CONTROL4 CORP Form SC 13G February 14, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### **SCHEDULE 13G**

(Rule 13d-102)

# INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1 (b), (c) AND (d) AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2 (b)

(Amendment No. )\*

## **Control4 Corporation**

(Name of Issuer)

Common Stock, par value \$0.001 per share

(Title of Class of Securities)

#### 21240D 10 7

(CUSIP Number)

#### December 31, 2013

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- o Rule 13d-1(b)
- o Rule 13d-1(c)
- x Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 21240D	10 7		13 G	
1	Names of Reporting Per vSpring III D, L.P.	sons.		
2	Check the Appropriate E (a) (b)	Box if a Member o o x (1)	of a Group	
3	SEC Use Only			
4	Citizenship or Place of O Delaware, United States	-		
	5		Sole Voting Power 0	
Number of Shares Beneficially Owned by	6		Shared Voting Power 2,264,751 shares of Common Stock (2	)
Each Reporting Person With	7		Sole Dispositive Power 0	
	8		Shared Dispositive Power 2,264,751 shares of Common Stock (2	)
9	Aggregate Amount Beneficially Owned by Each Reporting Person 2,264,751 shares of Common Stock (2)			
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares o			
11	Percent of Class Represented by Amount in Row 9 9.9% (3)			
12	Type of Reporting Perso PN	on		

<sup>(1)</sup> This Schedule 13G is filed by vSpring III D, L.P. (VS III D), vSpring III, L.P. (VS III), vSpring Management III D, L.L.C. (Management III D), vSpring Management III, L.L.C. (Management III), vSpring Partners III, L.P. (VS Partners III), vSpring SBIC Management, L.L.C. (SBIC Management), vSpring SBIC, L.P. (VS SBIC), Scott Petty (Petty), Dinesh Patel (Patel), Ron Heinz (Heinz) and Brandon Tidwell (Tidwell, and together with VS III D, VS III, Management III D, Management III, VS Partners III, SBIC Management, VS SBIC, Petty, Patel and Heinz, collectively, the Reporting Persons). The Reporting Persons expressly disclaim status as a group for purposes of this Schedule 13G.

<sup>(2)</sup> Includes (i) 1,973,134 shares held by VS SBIC, (ii) 63,542 shares held by VS III D, (iii) 226,819 shares held by VS III, and (iv) 1,256 share held by VS Partners III. Management III D is the sole general partner of VS III D. Management III D exercises sole voting and investment power over the shares held by VS III D. Each of Petty, Patel, Heinz and Tidwell is a managing member of Management III D and may be deemed to share voting and investment power over the shares held by VS III D. Management III is the sole general partner of VS III and VS Partners III. Management III exercises sole voting and investment power over the shares held by VS III D. Management III is the sole general partner of VS III and VS Partners III. Management III exercises sole voting and investment power over the shares held by VS III and VS Partners III. Each of Petty, Patel, Heinz and Tidwell is a managing member of Management III and may be deemed to share voting and investment power over the shares held by VS III and VS Partners III. SBIC Management is the sole general partner of VS SBIC. SBIC Management exercises sole voting and investment power over the shares held by VS SBIC. Each of Petty and Patel is a managing member of SBIC Management and may be deemed to share voting and investment power over the shares held by VS SBIC. Each of Petty and Patel is a managing member of SBIC Management and may be deemed to share voting and investment power over the shares held by VS SBIC.

<sup>(3)</sup> This percentage is calculated based upon 22,772,528 shares of Common Stock outstanding as of October 25, 2013 as set forth in the Issuer s most recent 10-Q filed with the Securities and Exchange Commission on November 1, 2013.

CUSIP No. 21240D	10 7		13 G
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2	Check the Appropriate E (a) (b)	Box if a Member o o x (1)	of a Group
3	SEC Use Only		
4	Citizenship or Place of O Delaware, United States	-	
	5		Sole Voting Power 0 shares
Number of Shares Beneficially Owned by	6		Shared Voting Power 2,264,751 shares of Common Stock (2)
Each Reporting Person With	7		Sole Dispositive Power 0 shares
	8		Shared Dispositive Power 2,264,751 shares of Common Stock (2)
9	Aggregate Amount Bend 2,264,751 shares of Cor		y Each Reporting Person
10	Check Box if the Aggreg	gate Amount in R	ow (9) Excludes Certain Shares o
11	Percent of Class Represented by Amount in Row 9 9.9% (3)		
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2	Check the Appropriate (a) (b)	Box if a Member o x (1)	of a Group
3	SEC Use Only		
4	Citizenship or Place of Delaware, United States	-	
Number of	5		Sole Voting Power 0 shares
Shares Beneficially Owned by	6		Shared Voting Power 2,264,751 shares of Common Stock (2)
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#### CUSIP No. 21240D 107 13 G 1 Names of Reporting Persons. vSpring SBIC Management, L.L.C. 2 Check the Appropriate Box if a Member of a Group (a) 0 (b) x (1) 3 SEC Use Only 4 Citizenship or Place of Organization Delaware, United States of America 5 Sole Voting Power Ω Number of Shares 6 Shared Voting Power Beneficially 2,264,751 shares of Common Stock (2) Owned by Each 7 Sole Dispositive Power Reporting 0 Person With 8 Shared Dispositive Power 2.264.751 shares of Common Stock (2) 9 Aggregate Amount Beneficially Owned by Each Reporting Person 2,264,751 shares of Common Stock (2) 10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares o 11 Percent of Class Represented by Amount in Row 9 9.9% (3) 12 Type of Reporting Person 00

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### CUSIP No. 21240D 107

13 G

1	orting Persons. , L.P.		
Check the App	Check the Appropriate Box if a Member of a Group		
(a)	0		
(b)	x (1)		
	vSpring SBIC		

3 SEC Use Only