

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

DIVIDEND & INCOME FUND

Form NSAR-B

March 03, 2014

PAGE 1
000 B000000 12/31/2013
000 C000000 0001059213
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 DIVIDEND AND INCOME FUND
001 B000000 811-08747
001 C000000 2127850900
002 A000000 11 HANOVER SQUARE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10005
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BEXIL ADVISERS LLC
008 B000001 A
008 C000001 801-71976
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10005
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
PAGE 2
015 C030001 64105
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY BROKERAGE

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

020	C000001	35
020	A000002	TRADE MANAGE CAPITAL INC.
020	C000002	26
020	A000003	JP MORGAN SECURITIES INC.
020	C000003	11
020	A000004	BMO CAPITAL MARKETS CORP.
020	C000004	4
020	A000005	SCOTIA CAPITAL INC.
020	C000005	4
020	A000006	FBR CAPITAL MARKETS
020	C000006	3
020	A000007	RBC CAPITAL MARKETS
020	C000007	3
020	A000008	STATE STREET GLOBAL MARKETS LLC
020	C000008	0
020	A000009	STATE STREET
020	C000009	0
020	C000010	0
021	000000	84
022	A000001	STATE STREET
022	C000001	30933
022	D000001	30952
022	C000002	0
022	D000002	0
022	C000003	0
022	D000003	0
022	C000004	0
022	D000004	0
022	C000005	0
022	D000005	0
022	C000006	0
022	D000006	0
022	C000007	0
022	D000007	0
022	C000008	0
022	D000008	0
022	C000009	0
022	D000009	0
022	C000010	0
022	D000010	0
023	C000000	30933
023	D000000	30952
024	000000	N
025	D000001	0
025	D000002	0
	PAGE	3
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	Y
027	000000	N

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
	PAGE	4
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.950
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	N
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
	PAGE	5
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	N
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	Y
055	B000000	Y
056	000000	N
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

063	A000000	0
063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	N
066	D000000	N
066	E000000	N
066	F000000	Y
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
	PAGE	6
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	Y
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	Y
070	O010000	Y
070	O020000	Y
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	85346
071	B000000	58587
071	C000000	129822
071	D000000	45
072	A000000	12
072	B000000	957
072	C000000	3929
072	D000000	0
072	E000000	3
072	F000000	1233
072	G000000	185
072	H000000	0

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

072 I000000 12
 072 J000000 31
 072 K000000 10
 072 L000000 63
 072 M000000 38

PAGE 7

072 N000000 50
 072 O000000 0
 072 P000000 168
 072 Q000000 77
 072 R000000 37
 072 S000000 162
 072 T000000 0
 072 U000000 0
 072 V000000 32
 072 W000000 52
 072 X000000 2151
 072 Y000000 0
 072 Z000000 2738
 072AA000000 14323
 072BB000000 0
 072CC010000 13395
 072CC020000 0
 072DD010000 10921
 072DD020000 0
 072EE000000 0
 073 A010000 1.1600
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.4700
 074 A000000 0
 074 B000000 0
 074 C000000 0
 074 D000000 8597
 074 E000000 1760
 074 F000000 150256
 074 G000000 0
 074 H000000 0
 074 I000000 8749
 074 J000000 0
 074 K000000 0
 074 L000000 724
 074 M000000 34
 074 N000000 170120
 074 O000000 264
 074 P000000 182
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 21593
 074 S000000 0
 074 T000000 148081
 074 U010000 8610
 074 U020000 0
 074 V010000 17.20
 074 V020000 0.00

PAGE 8

074 W000000 0.0000
 074 X000000 64
 074 Y000000 0
 075 A000000 0

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-B

075 B000000 114166
076 000000 15.11
077 A000000 Y
077 B000000 Y
077 Q020000 Y
077 Q030000 Y
078 000000 N
080 A000000 ICI MUTUAL INSURANCE COMPANY
080 C000000 1500
081 A000000 Y
081 B000000 11
082 A000000 Y
082 B000000 25
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 2529
086 A020000 34449
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 SHARES OF BENEFICIAL OWNERSHIP
087 A020000 25538A204
087 A030000 DNI
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE THOMAS O'MALLEY
TITLE CFO