

VOCERA COMMUNICATIONS, INC.

Form 4

March 03, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DUFFY MARY BRIDGET**

(Last) (First) (Middle)

**C/O VOCERA COMMUNICATIONS, INC., 525 RACE STREET**

(Street)

**SAN JOSE, CA 95126**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**VOCERA COMMUNICATIONS, INC. [VCRA]**

3. Date of Earliest Transaction (Month/Day/Year)  
**03/01/2016**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**CHIEF MEDICAL OFFICER**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------|---|---|--|--|
|                                 |                                      |  |                    | Code V Amount (A) or (D) Price                                    |   |  |  |
| Common Stock                    | 03/01/2016                           |  | M <sup>(1)</sup>   | 18,560 A \$ 2.16  | 93,237  | D  |  |
| Common Stock                    | 03/01/2016                           |  | S <sup>(1)</sup>   | 18,560 D \$ 13.6487   | 74,677  | D  |  |
| Common Stock                    | 03/02/2016                           |  | M <sup>(1)</sup>   | 16,753 A \$ 2.16  | 91,430  | D  |  |
| Common Stock                    | 03/02/2016                           |  | S <sup>(1)</sup>   | 16,753 D \$ 14.0875   | 74,677  | D  |  |

(4)

Common  
Stock

283

I

By DS  
Consulting  
Associates  
LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option (Right to Buy)                | \$ 2.16  | 03/01/2016                           |  | M <sup>(1)</sup>               | 18,560  | <sup>(5)</sup> 12/22/2020                                | Common Stock  | 18,560                        |
| Stock Option (Right to Buy)                | \$ 2.16  | 03/02/2016                           |  | M <sup>(1)</sup>               | 16,753  | <sup>(5)</sup> 12/22/2020                                | Common Stock  | 16,753                        |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

DUFFY MARY BRIDGET  
C/O VOCERA COMMUNICATIONS, INC.  
525 RACE STREET  
SAN JOSE, CA 95126

CHIEF MEDICAL OFFICER

## Signatures

/s/ M. Bridget Duffy by Jay Spitzen,  
Attorney-in-Fact

03/03/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.
- (2) Represents the aggregate of sales effected on the same day at different prices.  
Represents the weighted average sales price per share. The shares sold at prices ranging from \$13.48 to \$13.87 per share. Full information
- (3) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.  
Represents the weighted average sales price per share. The shares sold at prices ranging from \$13.58 to \$14.43 per share. Full information
- (4) regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- (5) The option grant fully vested on November 3, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 0in .0001pt 10.0pt;text-indent:-10.0pt;">Name:

Karen M. King

Title:

Managing Director & Chief Legal Officer

SILVER LAKE TECHNOLOGY  
ASSOCIATES SUMERU, L.P.

By: SLTA Sumeru (GP), L.L.C., its general partner

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SLTA SUMERU (GP), L.L.C.

By:  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SILVER LAKE CREDIT FUND, L.P.

By: Silver Lake Financial Associates, L.P., its general partner

By: SLFA (GP), L.L.C., its general partner

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SILVER LAKE FINANCIAL ASSOCIATES, L.P.

By: SLFA (GP), L.L.C., its general partner

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SLFA (GP), L.L.C.

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SL CAPITAL APPRECIATION FUND, L.L.C.

By: Silver Lake Group, L.L.C., its managing member

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer

SILVER LAKE GROUP, L.L.C.

By: /s/ Karen M. King  
Name: Karen M. King  
Title: Managing Director & Chief Legal Officer