

Edgar Filing: NEUBERGER BERMAN INC - Form 13F-NT

NEUBERGER BERMAN INC  
Form 13F-NT  
November 09, 2001

UNITED STATES  
SECURITIES AND  
EXCHANGE  
COMMISSION  
Washington, D.C  
20549

FORM 13F  
FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended:  
September 30, 2001 Check here if Amendment [ ];  
Amendment Number:  
This Amendment (Check only one.): [ ] is a  
restatement.

[ ] adds new

holdings entries.

Institutional Investment Manager Filing this Report:  
Name : NEUBERGER BERMAN, INC  
Address: 605 THIRD AVENUE

NEW YORK  
NEW YORK 10158-3698

13F File Number:

The institutional investment manager filing this  
report and the person by whom it is signed  
hereby represent that the person signing the  
report is authorized to submit it, that all  
information contained herein is true, correct  
and complete, and that it is understood that all  
required items, statements, schedules, lists,  
and tables, are considered integral part(s) of  
this form.

Person signing this report on behalf of

Reporting Manager:

Name: KEVIN HANDWERKER

Title: SENIOR VICE PRESIDENT AND GENERAL COUNSEL

Phone: (212) 476-9850

Signature, Place, and Date of signing:

Kevin Handwerker, New York, New York, September  
30, 2001

Report Type (Check only One)

[ ] 13F Holdings Report

[X] 13F Notice

[ ] 13F Combination Report

List of Other Managers reporting for this  
Manager: NEUBERGER BERMAN, LLC

I AM SIGNING THIS REPORT AS REQUIRED BY  
THE SECURITIES EXCHANGE ACT OF 1934