Edgar Filing: SMITH LONNIE M - Form 4

SMITH LON Form 4	INIE M											
August 20, 20	018											
FORM	4					TT 4 N			-	PPROVAL		
Check thi	UNITE	UNITED STATES SECURITIES AND EXCHANGE CO Washington, D.C. 20549							OMB Number:	3235-0287		
if no long									Expires:	January 31, 2005		
subject to Section 16. Form 4 or			OF CHAN	GES IN I SECUR	NERSHIP OF	Estimated average burden hours per response						
Form 5 obligation may conti <i>See</i> Instru 1(b).	¹⁸ Section 1	7(a) of th		ility Hold	ing Com	pany	Act of	e Act of 1934, f 1935 or Sectio 40	n			
(Print or Type R	Responses)											
SMITH LONNIE M Syr			Symbol						5. Relationship of Reporting Person(s) to Issuer			
		(Check all applicable)										
(Last)	(First)	(First) (Middle) 3. Date of (Month/Da						X_ Director 10% Owner Officer (give title Other (specify below) below)				
1020 KIFER	ROAD			08/01/2018								
				Amendment, Date Original I(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
SUNNYVA	LE, CA 94086	5							Aore than One Re			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	lly Owned		
(Instr. 3) any		eemed tion Date, if h/Day/Year)	Code (D)			of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
2				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	08/01/2018			G <u>(1)</u>	13,443	А	\$0	391,694	D			
Common Stock	08/01/2018			G <u>(2)</u>	6,861	А	\$0	398,555	D			
Common Stock	08/01/2018			G <u>(1)</u>	13,443	D	\$0	304,591	Ι	by Trust		
Common Stock	08/01/2018			G <u>(2)</u>	6,861	D	\$0	297,730	Ι	by Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer	Other			
SMITH LONNIE M							
1020 KIFER ROAD	Х						
SUNNYVALE, CA 94086							
Signatures							
By: Lori Serrano For: Lonnie M Smith	M 08/20/2018						
**Signature of Reporting Person		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were distributed by Lonnie M. Smith GRAT No. 11, dated 5/24/17 to Lonnie Smith & Cheryl Smith, Community Property.

(2) Shares were distributed by the Lonnie M. Smith Heartflow III GRAT, to Lonnie Smith & Cheryl Smith, Community Property.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.