XTENT INC Form 3

January 31, 2007

FORM		TED STA	TES SECURITIES AND EXCHANGE COMMISSION			ION	OMB APPROVAL				
	Washington, D.C. 20549							MB lumber:	3235-	0104	
INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF									Januar	•	
		n 17(a) of	<b>SECUR</b> t to Section 16(a) of th the Public Utility Hole 0(h) of the Investment	e Securities E ding Company	y Act of 193		b 34, re	stimated a urden hou esponse	iverage	2005 0.5	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Unkart Edward W			2. Date of Event Requirin Statement (Month/Day/Year)		3. Issuer Name <b>and</b> Ticker or Trading Syn XTENT INC [XTNT]						
(Last)	(First)	(Middle)	01/31/2007					5. If Amendment, Date Original Filed(Month/Day/Year)			
C/O XTENT, INC., 125 CONSTITUTION DRIVE				(Check all applicable)				·			
	(Street)			X Directo Officer (give title below	Othe	er i	Filing(Cl	dual or Join leck Applical	ble Line)	g	
MENLO PA	ARK, CAÂ	94025					Person	filed by Mor			
(City)	(State)	(Zip)	Table I -	Non-Derivat	tive Securit	ties Ben	eficiall	y Owned	l		
1.Title of Secu (Instr. 4)	ırity		2. Amount Beneficial (Instr. 4)	of Securities ly Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natu Owner (Instr. :	ship	irect Benef	cial		
Reminder: Rep owned directly		te line for ea	ach class of securities benef	icially S	SEC 1473 (7-0	2)					
	informa require	ation conta d to respo	pond to the collection o ained in this form are n and unless the form dis MB control number.	ot							
	Table II - Deriv	vative Secu	rities Beneficially Owned	(e.g., puts, calls	, warrants, oj	ptions, co	onvertible	e securities	)		
1. Title of Der (Instr. 4)	ivative Security			itle and Amount arities Underlyin		5 ersion C	Ownershi		re of Indi cial	irect	

## Edgar Filing: XTENT INC - Form 3

					(Instr. 5)		
Director Stock Option (Right to Buy)	(2)	08/29/2016	Common Stock	22,500	\$ 9.2	D	Â
Director Stock Option (Right to Buy)	(2)	01/03/2017	Common Stock	7,500	\$ 12.32	D	Â
Series A Convertible Preferred Stock	(1)	( <u>3)</u>	Common Stock	8,333	\$ <u>(1)</u>	I <u>(4)</u>	By Takei Unkart Family Trust

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Unkart Edward W C/O XTENT, INC. 125 CONSTITUTION DRIVE MENLO PARK, CA 94025	ÂX	Â	Â	Â			
Signatures							
/s/ Edward W. 01/3	1/2007						

Unkart <u>\*\*</u>Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reported securities are convertible at any time at the option of the Takei Unkart Family Trust but automatically convert into shares
  of Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering, estimated to be on or about February 6, 2007.
- (2) The shares subject to the option vest and become exercisable as to 1/3 of the total number of shares on each anniversary of 08/29/2006.
- (3) Not applicable.
- (4) Mr. Unkart is a trustee of the Takei Unkart Family Trust and disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.
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## **Remarks:**

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.