016 Meeting Type: Annual

Record Date: MAR 03, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director David L. Calhoun | For | For | Management |
| 1b | Elect Director Arthur D. Collins, Jr. | For | For | Management |
| 1c | Elect Director Kenneth M. Duberstein | For | For | Management |
| 1d | Elect Director Edmund P. Giambastiani, Jr. | For | For | Management |
| 1e | Elect Director Lynn J. Good | For | For | Management |
| 1f | Elect Director Lawrence W. Kellner | For | For | Management |
| 1g | Elect Director Edward M. Liddy | For | For | Management |
| 1h | Elect Director Dennis A. Muilenburg | For | For | Management |
| 1i | Elect Director Susan C. Schwab | For | For | Management |
| 1j | Elect Director Randall L. Stephenson | For | For | Management |
| 1k | Elect Director Ronald A. Williams | For | For | Management |
| 1l | Elect Director Mike S. Zafirovski | For | For | Management |

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| | | | | |
|---|--|---------|---------|-------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 5 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Report on Weapon Sales to Israel | Against | Abstain | Shareholder |

THE DOW CHEMICAL COMPANY

Ticker: DOW Security ID: 260543103

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 14, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Ajay Banga | For | For | Management |
| 1b | Elect Director Jacqueline K. Barton | For | For | Management |
| 1c | Elect Director James A. Bell | For | For | Management |
| 1d | Elect Director Richard K. Davis | For | For | Management |
| 1e | Elect Director Jeff M. Fettig | For | For | Management |
| 1f | Elect Director Andrew N. Liveris | For | For | Management |
| 1g | Elect Director Mark Loughridge | For | For | Management |
| 1h | Elect Director Raymond J. Milchovich | For | For | Management |

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| | | | | |
|----|---|---------|-----|-------------|
| 1i | Elect Director Robert S. Miller | For | For | Management |
| 1j | Elect Director Paul Polman | For | For | Management |
| 1k | Elect Director Dennis H. Reilley | For | For | Management |
| 1l | Elect Director James M. Ringler | For | For | Management |
| 1m | Elect Director Ruth G. Shaw | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Proxy Access | Against | For | Shareholder |

THE HOME DEPOT, INC.

Ticker: HD Security ID: 437076102

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director Gerard J. Arpey | For | For | Management |
| 1b | Elect Director Ari Bousbib | For | For | Management |
| 1c | Elect Director Gregory D. Brenneman | For | For | Management |
| 1d | Elect Director J. Frank Brown | For | For | Management |
| 1e | Elect Director Albert P. Carey | For | For | Management |
| 1f | Elect Director Armando Codina | For | For | Management |
| 1g | Elect Director Helena B. Foulkes | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1h | Elect Director Linda R. Gooden | For | For | Management |
| 1i | Elect Director Wayne M. Hewett | For | For | Management |
| 1j | Elect Director Karen L. Katen | For | For | Management |
| 1k | Elect Director Craig A. Menear | For | For | Management |
| 1l | Elect Director Mark Vadon | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Prepare Employment Diversity Report and Report on Diversity Policies | Against | Abstain | Shareholder |
| 5 | Reduce Ownership Threshold for Shareholders to Call Special Meeting | Against | For | Shareholder |

THE KROGER CO.

Ticker: KR Security ID: 501044101

Meeting Date: JUN 23, 2016 Meeting Type: Annual

Record Date: APR 27, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director Nora A. Aufreiter | For | For | Management |
| 1b | Elect Director Robert D. Beyer | For | For | Management |
| 1c | Elect Director Anne Gates | For | For | Management |
| 1d | Elect Director Susan J. Kropf | For | For | Management |
| 1e | Elect Director W. Rodney McMullen | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1f | Elect Director Jorge P. Montoya | For | For | Management |
| 1g | Elect Director Clyde R. Moore | For | For | Management |
| 1h | Elect Director Susan M. Phillips | For | For | Management |
| 1i | Elect Director James A. Runde | For | For | Management |
| 1j | Elect Director Ronald L. Sargent | For | For | Management |
| 1k | Elect Director Bobby S. Shackouls | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Report on Human Rights Risk Assessment Process | Against | Abstain | Shareholder |
| 5 | Assess Environmental Impact of Non-Recyclable Packaging | Against | Abstain | Shareholder |
| 6 | Assess Feasibility and Benefits of Adopting Quantitative Renewable Energy Goals | Against | Abstain | Shareholder |
| 7 | Adopt a Payout Policy Giving Preference to Share Buybacks Over Dividends | Against | Against | Shareholder |

THE MOSAIC COMPANY

Ticker: MOS Security ID: 61945C103

Meeting Date: MAY 19, 2016 Meeting Type: Annual

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Record Date: MAR 22, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Provide Directors May Be Removed With or Without Cause | For | For | Management |
| 2 | Eliminate Class of Common Stock | For | For | Management |
| 3a | Elect Director Nancy E. Cooper | For | For | Management |
| 3b | Elect Director Gregory L. Ebel | For | For | Management |
| 3c | Elect Director Timothy S. Gitzel | For | For | Management |
| 3d | Elect Director Denise C. Johnson | For | For | Management |
| 3e | Elect Director Emery N. Koenig | For | Against | Management |
| 3f | Elect Director Robert L. Lumpkins | For | For | Management |
| 3g | Elect Director William T. Monahan | For | For | Management |
| 3h | Elect Director James ('Joc') C. O'Rourke | For | For | Management |
| 3i | Elect Director James L. Popowich | For | For | Management |
| 3j | Elect Director David T. Seaton | For | For | Management |
| 3k | Elect Director Steven M. Seibert | For | For | Management |
| 4 | Ratify KPMG LLP as Auditors | For | For | Management |
| 5 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 6 | Other Business | For | Against | Management |

THE TRAVELERS COMPANIES, INC.

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Ticker: TRV Security ID: 89417E109

Meeting Date: MAY 19, 2016 Meeting Type: Annual

Record Date: MAR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1a | Elect Director Alan L. Beller | For | For | Management |
| 1b | Elect Director John H. Dasburg | For | For | Management |
| 1c | Elect Director Janet M. Dolan | For | For | Management |
| 1d | Elect Director Kenneth M. Duberstein | For | For | Management |
| 1e | Elect Director Jay S. Fishman | For | For | Management |
| 1f | Elect Director Patricia L. Higgins | For | For | Management |
| 1g | Elect Director Thomas R. Hodgson | For | For | Management |
| 1h | Elect Director William J. Kane | For | For | Management |
| 1i | Elect Director Cleve L. Killingsworth, Jr. | For | For | Management |
| 1j | Elect Director Philip T. (Pete) Ruegger, III | For | For | Management |
| 1k | Elect Director Todd C. Schermerhorn | For | For | Management |
| 1l | Elect Director Alan D. Schnitzer | For | For | Management |
| 1m | Elect Director Donald J. Shepard | For | For | Management |
| 1n | Elect Director Laurie J. Thomsen | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 4 | Amend Omnibus Stock Plan | For | For | Management |
| 5 | Report on Political Contributions | Against | Against | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

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TRANSOCEAN LTD.

Ticker: RIG Security ID: H8817H100

Meeting Date: OCT 29, 2015 Meeting Type: Special

Record Date: OCT 12, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Adjust Par Value of Common Stock | For | For | Management |
| 2 | Approve Cancellation of Capital Authorization | For | For | Management |
| 3 | Approve Cancellation of Third and Fourth Dividend Installments | For | For | Management |
| 4 | Elect Director Jeremy D. Thigpen | For | For | Management |
| 5 | Transact Other Business (Voting) | For | Against | Management |

TRANSOCEAN LTD.

Ticker: RIG Security ID: H8817H100

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: APR 25, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|----|--|-----|-----|------------|
| 1 | Accept Financial Statements and Statutory Reports | For | For | Management |
| 2 | Approve Discharge of Board and Senior Management | For | For | Management |
| 3 | Appropriation of Available Earnings for Fiscal Year 2015 | For | For | Management |
| 4 | Approve the Renewal of Authorized Share Capital without Preemptive Rights | For | For | Management |
| 5A | Elect Glyn A. Barker as Director | For | For | Management |
| 5B | Elect Vanessa C.L. Chang as Director | For | For | Management |
| 5C | Elect Frederico F. Curado as Director | For | For | Management |
| 5D | Elect Chadwick C. Deaton as Director | For | For | Management |
| 5E | Elect Vincent J. Intrieri as Director | For | For | Management |
| 5F | Elect Martin B. McNamara as Director | For | For | Management |
| 5G | Elect Samuel J. Merksamer as Director | For | For | Management |
| 5H | Elect Merrill A. 'Pete' Miller, Jr. as Director | For | For | Management |
| 5I | Elect Edward R. Muller as Director | For | For | Management |
| 5J | Elect Tan Ek Kia as Director | For | For | Management |
| 5K | Elect Jeremy D. Thigpen as Director | For | For | Management |
| 6 | Elect Merrill A. 'Pete' Miller, Jr. as Board Chairman | For | For | Management |
| 7A | Appoint Frederico F. Curado as Member of the Compensation Committee | For | For | Management |
| 7B | Appoint Vincent J. Intrieri as Member of the Compensation Committee | For | For | Management |
| 7C | Appoint Martin B. McNamara as Member of the Compensation Committee | For | For | Management |

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|-----|--|-----|---------|------------|
| 7D | Appoint Tan Ek Kia as Member of the Compensation Committee | For | For | Management |
| 8 | Designate Schweiger Advokatur/Notariat as Independent Proxy | For | For | Management |
| 9 | Appointment Of Ernst & Young LLP as Independent Registered Public Accounting Firm for Fiscal Year 2016 and Reelection of Ernst & Young Ltd, Zurich as the Company's Auditor for a Further One-Year Term | For | For | Management |
| 10 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 11A | Approve Maximum Remuneration of Board of Directors for the Period Between the 2016 and 2017 Annual General Meetings in the Amount of USD 4.12 Million | For | For | Management |
| 11B | Approve Maximum Remuneration of the Executive Management Team for Fiscal Year 2017 in the Amount of USD 29.62 Million | For | For | Management |
| 12 | Other Business | For | Against | Management |

TRI-CONTINENTAL CORPORATION

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Ticker: TY Security ID: 895436103

Meeting Date: APR 18, 2016 Meeting Type: Annual

Record Date: MAR 10, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director William P. Carmichael | For | For | Management |
| 1.2 | Elect Director Minor M. Shaw | For | For | Management |
| 1.3 | Elect Director William F. Truscott | For | For | Management |
| 2 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |

TYSON FOODS, INC.

Ticker: TSN Security ID: 902494103

Meeting Date: FEB 05, 2016 Meeting Type: Annual

Record Date: DEC 07, 2015

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1a | Elect Director John Tyson | For | For | Management |
| 1b | Elect Director Gaurdie E. Banister, Jr. | For | For | Management |
| 1c | Elect Director Mike Beebe | For | For | Management |
| 1d | Elect Director Mikel A. Durham | For | For | Management |
| 1e | Elect Director Kevin M. McNamara | For | For | Management |
| 1f | Elect Director Brad T. Sauer | For | For | Management |
| 1g | Elect Director Donnie Smith | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 1h | Elect Director Robert Thurber | For | For | Management |
| 1i | Elect Director Barbara A. Tyson | For | For | Management |
| 2 | Amend Executive Incentive Bonus Plan | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | For | Management |
| 4 | Report on the Risks Associated with Use of Gestation Crates in Supply Chain | Against | Abstain | Shareholder |
| 5 | Implement a Water Quality Stewardship Policy | Against | Abstain | Shareholder |
| 6 | Require Independent Board Chairman | Against | For | Shareholder |
| 7 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 8 | Report on Working Conditions | Against | Abstain | Shareholder |
| 9 | Report on Lobbying Payments and Policy | Against | For | Shareholder |

UNITED CONTINENTAL HOLDINGS, INC.

Ticker: UAL Security ID: 910047109

Meeting Date: JUN 08, 2016 Meeting Type: Annual

Record Date: APR 21, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Carolyn Corvi | For | For | Management |
| 1.2 | Elect Director Jane C. Garvey | For | For | Management |
| 1.3 | Elect Director Barnaby 'Barney' M. | For | For | Management |

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Harford

| | | | | |
|------|--------------------------------------|-----|-----|------------|
| 1.4 | Elect Director Walter Isaacson | For | For | Management |
| 1.5 | Elect Director James A. C. Kennedy | For | For | Management |
| 1.6 | Elect Director Robert A. Milton | For | For | Management |
| 1.7 | Elect Director Oscar Munoz | For | For | Management |
| 1.8 | Elect Director William R. Nuti | For | For | Management |
| 1.9 | Elect Director Edward L. Shapiro | For | For | Management |
| 1.10 | Elect Director Laurence E. Simmons | For | For | Management |
| 1.11 | Elect Director David J. Vitale | For | For | Management |
| 1.12 | Elect Director James M. Whitehurst | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

Executive Officers' Compensation

UNITED PARCEL SERVICE, INC.

Ticker: UPS Security ID: 911312106

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director David P. Abney | For | For | Management |
| 1b | Elect Director Rodney C. Adkins | For | For | Management |
| 1c | Elect Director Michael J. Burns | For | For | Management |
| 1d | Elect Director William R. Johnson | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1e | Elect Director Candace Kendle | For | For | Management |
| 1f | Elect Director Ann M. Livermore | For | For | Management |
| 1g | Elect Director Rudy H.P. Markham | For | For | Management |
| 1h | Elect Director Clark 'Sandy' T. Randt, Jr. | For | For | Management |
| 1i | Elect Director John T. Stankey | For | For | Management |
| 1j | Elect Director Carol B. Tome | For | For | Management |
| 1k | Elect Director Kevin M. Warsh | For | For | Management |
| 2 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 3 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |
| 4 | Approve Recapitalization Plan for all Stock to Have One-vote per Share | Against | For | Shareholder |
| 5 | Adopt Holy Land Principles | Against | Abstain | Shareholder |

VALERO ENERGY CORPORATION

Ticker: VLO Security ID: 91913Y100

Meeting Date: MAY 12, 2016 Meeting Type: Annual

Record Date: MAR 15, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-----------------------------------|---------|-----------|------------|
| 1a | Elect Director Joseph W. Gorder | For | For | Management |
| 1b | Elect Director Deborah P. Majoras | For | For | Management |
| 1c | Elect Director Donald L. Nickles | For | For | Management |

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| | | | | |
|----|--|-----|-----|------------|
| 1d | Elect Director Philip J. Pfeiffer | For | For | Management |
| 1e | Elect Director Robert A. Profusek | For | For | Management |
| 1f | Elect Director Susan Kaufman Purcell | For | For | Management |
| 1g | Elect Director Stephen M. Waters | For | For | Management |
| 1h | Elect Director Randall J. Weisenburger | For | For | Management |
| 1i | Elect Director Rayford Wilkins, Jr. | For | For | Management |
| 2 | Ratify KPMG LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 4 | Amend Certificate of Incorporation to provide for removal of directors without cause | For | For | Management |
| 5 | Amend Omnibus Stock Plan | For | For | Management |

VALIDUS HOLDINGS, LTD.

Ticker: VR Security ID: G9319H102

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 11, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Mahmoud Abdallah | For | For | Management |
| 1.2 | Elect Director Jeffrey W. Greenberg | For | Withhold | Management |
| 1.3 | Elect Director John J. Hendrickson | For | For | Management |
| 1.4 | Elect Director Edward J. Noonan | For | For | Management |

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| | | | | |
|-----|---|-----|-----|------------|
| 1.5 | Elect Director Gail Ross | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify PricewaterhouseCoopers Ltd. as Auditors | For | For | Management |

VERISIGN, INC.

Ticker: VRSN Security ID: 92343E102

Meeting Date: JUN 09, 2016 Meeting Type: Annual

Record Date: APR 15, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Director D. James Bidzos | For | For | Management |
| 1.2 | Elect Director Kathleen A. Cote | For | For | Management |
| 1.3 | Elect Director Thomas F. Frist, III | For | For | Management |
| 1.4 | Elect Director Jamie S. Gorelick | For | For | Management |
| 1.5 | Elect Director Roger H. Moore | For | For | Management |
| 1.6 | Elect Director Louis A. Simpson | For | For | Management |
| 1.7 | Elect Director Timothy Tomlinson | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Omnibus Stock Plan | For | For | Management |
| 4 | Permit Board to Amend Bylaws Without Shareholder Consent | For | For | Management |

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| | | | | |
|---|-----------------------------|---------|-----|-------------|
| 5 | Ratify KPMG LLP as Auditors | For | For | Management |
| 6 | Proxy Access | Against | For | Shareholder |

VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104

Meeting Date: MAY 05, 2016 Meeting Type: Annual

Record Date: MAR 07, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Shellye L. Archambeau | For | For | Management |
| 1.2 | Elect Director Mark T. Bertolini | For | For | Management |
| 1.3 | Elect Director Richard L. Carrion | For | For | Management |
| 1.4 | Elect Director Melanie L. Healey | For | For | Management |
| 1.5 | Elect Director M. Frances Keeth | For | For | Management |
| 1.6 | Elect Director Karl-Ludwig Kley | For | For | Management |
| 1.7 | Elect Director Lowell C. McAdam | For | For | Management |
| 1.8 | Elect Director Donald T. Nicolaisen | For | For | Management |
| 1.9 | Elect Director Clarence Otis, Jr. | For | For | Management |
| 1.10 | Elect Director Rodney E. Slater | For | For | Management |
| 1.11 | Elect Director Kathryn A. Tesija | For | For | Management |
| 1.12 | Elect Director Gregory D. Wasson | For | For | Management |
| 1.13 | Elect Director Gregory G. Weaver | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

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Executive Officers' Compensation

| | | | | |
|---|--|---------|---------|-------------|
| 4 | Adopt Quantitative Renewable Energy Goals | Against | Abstain | Shareholder |
| 5 | Report on Indirect Political Contributions | Against | Against | Shareholder |
| 6 | Report on Lobbying Payments and Policy | Against | For | Shareholder |
| 7 | Require Independent Board Chairman | Against | For | Shareholder |
| 8 | Submit Severance Agreement (Change-in-Control) to Shareholder Vote | Against | Against | Shareholder |
| 9 | Stock Retention/Holding Period | Against | Against | Shareholder |

VERTEX PHARMACEUTICALS INCORPORATED

Ticker: VRTX Security ID: 92532F100

Meeting Date: JUN 15, 2016 Meeting Type: Annual

Record Date: APR 20, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Joshua Boger | For | For | Management |
| 1.2 | Elect Director Terrence C. Kearney | For | For | Management |
| 1.3 | Elect Director Yuchun Lee | For | For | Management |
| 1.4 | Elect Director Elaine S. Ullian | For | For | Management |
| 2 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 3 | Advisory Vote to Ratify Named | For | For | Management |

Executive Officers' Compensation

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| | | | | |
|---|---|---------|---------|-------------|
| 4 | Declassify the Board of Directors | Against | For | Shareholder |
| 5 | Pro-rata Vesting of Equity Awards | Against | Against | Shareholder |
| 6 | Stock Retention/Holding Period | Against | Against | Shareholder |
| 7 | Assess Feasibility of Including Sustainability as a Performance Measure for Senior Executive Compensation | Against | Abstain | Shareholder |

VOYA FINANCIAL, INC.

Ticker: VOYA Security ID: 929089100

Meeting Date: MAY 26, 2016 Meeting Type: Annual

Record Date: MAR 28, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--------------------------------------|---------|-----------|------------|
| 1a | Elect Director Lynne Biggar | For | For | Management |
| 1b | Elect Director Jane P. Chwick | For | For | Management |
| 1c | Elect Director Ruth Ann M. Gillis | For | For | Management |
| 1d | Elect Director J. Barry Griswell | For | For | Management |
| 1e | Elect Director Frederick S. Hubbell | For | For | Management |
| 1f | Elect Director Rodney O. Martin, Jr. | For | For | Management |
| 1g | Elect Director Byron H. Pollitt, Jr. | For | For | Management |
| 1h | Elect Director Joseph V. Tripodi | For | For | Management |
| 1i | Elect Director Deborah C. Wright | For | For | Management |
| 1j | Elect Director David Zwiener | For | For | Management |

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| | | | | |
|---|--|---------|---------|-------------|
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Approve Executive Incentive Bonus Plan | For | For | Management |
| 4 | Approve Qualified Employee Stock Purchase Plan | For | For | Management |
| 5 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 6 | Report on Corporate Values and Policies on Investments in Companies Tied to Genocide | Against | Abstain | Shareholder |

WAL-MART STORES, INC.

Ticker: WMT Security ID: 931142103

Meeting Date: JUN 03, 2016 Meeting Type: Annual

Record Date: APR 08, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|------------------------------------|---------|-----------|------------|
| 1a | Elect Director James I. Cash, Jr. | For | For | Management |
| 1b | Elect Director Pamela J. Craig | For | For | Management |
| 1c | Elect Director Timothy P. Flynn | For | For | Management |
| 1d | Elect Director Thomas W. Horton | For | For | Management |
| 1e | Elect Director Marissa A. Mayer | For | For | Management |
| 1f | Elect Director C. Douglas McMillon | For | For | Management |
| 1g | Elect Director Gregory B. Penner | For | For | Management |
| 1h | Elect Director Steven S. Reinemund | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1i | Elect Director Kevin Y. Systrom | For | For | Management |
| 1j | Elect Director S. Robson Walton | For | For | Management |
| 1k | Elect Director Steuart L. Walton | For | For | Management |
| 1l | Elect Director Linda S. Wolf | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Amend Qualified Employee Stock Purchase Plan | For | For | Management |
| 4 | Ratify Ernst & Young LLP as Auditors | For | For | Management |
| 5 | Require Independent Board Chairman | Against | For | Shareholder |
| 6 | Report on Incentive Compensation Plans | Against | Against | Shareholder |
| 7 | Report on Guidelines for Country Selection | Against | Abstain | Shareholder |

WELLS FARGO & COMPANY

Ticker: WFC Security ID: 949746101

Meeting Date: APR 26, 2016 Meeting Type: Annual

Record Date: MAR 01, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director John D. Baker, II | For | For | Management |
| 1b | Elect Director Elaine L. Chao | For | For | Management |
| 1c | Elect Director John S. Chen | For | For | Management |
| 1d | Elect Director Lloyd H. Dean | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 1e | Elect Director Elizabeth A. Duke | For | For | Management |
| 1f | Elect Director Susan E. Engel | For | For | Management |
| 1g | Elect Director Enrique Hernandez, Jr. | For | For | Management |
| 1h | Elect Director Donald M. James | For | For | Management |
| 1i | Elect Director Cynthia H. Milligan | For | For | Management |
| 1j | Elect Director Federico F. Pena | For | For | Management |
| 1k | Elect Director James H. Quigley | For | For | Management |
| 1l | Elect Director Stephen W. Sanger | For | For | Management |
| 1m | Elect Director John G. Stumpf | For | For | Management |
| 1n | Elect Director Susan G. Swenson | For | For | Management |
| 1o | Elect Director Suzanne M. Vautrinot | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify KPMG LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |
| 5 | Report on Lobbying Payments and Policy | Against | Against | Shareholder |

XCEL ENERGY INC.

Ticker: XEL Security ID: 98389B100

Meeting Date: MAY 18, 2016 Meeting Type: Annual

Record Date: MAR 22, 2016

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|----------------------------------|---------|-----------|------------|
| 1a | Elect Director Gail K. Boudreaux | For | For | Management |

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| | | | | |
|----|---|---------|-----|-------------|
| 1b | Elect Director Richard K. Davis | For | For | Management |
| 1c | Elect Director Ben Fowke | For | For | Management |
| 1d | Elect Director Richard T. O'Brien | For | For | Management |
| 1e | Elect Director Christopher J. Policinski | For | For | Management |
| 1f | Elect Director James T. Prokopanko | For | For | Management |
| 1g | Elect Director A. Patricia Sampson | For | For | Management |
| 1h | Elect Director James J. Sheppard | For | For | Management |
| 1i | Elect Director David A. Westerlund | For | For | Management |
| 1j | Elect Director Kim Williams | For | For | Management |
| 1k | Elect Director Timothy V. Wolf | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | For | Management |
| 3 | Ratify Deloitte & Touche LLP as Auditors | For | For | Management |
| 4 | Require Independent Board Chairman | Against | For | Shareholder |

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Any ballot marked 'Abstain' is considered to have been voted. Ballots marked 'Abstain' are considered to have been voted against management's recommendation if management's recommendation is 'For' or 'Against,' and for management's recommendation if management's recommendation is Abstain. Where management has recommended that shareholders 'Abstain' from voting on a ballot item, a ballot marked 'For' or 'Against' is considered to have been voted against management's recommendation to 'Abstain.'

Where management has made no recommendation on a ballot item, 'None' is used to indicate that there is no management recommendation that a shareholder may vote 'For' or 'Against.'

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Any ballot marked as 'Take No Action' is neither a vote cast for or against management and 'None' is used to represent this.

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SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

| | |
|---------------------------|---|
| (Registrant) | Tri-Continental Corporation |
| By (Signature and Title)* | /s/ Christopher O. Petersen Christopher O. Petersen President and Principal Executive Officer |
| Date: | August 22, 2016 |

* Print the name and title of each signing officer under his or her signature.
