### Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

#### AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4 March 16, 2007

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number:

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

obligations

1(b).

(Print or Type Responses)

**CUMO MARION A SR** 

ONE DAUCH DRIVE

DETROIT, MI 48211-1198

(Instr. 3)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

Symbol

AMERICAN AXLE &

MANUFACTURING HOLDINGS

INC [AXL]

(Last) (First) (Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/14/2007

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

below)

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

> below) **VP-Special Projects**

(Street) 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Zip) (State) (City) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

TransactionAcquired (A) or Disposed of (D) Code (Instr. 8) (Month/Day/Year)

4. Securities (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(9-02)

Reported (A) Transaction(s)

or (Instr. 3 and 4)

Code V Amount (D) Price

Common 03/14/2007 Stock

A 3,000 A \$0 10,770

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|--|--|-----------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | (1)   | 03/14/2007                           |   | A                                      | 2,000  | (2)  | 03/14/2017      | Common<br>Stock   | 2,000                                  |
| Emplyee<br>Stock<br>Option<br>(right to<br>buy)     | \$ 26.02  | 03/14/2007                           |   | A                                      | 9,000  | (3)  | 03/14/2017      | Common<br>Stock   | 9,000                                  |

## **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |                        |       |  |  |  |
|---|---------------|-----------|------------------------|-------|--|--|--|
| <b></b>   | Director      | 10% Owner | Officer                | Other |  |  |  |
| CUMO MARION A SR<br>ONE DAUCH DRIVE<br>DETROIT, MI 48211-1198 |               |           | VP-Special<br>Projects |       |  |  |  |

## **Signatures**

Patrick S. Lancaster,
Attorney-in-fact
03/16/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Upon vesting, each restricted stock unit will be converted and distributed to the reporting person in cash equal to the then current market value of one share of AAM common stock, subject to tax withholdings.
- The restricted stock units will vest on the fifth anniversary of the grant date, unless vesting is accelerated at the end of the third or fourth (2) year of the grant. Accelerated vesting will be contingent upon the Company's achievement of pre-determined performance goals, measured by total stockholder return (TSR) as compared to the TSR of the Company's competitor peer group.
- (3) The options vest in three approximately equal annual installments beginning March 14, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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