

GUARANTY FEDERAL BANCSHARES INC

Form 4

April 11, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HELLWEG KURT D

2. Issuer Name and Ticker or Trading Symbol
GUARANTY FEDERAL BANCSHARES INC [GFED]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/14/2011

Director 10% Owner
 Officer (give title below) Other (specify below)

4083 EAGLESCLIFFE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SPRINGFIELD, MO 65809

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
COMMON STOCK	09/14/2011		P	751 A \$ 4.5	33,137	I	SELF TRUST
COMMON STOCK	09/16/2011		P	96 A \$ 4.5	33,233	I	SELF TRUST
COMMON STOCK	09/21/2011		P	1,200 A \$ 4.5	34,433	I	SELF TRUST
COMMON STOCK	09/26/2011		P	172 A \$ 4.5	34,605	I	SELF TRUST
COMMON STOCK	09/29/2011		P	43 A \$ 4.5	34,648	I	SELF TRUST

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COMMON STOCK	09/30/2011	P	1,738	A	\$ 4.5	36,386	I	SELF TRUST
COMMON STOCK						8,112 ⁽¹⁾	I	RESTRICTED
COMMON STOCK						35,000 ⁽²⁾	D	
COMMON STOCK						3,086 ⁽³⁾	I	DRIP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HELLWEG KURT D 4083 EAGLESCLIFFE SPRINGFIELD, MO 65809		X		

Signatures

KURT D.
HELLWEG 04/11/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The total of restricted common stock (8,112) takes into account the number of shares owned in September 2011 of 2,119 and Form 4 filed

- (1) January 2012 for 2,315 shares, Form 4 filed January 2013 for 2,072 shares and Form 4 filed February 2014 for 1,606 shares for a total of 8,112 shares.
- (2) The total number of shares of common stock owned directly was purchased in March 2014 and shown on Form 4 filed March 2014.
- (3) The total number of shares of common stock owned by Mr. Hellweg through his Dividend Reinvestment Plan account which has not changed since September 30, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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