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SUN LIFE FINANCIAL INC
Form 13F-HR
February 13, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 13F

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: December 31, 2012

Check Here if Amendment / /; Amendment Number: -----

This Amendment (Check only one.): / / is a restatement.
/ / adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Sun Life Financial Inc.

Address: 150 King Street West

Toronto, Ontario, Canada, M5H 1J9

Form 13F File Number: 28-05799

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: John Vaz

Title: Compliance Analyst

Phone: 781-446-2420

Signature, Place, and Date of Signing:

/s/ John Vaz Wellesley Hills, MA February 12, 2013

[Signature] [City, State] [Date]

Report Type (Check only one.):

/ / 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

/ / 13F NOTICE. (Check here if no holdings reported are in this report,

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and all holdings are reported by other reporting manager(s).)

/X/ 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:
 [If there are no entries in this list, omit this section.]

| | |
|----------------------|---------------|
| Form 13F File Number | Name |
| 28-06597 | McLean Budden |
| ----- | ----- |
| 28-04968 | MFS |
| ----- | ----- |

[Repeat as necessary.]

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 2

Form 13F Information Table Entry Total: 204

Form 13F Information Table Value Total: US\$1,289,048

 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

| | | |
|-------|----------------------|--------------------------------------|
| No. | Form 13F File Number | Name |
| 1 | 28-12046 | Sun Capital Advisers LLC. |
| ----- | ----- | ----- |
| 2 | 28-12047 | Sun Life Assurance Company of Canada |
| ----- | ----- | ----- |

[Repeat as necessary.]

| COLUMN 1 | COLUMN 2 | COLUMN 3 | COLUMN 4 | COLUMN 5 | COLUMN 6 | CO | |
|----------------|----------------|----------|--------------------|--------------------|----------------------|--------------------------|---------|
| ----- | ----- | ----- | ----- | ----- | ----- | ----- | |
| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHRS OR PRN AMT | SH/ PUT/ PRN CALL | INVESTMENT DISCRETION | O MA |

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| | | | | | | | |
|----------------------------------|-----|-----------|--------|------------|----|--------|---|
| 3M CO | COM | 88579Y101 | 5,809 | 62,560 | SH | SHARED | 1 |
| 3M CO | COM | 88579Y101 | 1,391 | 14,981 | SH | SHARED | 2 |
| Abbott Laboratories | COM | 002824100 | 1,638 | 25,000 | SH | SHARED | 1 |
| Acme Packet Inc | COM | 004764106 | 10,376 | 469,098 | SH | SHARED | 1 |
| Acme Packet Inc | COM | 004764106 | 3,500 | 158,206 | SH | SHARED | 2 |
| Aeropostale Inc | COM | 007865108 | 10,363 | 796,571 | SH | SHARED | 1 |
| Aeropostale Inc | COM | 007865108 | 1,080 | 82,991 | SH | SHARED | 2 |
| AES Corporation | COM | 00130H105 | 3,186 | 297,733 | SH | SHARED | 1 |
| AES Corporation | COM | 00130H105 | 1,065 | 99,571 | SH | SHARED | 2 |
| American International Group | COM | 026874784 | 6,830 | 193,475 | SH | SHARED | 1 |
| Amgen Inc | COM | 031162100 | 5,590 | 64,851 | SH | SHARED | 1 |
| Amgen Inc | COM | 031162100 | 2,010 | 23,320 | SH | SHARED | 2 |
| Apple Inc | COM | 037833100 | 3,621 | 6,804 | SH | SHARED | 1 |
| Apple Inc | COM | 037833100 | 1,278 | 2,401 | | SHARED | 2 |
| Archer Daniels Midland | COM | 039483102 | 4,320 | 157,715 | SH | SHARED | 1 |
| AT&T Inc | COM | 00206R102 | 6,644 | 197,094 | SH | SHARED | 1 |
| AT&T Inc | COM | 00206R102 | 2,197 | 65,170 | SH | SHARED | 2 |
| Bank of America Corp | COM | 060505104 | 2,134 | 183,820 | SH | SHARED | 2 |
| Barclays Bank Plc | COM | 06738C828 | 52,001 | 54,200,000 | SH | SHARED | 1 |
| Barclays Bank Plc | COM | 06738C828 | 19,860 | 20,700,000 | SH | SHARED | 1 |
| Baxter International Inc | COM | 071813109 | 4,641 | 69,624 | SH | SHARED | 1 |
| Baxter International Inc | COM | 071813109 | 1,765 | 26,484 | SH | SHARED | 2 |
| BB&T Corporation | COM | 054937107 | 3,476 | 119,407 | SH | SHARED | 1 |
| BB&T Corporation | COM | 054937107 | 1,281 | 44,012 | SH | SHARED | 2 |
| Berkshire Hathaway Inc | COM | 084670702 | 4,375 | 48,779 | SH | SHARED | 1 |
| Berkshire Hathaway Inc | COM | 084670702 | 1,531 | 17,070 | SH | SHARED | 2 |
| Boeing Company | COM | 097023105 | 5,371 | 71,274 | SH | SHARED | 1 |
| Boeing Company | COM | 097023105 | 1,246 | 16,534 | SH | SHARED | 2 |
| Boston Scientific Corp | COM | 101137107 | 8,456 | 1,475,791 | SH | SHARED | 1 |
| Boston Scientific Corp | COM | 101137107 | 3,016 | 526,296 | SH | SHARED | 2 |
| BOSTON SCIENTIFIC CORPORATION | COM | 101137107 | 5,444 | 950,000 | SH | SHARED | 1 |
| Bristol-Myers Squibb | COM | 110122108 | 5,982 | 183,544 | SH | SHARED | 1 |
| Bristol-Myers Squibb | COM | 110122108 | 1,527 | 46,855 | SH | SHARED | 2 |
| Bunge Limited | COM | G16962105 | 4,580 | 63,010 | SH | SHARED | 1 |
| Bunge Limited | COM | G16962105 | 1,618 | 22,260 | SH | SHARED | 2 |
| Caterpillar Inc | COM | 149123101 | 1,614 | 18,009 | SH | SHARED | 1 |
| CCG Commercial Pool II Inc | COM | 12501RAF6 | 379 | 1,000 | SH | SHARED | 1 |
| Centurylink Inc | COM | 156700106 | 3,664 | 93,652 | SH | SHARED | 1 |
| Centurylink Inc | COM | 156700106 | 1,468 | 37,531 | SH | SHARED | 2 |
| Charles Schwab Corp | COM | 808513105 | 3,681 | 256,345 | SH | SHARED | 1 |
| Charles Schwab Corp | COM | 808513105 | 926 | 64,491 | SH | SHARED | 2 |
| Chevron Corp | COM | 166764100 | 10,776 | 99,649 | SH | SHARED | 1 |
| Chevron Corp | COM | 166764100 | 3,313 | 30,632 | SH | SHARED | 2 |
| Cisco Systems Inc | COM | 17275R102 | 1,847 | 93,996 | SH | SHARED | 1 |
| Citigroup Inc | COM | 172967424 | 1,282 | 32,408 | SH | SHARED | 2 |
| Clean Energy Fuels Corp | COM | 184499101 | 3,972 | 319,063 | SH | SHARED | 1 |
| Clean Energy Fuels Corp | COM | 184499101 | 1,338 | 107,504 | SH | SHARED | 2 |
| Coca-Cola Co | COM | 191216100 | 6,039 | 166,606 | SH | SHARED | 1 |
| Coca-Cola Co | COM | 191216100 | 1,518 | 41,880 | SH | SHARED | 2 |
| Commvault Systems Inc | COM | 204166102 | 5,168 | 74,182 | SH | SHARED | 1 |
| Commvault Systems Inc | COM | 204166102 | 1,736 | 24,923 | SH | SHARED | 2 |
| ConocoPhillips | COM | 20825C104 | 5,041 | 86,931 | SH | SHARED | 1 |
| ConocoPhillips | COM | 20825C104 | 1,170 | 20,176 | SH | SHARED | 2 |
| Consolidated Edison Inc | COM | 209115104 | 1,378 | 24,817 | SH | SHARED | 1 |
| Cosi Inc | COM | 22122P101 | 775 | 996,776 | SH | SHARED | 1 |
| Cosi Inc | COM | 22122P101 | 289 | 371,709 | SH | SHARED | 2 |
| CVS Caremark Corporation | COM | 126650100 | 4,436 | 91,758 | SH | SHARED | 1 |

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|--|-----|-----------|--------|---------|----|--------|---|
| CVS Caremark Corporation | COM | 126650100 | 1,563 | 32,334 | SH | SHARED | 2 |
| Deckers Outdoor Corp | COM | 243537107 | 6,236 | 154,867 | SH | SHARED | 1 |
| Deckers Outdoor Corp | COM | 243537107 | 4,816 | 119,593 | SH | SHARED | 2 |
| DR Horton Inc | COM | 23331A109 | 5,008 | 253,191 | SH | SHARED | 1 |
| DR Horton Inc | COM | 23331A109 | 1,762 | 89,094 | SH | SHARED | 2 |
| Duke Energy Corp | COM | 26441C204 | 1,416 | 22,192 | SH | SHARED | 1 |
| EI Dupont de Nemour and Co | COM | 263534109 | 1,444 | 32,108 | SH | SHARED | 1 |
| Eli Lilly & Co | COM | 532457108 | 4,888 | 99,118 | SH | SHARED | 1 |
| Eli Lilly & Co | COM | 532457108 | 1,668 | 33,815 | SH | SHARED | 2 |
| Emerson Electric Co | COM | 291011104 | 4,247 | 80,185 | SH | SHARED | 1 |
| Emerson Electric Co | COM | 291011104 | 1,474 | 27,841 | SH | SHARED | 2 |
| EOG Resources | COM | 26875P101 | 4,533 | 37,526 | SH | SHARED | 1 |
| EOG Resources | COM | 26875P101 | 1,599 | 13,234 | SH | SHARED | 2 |
| Exelon Corporation | COM | 30161N101 | 940 | 31,602 | SH | SHARED | 2 |
| Express Inc | COM | 30219E103 | 10,829 | 717,630 | SH | SHARED | 1 |
| Express Inc | COM | 30219E103 | 1,915 | 126,877 | SH | SHARED | 2 |
| Exxon Mobil Corp | COM | 30231G102 | 17,681 | 204,282 | SH | SHARED | 1 |
| Exxon Mobil Corp | COM | 30231G102 | 4,858 | 56,129 | SH | SHARED | 2 |
| Fifth Third Bancorp | COM | 316773100 | 4,303 | 283,075 | SH | SHARED | 1 |
| Forest Laboratories Inc | COM | 345838106 | 7,326 | 207,410 | SH | SHARED | 1 |
| Forest Laboratories Inc | COM | 345838106 | 2,579 | 73,031 | SH | SHARED | 2 |
| Fusion-io Inc | COM | 36112J107 | 12,007 | 523,645 | SH | SHARED | 1 |
| Fusion-io Inc | COM | 36112J107 | 4,123 | 179,817 | SH | SHARED | 2 |
| General Electric Company | COM | 369604103 | 8,663 | 412,718 | SH | SHARED | 1 |
| General Electric Company | COM | 369604103 | 2,635 | 125,522 | SH | SHARED | 2 |
| Goldman Sachs Group Inc | COM | 38141G104 | 5,049 | 39,584 | SH | SHARED | 1 |
| Goldman Sachs Group Inc | COM | 38141G104 | 1,309 | 10,265 | SH | SHARED | 2 |
| Goodyear Tire & Rubber Co | COM | 382550101 | 4,678 | 338,704 | SH | SHARED | 1 |
| Goodyear Tire & Rubber Co | COM | 382550101 | 1,632 | 118,150 | SH | SHARED | 2 |
| Google Inc | COM | 38259P508 | 9,377 | 13,256 | SH | SHARED | 1 |
| Google Inc | COM | 38259P508 | 2,341 | 3,309 | SH | SHARED | 2 |
| Halozyne Therapeutics Inc | COM | 40637H109 | 5,130 | 764,566 | SH | SHARED | 1 |
| Halozyne Therapeutics Inc | COM | 40637H109 | 1,256 | 187,142 | SH | SHARED | 2 |
| Hess Corp | COM | 42809H107 | 3,277 | 61,876 | SH | SHARED | 1 |
| Hess Corp | COM | 42809H107 | 1,048 | 19,796 | SH | SHARED | 2 |
| Home Depot Inc | COM | 437076102 | 1,917 | 31,000 | SH | SHARED | 1 |
| Honeywell International Inc | COM | 438516106 | 7,104 | 111,930 | SH | SHARED | 1 |
| Honeywell International Inc | COM | 438516106 | 1,595 | 25,136 | SH | SHARED | 2 |
| IBM Corp | COM | 459200101 | 1,526 | 7,969 | SH | SHARED | 1 |
| Intel Corp | COM | 458140100 | 1,236 | 59,918 | SH | SHARED | 1 |
| Intermec Inc | COM | 458786100 | 5,379 | 545,514 | SH | SHARED | 1 |
| INTERMEC INC | COM | 458786100 | 3,205 | 325,000 | SH | SHARED | 1 |
| International Paper Co | COM | 460146103 | 13,078 | 328,271 | SH | SHARED | 1 |
| International Paper Co | COM | 460146103 | 4,240 | 106,435 | SH | SHARED | 2 |
| IShares | COM | 464286699 | 3,299 | 183,870 | SH | SHARED | 1 |
| IShares | COM | 464287465 | 24,384 | 428,838 | | SHARED | 2 |
| ISHARES MSCI EAFE INDEX FUND | COM | 464287465 | 7,115 | 125,125 | SH | SHARED | 1 |
| ISHARES MSCI FRANCE INDEX FUND | COM | 464286707 | 3,607 | 152,900 | SH | SHARED | 1 |
| ISHARES MSCI GERMANY INDEX | COM | 464286806 | 717 | 29,000 | SH | SHARED | 1 |
| ISHARES MSCI JAPAN FUND | COM | 464286848 | 8,085 | 829,256 | SH | SHARED | 1 |
| ISHARES MSCI MEXICO INVESTABLE MARKET INDEX | | | | | | | |

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|--|-----|-----------|--------|--------------|--------|---|
| FUND | COM | 464286822 | 1,486 | 21,075 SH | SHARED | 1 |
| ISHARES MSCI UNITED KINGDOM INDEX FUND | COM | 464286699 | 2,678 | 149,300 SH | SHARED | 1 |
| Johnson & Johnson | COM | 478160104 | 3,174 | 45,275 SH | SHARED | 1 |
| JP Morgan Chase & Co | COM | 46625H100 | 2,622 | 59,643 SH | SHARED | 2 |
| Kayne Anderson ETR Fund Inc. | COM | 48660P2#0 | 17,040 | 600,000 SH | SHARED | 1 |
| Kayne Anderson Midstream Enrgy | | 48661E2*8 | 17,340 | 600,000 SH | SHARED | 1 |
| Kayne Anderson MLP Investment | COM | 4866062#2 | 17,100 | 600,000 SH | SHARED | 1 |
| Kayne Anderson MLP Investment | COM | 4866064#0 | 13,068 | 440,000 SH | SHARED | 1 |
| Kimberly-Clark Corp | COM | 494368103 | 5,658 | 67,017 SH | SHARED | 1 |
| Kimberly-Clark Corp | COM | 494368103 | 1,348 | 15,966 SH | SHARED | 2 |
| Kohls Corp | COM | 500255104 | 3,559 | 82,817 SH | SHARED | 1 |
| Kohls Corp | COM | 500255104 | 1,289 | 29,994 SH | SHARED | 2 |
| Kraft Foods Group Inc | COM | 50076Q106 | 610 | 13,418 SH | SHARED | 1 |
| Legg Mason Inc | COM | 524901105 | 3,820 | 148,519 SH | SHARED | 1 |
| Legg Mason Inc | COM | 524901105 | 1,349 | 52,460 SH | SHARED | 2 |
| Lloyds Banking Group Plc | COM | 539439AC3 | 1,278 | 1,460,000 SH | SHARED | 1 |
| Lockheed Martin Corp | COM | 539830109 | 3,636 | 39,401 SH | SHARED | 1 |
| Lockheed Martin Corp | COM | 539830109 | 1,288 | 13,959 SH | SHARED | 2 |
| McDonald's Corp | COM | 580135101 | 2,876 | 32,602 SH | SHARED | 1 |
| Mellanox Technologies Ltd | COM | B1R96S6 | 3,145 | 52,957 SH | SHARED | 1 |
| Mellanox Technologies Ltd | COM | B1R96S6 | 1,080 | 18,185 SH | SHARED | 2 |
| Merck & Co Inc | COM | 58933Y105 | 5,998 | 146,515 SH | SHARED | 1 |
| Merck & Co Inc | COM | 58933Y105 | 1,501 | 36,675 SH | SHARED | 2 |
| Merge Healthcare Inc | COM | 589499102 | 2,445 | 989,930 SH | SHARED | 1 |
| Merge Healthcare Inc | COM | 589499102 | 624 | 252,733 SH | SHARED | 2 |
| Metlife Inc | COM | 59156R108 | 3,241 | 98,384 SH | SHARED | 1 |
| Microsoft Corp | COM | 594918104 | 1,410 | 52,807 SH | SHARED | 1 |
| Mondelez International Inc | COM | 609207105 | 1,025 | 40,255 SH | SHARED | 1 |
| Morgan Stanley | COM | 617446448 | 2,787 | 145,739 SH | SHARED | 1 |
| Morgan Stanley | COM | 617446448 | 923 | 48,290 SH | SHARED | 2 |
| Mosaic Holdings Inc | COM | 61945C103 | 7,825 | 138,175 SH | SHARED | 1 |
| Mosaic Holdings Inc | COM | 61945C103 | 2,673 | 47,196 SH | SHARED | 2 |
| MRV Communications Inc | COM | 553477407 | 156 | 15,182 SH | SHARED | 2 |
| MRV Communications Inc | COM | 553477407 | 2,576 | 250,137 SH | SHARED | 1 |
| Neuberger Berman Real Estate | COM | 64190A2#9 | 27,106 | 1,000 SH | SHARED | 1 |
| Occidental Petroleum Corp | COM | 674599105 | 3,438 | 44,872 SH | SHARED | 1 |
| Occidental Petroleum Corp | COM | 674599105 | 1,212 | 15,824 SH | SHARED | 2 |
| Oclaro Inc | COM | 67555N206 | 1,788 | 1,138,771 SH | SHARED | 1 |
| Oclaro Inc | COM | 67555N206 | 789 | 502,350 SH | SHARED | 2 |
| Palo Alto Networks Inc | COM | 697435105 | 13,131 | 245,347 SH | SHARED | 1 |
| Palo Alto Networks Inc | COM | 697435105 | 4,956 | 92,597 SH | SHARED | 2 |
| Pep-Boys Manny Moe & Jack | COM | 713278109 | 4,106 | 417,710 SH | SHARED | 1 |
| Pep-Boys Manny Moe & Jack | COM | 713278109 | 1,445 | 146,987 SH | SHARED | 2 |
| Pepsico Inc | COM | 713448108 | 5,651 | 82,585 SH | SHARED | 1 |
| Pepsico Inc | COM | 713448108 | 1,390 | 20,310 SH | SHARED | 2 |
| Pfizer Inc | COM | 717081103 | 8,035 | 320,365 SH | SHARED | 1 |
| Pfizer Inc | COM | 717081103 | 1,168 | 46,581 SH | SHARED | 2 |
| Philip Morris International | COM | 718172109 | 4,135 | 49,441 SH | SHARED | 1 |

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|-------------------------------------|-----|-----------|---------|------------|----|--------|---|
| Philip Morris International | COM | 718172109 | 1,457 | 17,422 | SH | SHARED | 2 |
| PNC Financial Services Group | COM | 693475105 | 4,878 | 83,657 | SH | SHARED | 1 |
| POWERSHARES QQQ | COM | 73935A104 | 28,062 | 431,000 | SH | SHARED | 1 |
| Procter & Gamble Co | COM | 742718109 | 9,291 | 136,857 | SH | SHARED | 1 |
| Procter & Gamble Co | COM | 742718109 | 2,080 | 30,641 | SH | SHARED | 2 |
| Prudential Financial Inc | COM | 744320102 | 3,325 | 62,354 | SH | SHARED | 1 |
| Raytheon Company | COM | 755111507 | 3,782 | 65,705 | SH | SHARED | 1 |
| Raytheon Company | COM | 755111507 | 1,340 | 23,278 | SH | SHARED | 2 |
| Resolute Forest Products | COM | B87SJM7 | 38 | 2,841 | SH | SHARED | 1 |
| Riverbed Technology Inc | COM | 768573107 | 13,153 | 667,004 | SH | SHARED | 1 |
| Riverbed Technology Inc | COM | 768573107 | 4,647 | 235,648 | SH | SHARED | 2 |
| Silicon Graphics International | COM | 82706L108 | 8,912 | 871,123 | SH | SHARED | 1 |
| Silicon Graphics International | COM | 82706L108 | 3,248 | 317,493 | SH | SHARED | 2 |
| SILICON GRAPHICS INTERNATIONAL CORP | COM | 82706L108 | 9,621 | 940,500 | SH | SHARED | 1 |
| Sourcefire Inc | COM | 83616T108 | 10,830 | 229,362 | SH | SHARED | 1 |
| Sourcefire Inc | COM | 83616T108 | 2,953 | 62,535 | SH | SHARED | 2 |
| SPDR S&P 500 ETF TRUST | COM | 78462F103 | 284,435 | 1,997,299 | SH | SHARED | 1 |
| State Street Corp | COM | 857477103 | 4,207 | 89,490 | SH | SHARED | 1 |
| State Street Corp | COM | 857477103 | 1,274 | 27,104 | SH | SHARED | 2 |
| Talisman Energy Inc | COM | 87425E103 | 3,719 | 328,200 | SH | SHARED | 1 |
| Talisman Energy Inc | COM | 87425E103 | 1,288 | 113,700 | SH | SHARED | 2 |
| Target Corporation | COM | 87612E106 | 4,068 | 68,750 | SH | SHARED | 1 |
| Target Corporation | COM | 87612E106 | 1,372 | 23,194 | SH | SHARED | 2 |
| Travelers Cos Inc | COM | 89417E109 | 6,241 | 86,900 | SH | SHARED | 1 |
| Travelers Cos Inc | COM | 89417E109 | 1,784 | 24,845 | SH | SHARED | 2 |
| United Technologies Corp | COM | 913017109 | 6,759 | 82,415 | SH | SHARED | 1 |
| United Technologies Corp | COM | 913017109 | 1,393 | 16,988 | SH | SHARED | 2 |
| US Bancorp | COM | 902973304 | 6,614 | 207,074 | SH | SHARED | 1 |
| US Bancorp | COM | 902973304 | 1,575 | 49,307 | SH | SHARED | 2 |
| Valero Energy Corp | COM | 91913Y100 | 4,987 | 146,163 | SH | SHARED | 1 |
| Valero Energy Corp | COM | 91913Y100 | 1,753 | 51,364 | SH | SHARED | 2 |
| Vanguard REIT ETF | COM | 922908553 | 68,866 | 1,046,600 | SH | SHARED | 1 |
| Vanguard REIT ETF | COM | 922908553 | 11,035 | 167,710 | SH | SHARED | 2 |
| Vasco Data Security Intl Inc. | COM | 92230Y104 | 4,470 | 547,840 | SH | SHARED | 1 |
| Vasco Data Security Intl Inc. | COM | 92230Y104 | 1,739 | 213,102 | SH | SHARED | 2 |
| Verizon Communications Inc | COM | 92343V104 | 7,022 | 162,281 | SH | SHARED | 1 |
| Verizon Communications Inc | COM | 92343V104 | 1,514 | 35,000 | SH | SHARED | 2 |
| Vicor Corp | COM | 925815102 | 1,429 | 263,604 | SH | SHARED | 1 |
| Vicor Corp | COM | 925815102 | 934 | 172,405 | SH | SHARED | 2 |
| VICOR CORPORATION | COM | 925815102 | 2,966 | 547,164 | SH | SHARED | 1 |
| VM Ware Inc | COM | 928563402 | 4,515 | 47,962 | SH | SHARED | 1 |
| VM Ware Inc | COM | 928563402 | 1,550 | 16,469 | SH | SHARED | 2 |
| Wachovia Capital Trust III | COM | 92978AAA0 | 15,920 | 16,000,000 | SH | SHARED | 1 |
| Wachovia Capital Trust III | COM | 92978AAA0 | 995 | 1,000,000 | SH | SHARED | 1 |
| Wal-Mart Stores Inc | COM | 931142103 | 1,561 | 22,876 | SH | SHARED | 1 |
| Walt Disney Co | COM | 254687106 | 2,752 | 55,272 | SH | SHARED | 1 |
| Walt Disney Co | COM | 254687106 | 928 | 18,648 | SH | SHARED | 2 |
| Wells Fargo & Co | COM | 949746101 | 3,303 | 96,635 | SH | SHARED | 2 |
| XL Group Plc | COM | 98372PAJ7 | 368 | 394,000 | SH | SHARED | 1 |

1,289,048