

RODENO MICHAELA K
Form 4
May 28, 2010

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RODENO MICHAELA K

(Last) (First) (Middle)
3003 TASMAN DRIVE
(Street)
SANTA CLARA, CA 95054
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SVB FINANCIAL GROUP [SIVB]

3. Date of Earliest Transaction
(Month/Day/Year)
05/26/2010

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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Derivative Security			Code	V	(A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
					(A)	(D)				
Restricted Stock Unit	\$ 0	05/26/2010	A		2,280		04/21/2011 ⁽¹⁾	05/26/2017	Common Stock	2,280

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RODENO MICHAELA K 3003 TASMAN DRIVE SANTA CLARA, CA 95054		X		

Signatures

By: Lisa Bertolet as attorney in fact For: Michaela K Rodeno 05/28/2010

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting person was granted restricted stock units that will vest at the end of their 2010-2011 term as a Director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. rgin:0in 0in .0001pt;">

5,403,750

Term Loan, 7.620%, maturing May 28, 2013

5,336,576

Dollarama Group, L.P.

Ba2

B+

3,438,969

Term Loan, 7.376%, maturing November 18, 2011

3,454,014

Harbor Freight Tools USA, Inc.

B1

B+

7,214,486

Term Loan, 7.110% 7.123%, maturing July 15, 2010

7,211,780

Jean Coutu Group, Inc.

B1

BB-

4,991,107

Term Loan, 7.938%, maturing July 30, 2011

5,006,704

Mapco Express, Inc.

B2

B+

2,234,240

Term Loan, 8.070%, maturing April 28, 2011

2,248,204

Michaels Stores, Inc.

Explanation of Responses:

B2

B-

13,000,000

Term Loan, 8.375%, maturing October 31, 2013

13,045,500

Nebraska Book Company, Inc.

Ba2

B-

2,414,974

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Term Loan, 7.870% 7.880%, maturing March 04, 2011

2,425,540

Neiman Marcus Group, Inc.

Ba3

B+

18,037,975

Term Loan, 7.891%, maturing April 06, 2013

18,181,016

Explanation of Responses:

Oriental Trading Company, Inc.

B1

B

2,493,750

Term Loan, 8.120% 8.220%, maturing July 31, 2013

2,503,882

Pantry, Inc.

Ba2

BB

2,481,250

Term Loan, 7.070%, maturing January 02, 2012

2,489,004

Pep Boys

Ba3

B+

496,253

Term Loan, 8.120%, maturing January 27, 2011

500,906

ING Prime Rate Trust		PORTFOLIO OF INVESTMENTS as of November 30, 2006 (Unaudited) (continued)		
Principal Amount	Borrower/Tranche Description	Moody s	Bank Loan Ratings S&P	Value
Retail Stores (continued)				
\$	5,125,000			
	Petco Animal Supplies, Inc.	Ba3	B	
	Term Loan, 8.100%, maturing October 26, 2013			\$ 5,151,266
GBP	2,500,000			
	Phones 4U Group, Ltd.	NR	NR	
	Term Loan, maturing November 30, 2014			4,880,835
GBP	2,500,000			
	Term Loan, maturing November 30, 2015			4,905,408
	Sally Holding, LLC	B2	B+	
	Term Loan, maturing November 16, 2013			2,513,173
	Sports Authority	B2	B	
	Term Loan, 7.617%, maturing May 03, 2013			993,759
	Tire Rack, Inc. (The)	B1	BB-	
	Term Loan, 7.070% 7.120%, maturing June 24, 2012			870,789
	Toys R Us	B1	BB	
	Term Loan, 9.625%, maturing July 14, 2012			2,433,931
	Travelcenters Of America, Inc.	B1	BB	
	Term Loan, 7.100% 7.120%, maturing December 01, 2011			9,932,751
				96,588,398
Satellite: 0.5%				
	Panamsat Corporation	Ba2	BB	
	Term Loan, 7.872%, maturing January 03, 2014			5,052,780
				5,052,780
Telecommunications Equipment: 0.7%				
	Sorenson Communications, Inc.	Ba3	B	
	Term Loan, 8.390%, maturing August 16, 2013			5,278,335
	Sorenson Communications, Inc.	Caa1	CCC+	
	Term Loan, 12.390%, maturing February 16, 2014			758,750
	Syniverse Technologies, Inc.	Ba1	BB-	
	Term Loan, 7.120%, maturing February 15, 2012			1,455,144
				7,492,229
Textiles & Leather: 1.6%				
	Hanesbrands, Inc.	Ba2	BB-	
	Term Loan, 7.625% 7.688%, maturing September 05, 2013			2,520,750
	Hanesbrands, Inc.	B1	B-	
	Term Loan, 9.188%, maturing March 05, 2014			1,023,661
	Polymer Group, Inc.	B1	BB-	
	Term Loan, 7.614%, maturing November 22, 2012			7,464,689
	Propex Fabrics, Inc.	Ba3	BB-	

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161,719	Term Loan, 7.630%, maturing July 31, 2012			161,820
	St. John Knits International, Inc.	B1	B+	
762,387	Term Loan, 9.320%, maturing March 21, 2012			758,575
	Targus Group, Inc.	Ba3	B	
1,475,943	Term Loan, 8.820% 8.870%, maturing November 22, 2012			1,452,575

ING Prime Rate Trust

PORTFOLIO OF INVESTMENTS
as of November 30, 2006 (Unaudited) (continued)

Principal Amount	Borrower/Tranche Description	Bank Loan Ratings		Value
		Moody's	S&P	
Textiles & Leather (continued)				
\$ 1,375,000	Targus Group, Inc. Term Loan, 8.820% 8.870%, maturing May 22, 2013	B3	CCC+	
	Warnaco Term Loan, 6.820% 8.500%, maturing January 31, 2013	Ba1	BB	\$ 1,258,125
939,444				936,509
2,181,727	William Carter Term Loan, 6.850% 6.876%, maturing July 14, 2012	Ba3	BB	2,180,023
				17,756,727
Utilities: 8.1%				
769,882	Astoria Generating Company Acquisitions, LLC Term Loan, 7.320%, maturing February 23, 2011	B1	BB-	775,014
2,256,025	Term Loan, 7.390%, maturing February 23, 2013			2,271,066
2,000,000	Babcock & Wilcox Company Term Loan, 8.360%, maturing February 22, 2012	Ba2	B+	2,005,000
2,500,000	Term Loan, 5.267%, maturing January 22, 2012			2,518,750
764,331	Coleto Creek WLE, L.P. Term Loan, 8.117%, maturing July 28, 2013	B1	B+	761,465
5,347,267	Term Loan, 8.117%, maturing June 28, 2013			5,327,215
4,925,000	KGEN, LLC Term Loan, 7.992%, maturing August 01, 2011	B2	B	4,943,469
218,579	La Paloma Generating Company, LLC Term Loan, 7.070%, maturing August 16, 2012	B1	BB-	217,122
1,317,078	Term Loan, 7.119%, maturing August 16, 2012			1,308,297
104,896	Term Loan, 7.117%, maturing August 16, 2012			104,197
9,594,806	LSP Kendall Energy, LLC Term Loan, 7.367%, maturing October 07, 2013	B1	B	9,592,810
4,328,655	LSP Gen Finance Co, LLC Term Loan, 7.117%, maturing May 04, 2013	Ba3	BB-	4,341,282
792,683	NE Energy, Inc. Term Loan, 9.750%, maturing November 01, 2013	B1	B+	800,015
1,907,317	Term Loan, 9.750%, maturing November 01, 2013			1,924,960
425,000	NE Energy, Inc.	B3	B-	431,906

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	Term Loan, 11.750%, maturing May 01, 2014		
6,000,000	NRG Energy, Inc.	Ba1	BB-
	Term Loan, 7.367%, maturing February 01, 2013		6,027,186
24,452,393	Term Loan, 7.367%, maturing February 01, 2013		24,577,002

ING Prime Rate Trust

PORTFOLIO OF INVESTMENTS
as of November 30, 2006 (Unaudited) (continued)

Principal Amount <i>Utilities (continued)</i>	Borrower/Tranche Description	Bank Loan Ratings		Value
		Moody s	S&P	
\$ 1,310,511	Pike Electric	Ba3	BB	\$ 1,309,692
	Term Loan, 6.875%, maturing July 01, 2012			
886,628	Term Loan, 6.875%, maturing December 10, 2012			886,074
1,198,857	Plum Point Energy Associates	B1	B	1,213,094
	Term Loan, 8.992%, maturing March 14, 2014			
2,861,854	Term Loan, 8.617%, maturing March 14, 2014			2,895,838
244,856	Riverside Energy Center, LLC	B1	B	251,896
	Term Loan, 9.626%, maturing June 24, 2010			
3,063,822	Term Loan, 9.626%, maturing June 24, 2011			3,151,900
2,116,749	Term Loan, 9.626%, maturing June 24, 2011			2,177,605
4,000,000	Thermal North America, Inc.	B1	BB-	4,020,000
	Term Loan, 8.070%, maturing October 24, 2008			
1,800,000	Wolf Hollow I, L.P.	B1	BB-	1,773,000
	Term Loan, 7.570%, maturing June 22, 2012			
450,000	Term Loan, 7.570%, maturing June 22, 2012			443,250
2,147,521	Term Loan, 7.617%, maturing June 22, 2012			2,115,308
	Total Senior Loans (Cost \$1,967,524,095)			88,164,413
				1,976,089,846
OTHER CORPORATE DEBT: 0.6%				
Automobile: 0.6%				
750,000	Avis Budget Car Rental	Ba3	BB-	721,875
	Floating Rate Note, maturing May 15, 2014			
5,200,000	Navistar International Corporation Unsecured Term Loan, 10.320% 10.368%, maturing February 22, 2009	NR	BB-	5,265,811
	Total Other Corporate Debt (Cost \$5,930,368)			5,987,686

EQUITIES AND OTHER ASSETS: 1.8%

	Description	Value
(1), (@), (R)	Allied Digital Technologies Corporation (Residual Interest in Bankruptcy Estate)	107,510

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(2), (@), (R)	AM Cosmetics Corporation (Liquidation Interest)	
(@), (R)	Block Vision Holdings Corporation (571 Common Shares)	
(2), (@), (R)	Boston Chicken, Inc. (Residual Interest in Boston Chicken Plan Trust)	
(2), (@), (R)	Cedar Chemical (Liquidation Interest)	
(@), (R)	Covenant Care, Inc. (Warrants for 19,000 Common Shares, Expires January 13, 2005)	
(@), (R)	Covenant Care, Inc. (Warrants for 26,901 Common Shares, Expires March 31, 2013)	
(@), (R)	Decision One Corporation (1,402,038 Common Shares)	145,812

ING Prime Rate Trust

PORTFOLIO OF INVESTMENTS
as of November 30, 2006 (Unaudited) (continued)
EQUITIES AND OTHER ASSETS (continued)

(2), (@), (R)	Electro Mechanical Solutions (Residual Interest in Bankruptcy Estate)	\$	1,112
(2), (@), (R)	Enterprise Profit Solutions (Liquidation Interest)		
(@), (R)	EquityCo, LLC (Warrants for 28,752 Common Shares)		
(4), (@), (R)	Euro United Corporation (Residual Interest in Bankruptcy Estate)		305,999
(@), (R)	Gemini Leasing, Inc. (143,079 common shares)		
(2), (@), (R)	Grand Union Company (Residual Interest in Bankruptcy Estate)		54,523
(@)	Hayes Lemmerz International, Inc. (73,835 Common Shares)		173,512
(2), (@), (R)	Humphreys, Inc. (Residual Interest in Bankruptcy Estate)		
(2), (@), (R)	Imperial Home Décor Group, Inc. (Liquidation Interest)		
(2), (@), (R)	Insilco Technologies (Residual Interest in Bankruptcy Estate)		
(2), (@), (R)	IT Group, Inc. (Residual Interest in Bankruptcy Estate)		100
(2), (@), (R)	Kevco, Inc. (Residual Interest in Bankruptcy Estate)		50
(2), (@), (R)	Lincoln Pulp and Eastern Fine (Residual Interest in Bankruptcy Estate)		
(@), (R)	Lincoln Paper & Tissue (Warrants for 291 Common Shares, Expires August 24, 2015)		
(@), (R)	Neoplan USA Corporation (17,348 Common Shares)		
(@), (R)	Neoplan USA Corporation (1,814,180 Series B Preferred Shares)		
(@), (R)	Neoplan USA Corporation (1,084,000 Series C Preferred Shares)		
(@), (R)	Neoplan USA Corporation (3,524,300 Series D Preferred Shares)		
(2), (@), (R)	New Piper Aircraft, Inc. (Residual Interest in Litigation Proceeds)		
(@), (R)	New World Restaurant Group, Inc. (4,706 Common Shares)		37,648
(@), (R)	Norwood Promotional Products, Inc. (104,148 Common Shares)		
(@), (R)	Safelite Glass Corporation (856,340 Common Shares)		18,651,085
(@), (R)	Safelite Realty Corporation (57,804 Common Shares)		317,922
(1), (@), (R)	Transtar Metals (Residual Interest in Bankruptcy Estate)		
(1), (@), (R)	TSR Wireless, LLC (Residual Interest in Bankruptcy Estate)		
(2), (@), (R)	U.S. Aggregates (Residual Interest in Bankruptcy Estate)		
(2), (@), (R)	U.S. Office Products Company (Residual Interest in Bankruptcy Estate)		
	Total for Equities and Other Assets		
	(Cost \$6,550,784)		19,795,273
	Total Investments		
	(Cost \$1,980,005,247)	182.8%	\$ 2,001,872,805
	Other Assets and Liabilities Net	(82.8)	(906,612,159)
	Net Assets	100.0%	\$ 1,095,260,646

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Senior loans, while exempt from registration under the Securities Act of 1933, as amended, contain certain restrictions on resale and cannot be sold publicly. These senior loans bear interest (unless otherwise noted) at rates that float periodically at a margin above the London Inter-Bank Offered Rate (LIBOR) and other short-term rates.

Bank Loans rated below Baa are considered to be below investment grade.

NR Not Rated

ING Prime Rate Trust

**PORTFOLIO OF INVESTMENTS
as of November 30, 2006 (Unaudited) (continued)**

- (1) The borrower filed for protection under Chapter 7 of the U.S. Federal bankruptcy code.
- (2) The borrower filed for protection under Chapter 11 of the U.S. Federal Bankruptcy code.
- (3) Loan is on non-accrual basis.
- (4) The borrower filed for protection under the Canadian Bankruptcy and Insolvency Act.
- (@) Non-income producing security.
- (R) Restricted security.

** For Federal Income Tax purposes cost of investments is \$1,980,110,134. Net unrealized appreciation consists of the following:

Gross Unrealized Appreciation	\$	25,877,781
Gross Unrealized Depreciation		(4,115,110)
Net Unrealized Appreciation	\$	21,762,671

ING Prime Rate Trust

PORTFOLIO OF INVESTMENTS
as of November 30, 2006 (Unaudited) (continued)

At November 30, 2006 the following forward foreign currency contracts were outstanding for ING Prime Rate Trust:

Currency	Buy/Sell	Settlement Date	In Exchange For	Value	Unrealized Appreciation/ (Depreciation)
Euro					
EUR 8,745,000	Sell	12/15/06	\$ 11,212,402	\$ 11,592,658	\$ (380,256)
Euro					
EUR 11,660,000	Sell	01/12/07	14,901,228	15,479,865	(578,637)
Euro					
EUR 8,745,000	Sell	02/15/07	11,198,704	11,628,388	(429,684)
British Pound					
GBP 4,305,000	Sell	12/15/06	8,169,684	8,463,487	(293,803)
British Pound					
GBP 5,740,000	Sell	01/12/07	10,811,162	11,286,870	(475,708)
British Pound					
GBP 4,305,000	Sell	02/15/07	8,182,895	8,466,474	(283,579)
			\$ 64,476,075	\$ 66,917,742	\$ (2,441,667)

Item 2. Controls and Procedures.

- (a) Based on our evaluation conducted within 90 days of the filing date, hereof, the design and operation of the registrant's disclosure controls and procedures are effective to ensure that material information relating to the registrant is made known to the certifying officers by others within the appropriate entities, particularly during the period in which Forms N-Q are being prepared, and the registrant's disclosure controls and procedures allow timely preparation and review of the information for the registrant's Form N-Q and the officer certifications of such Form N-Q.
- (b) There were no significant changes in the registrant's internal controls over financial reporting that occurred during the registrant's last fiscal quarter of the period covered by this report that has materially affected, or is reasonably likely to materially affect, the registrant's internal control over financial reporting.

Item 3. Exhibits.

A separate certification for each principal executive officer and principal financial officer of the registrant as required by Rule 30a-2 under the Act (17 CFR 270.30a-2) is attached hereto as EX-99.CERT.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): ING Prime Rate Trust

By /s/ Shaun P. Mathews
Shaun P. Mathews
President and Chief Executive Officer

Date: January 29, 2007

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, this report has been signed below by the following persons on behalf of the registrant and in the capacities and on the dates indicated.

By /s/ Shaun P. Mathews
Shaun P. Mathews
President and Chief Executive Officer

Date: January 29, 2007

By /s/ Todd Modic
Todd Modic
Senior Vice President and Chief Financial
Officer

Date: January 29, 2007
