

Edgar Filing: New Mountain Finance Corp - Form 4

Stock						14,425				Heidi C. Wolfgruber
						<u>(1)</u>				
Common Stock	11/19/2013		P	800	A	\$ 14,466	800		I	By Child - Hayley C. Wolfgruber
Common Stock	11/20/2013		P	700	A	\$ 14,428	1,500		I	By Child - Hayley C. Wolfgruber
						<u>(1)</u>				
Common Stock	11/19/2013		P	800	A	\$ 14,466	800		I	By Child - Christian K. Wolfgruber
						<u>(1)</u>				
Common Stock	11/20/2013		P	700	A	\$ 14,431	1,500		I	By Child - Christian K. Wolfgruber
						<u>(1)</u>				
Common Stock	11/19/2013		P	1,000	A	\$ 14,456	1,000		I	Kurt J. Wolfgruber Trustee U/W of Paul J. Wolfgruber
						<u>(1)</u>				
Common Stock	11/20/2013		P	1,000	A	\$ 14,385	2,000		I	Kurt J. Wolfgruber Trustee U/W of Paul J. Wolfgruber
						<u>(1)</u>				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or

Number
of
Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Wolfgruber Kurt C/O NEW MOUNTAIN FINANCE CORPORATION 787 7TH AVENUE, 48TH FLOOR NEW YORK, NY 10019	X			

Signatures

/s/ Kurt J.
Wolfgruber 11/21/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is the average price. The shares were purchased in multiple transactions. The reporting person undertakes to provide to (1) the SEC, the issuer and any security holder, upon request, full information regarding the number of shares and the prices at which the shares were purchased.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.