

Edgar Filing: CHARTWELL DIVIDEND & INCOME FUND INC - Form NSAR-B

CHARTWELL DIVIDEND & INCOME FUND INC

Form NSAR-B

January 28, 2010

PAGE 1
000 B000000 11/30/2009
000 C000000 0001059213
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CHARTWELL DIVIDEND AND INCOME FUND, INC.
001 B000000 811-8747
001 C000000 6104074841
002 A000000 400 BELLEVUE PARKWAY, C/O PFPC INC.
002 B000000 WILMINGTON
002 C000000 DE
002 D010000 19809
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 CHARTWELL INVESTMENT PARTNERS, L.P.
008 B000001 A
008 C000001 801-54124
008 D010001 BERWYN
008 D020001 PA
008 D030001 19312
008 D040001 2416
010 A000001 SEI INVESTMENTS GLOBAL FUNDS SERVICES
010 B000001 801-24593
010 C010001 OAKS
010 C020001 PA
010 C030001 19456
011 A000001 ROBERT W. BAIRD & CO. INCORPORATED
011 B000001 8-0000
011 C010001 MILWAUKEE
011 C020001 WI
011 C030001 53202
011 A000002 EVEREN SECURITIES, INC.
011 B000002 8-37180
011 C010002 CHICAGO
011 C020002 IL
011 C030002 60603
011 A000003 FAHNESTOCK & CO. INC.
011 B000003 8-0000
011 C010003 NEW YORK
011 C020003 NY
011 C030003 10005
011 A000004 GRUNTAL & CO., LLC
PAGE 2
011 B000004 8-000
011 C010004 NEW YORK
011 C020004 NY
011 C030004 10005
011 C040004 2176
011 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.

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011 B000005 8-7221
011 C010005 NEW YORK
011 C020005 NY
011 C030005 10080
011 A000006 PRUDENTIAL SECURITIES INCORPORATED
011 B000006 8-27154
011 C010006 NEWARK
011 C020006 NJ
011 C030006 07101
011 A000007 A.G. EDWARDS & SONS, INC.
011 B000007 8-13580
011 C010007 ST. LOUIS
011 C020007 MO
011 C030007 63103
011 A000008 ADVEST, INC.
011 B000008 8-21409
011 C010008 HARTFORD
011 C020008 CT
011 C030008 06103
011 A000009 JANNEY MONTGOMERY SCOTT INC.
011 B000009 8-462
011 C010009 PHILADELPHIA
011 C020009 PA
011 C030009 19103
011 A000010 LEGG MASON WOOD WALKER, INCORPORATED
011 B000010 8-15939
011 C010010 BALTIMORE
011 C020010 MD
011 C030010 21202
012 A000001 PFPC INC.
012 B000001 84-00000
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 ERNST & YOUNG LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 US BANK, N.A.
015 B000001 C
015 C010001 PHILADELPHIA
015 C020001 PA
015 C030001 19109
015 E010001 X
PAGE 3
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERRILL LYNCH
020 B000001 13-3247006
020 C000001 174
020 A000002 MORGAN STANLEY
020 B000002 94-1671384
020 C000002 133
020 A000003 GATEWAY TRUST
020 C000003 31
020 A000004 B. RILEY & CO.
020 B000004 95-4592938
020 C000004 20
020 A000005 GOLDMAN SACHS CO.
020 B000005 15-5108880

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020 C000005 19
020 A000006 JANNEY MONTGOMERY SCOTT INC.
020 C000006 12
020 A000007 BARCLAYS CAPITAL INC.
020 B000007 13-3498485
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020 A000008 MERRILL LYNCH & CO., INC.
020 B000008 13-2740599
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020 A000009 SCOTT & STRINGFELLOW INCORPORATED
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020 A000010 LIQUIDNET INC.
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021 000000 419
022 A000001 JP MORGAN
022 B000001 13-2224016
022 C000001 4498
022 D000001 3450
022 A000002 FIRST TENNESSEE NATIONAL CORP.
022 B000002 62-1758945
022 C000002 1505
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022 A000003 BANK OF AMERICA
022 B000003 56-2058466
022 C000003 1665
022 D000003 2733
022 A000004 STIFEL FINANCIAL CORPORATION
022 B000004 43-1273600
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022 D000004 922
022 A000005 BROADCORT CAPITAL CORPORATION
022 C000005 0
022 D000005 1831
022 A000006 PALI CAPITAL, INC.
022 B000006 13-3864902
PAGE 4
022 C000006 237
022 D000006 1548
022 A000007 MORGAN STANLEY
022 B000007 94-1671384
022 C000007 1726
022 D000007 0
022 A000008 DEUTSCHE BANK SECURITIES INC.
022 B000008 13-2730828
022 C000008 1001
022 D000008 128
022 A000009 CITIGROUP GLOBAL CAPITAL MARKETS, INC.
022 B000009 11-2418191
022 C000009 556
022 D000009 0
022 A000010 CREDIT SUISSE FIRST BOSTON CORPORATION
022 B000010 13-5659485
022 C000010 453
022 D000010 0
023 C000000 14615
023 D000000 15505
024 000000 Y
025 A000001 JPMORGAN CHASE & CO.
025 B000001 13-2624428
025 C000001 E
025 D000001 2549
026 A000000 N

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| | | | |
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| 043 | 000000 | | 0 |
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| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |

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| | | | |
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| 048 | 000000 | 0.950 | |
| 048 | A010000 | | 0 |
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| 048 | G020000 | 0.000 | |
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| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
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| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
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| 048 | K020000 | 0.000 | |
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| 051 | 000000 | N | |
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| 053 | B000000 | Y | |
| 053 | C000000 | N | |
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| 062 | D000000 | | 0.0 |
| 062 | E000000 | | 0.0 |
| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |

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062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0

PAGE 7

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062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
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062 R000000 0.0
063 A000000 0
063 B000000 0.0

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066 C000000 N
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070 O010000 Y
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070 P020000 N

PAGE 8

070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 N

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| 071 A000000 | 53456 |
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| 071 C000000 | 72613 |
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| 072 R000000 | 56 |
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| 072 W000000 | 253 |
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| 072 Y000000 | 74 |
| 072 Z000000 | 3472 |
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| 072CC020000 | 0 |
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| 074 R020000 | 0 |
| 074 R030000 | 136 |

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| | | |
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| 074 | R040000 | 10213 |
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| 077 | Q030000 | N |
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| 080 | B000000 | N/A |
| 080 | C000000 | 750 |
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| 081 | B000000 | 0 |
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| 082 | A000000 | Y |
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| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 16139P104 |
| 087 | A030000 | CWF |
| 088 | A000000 | Y |

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088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE WINTHROP S. JESSUP

TITLE PRESIDENT