## Edgar Filing: BARRATT CRAIG H - Form 4

BARRATT	CRAIG H										
Form 4 October 27,	2017										
									OMB AP	PROVAL	
FORM	<b>/1 4</b> UNITED	STATES		RITIES shingtor				OMMISSION	OMB Number:	3235-0287	
Check ti if no lor subject Section Form 4 Form 5 obligation may con <i>See</i> Inst 1(b).	nger to 16. or Filed put ons stinue.	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)										
BARRATT CRAIG H Symt INT			Symbol	IITIVE SURGICAL INC				5. Relationship of Reporting Person(s) to ssuer (Check all applicable)			
			Day/Year) —				below)	Officer (give title X_ Other (specify			
			onth/Day/Year) Aj				Applicable Line)	. Individual or Joint/Group Filing(Check .pplicable Line) X_ Form filed by One Reporting Person			
SUNNYV	ALE, CA 94086							Form filed by Mo Person			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secu	urities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	1		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	10/26/2017			M	7,500	(D) A	\$ 119.063	3 7,500	D		
Common Stock	10/26/2017			S <u>(1)</u>	7,500	D	\$ 381	0	D		
Common Stock								6,423	Ι	by Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shares
Non-Qualified Stock Option (right to buy)	\$ 119.0633	10/26/2017		М	7,500	(2)	04/21/2021	Common Stock	7,50

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
BARRATT CRAIG H 1020 KIFER ROAD SUNNYVALE, CA 94086	X			Board of Director			
Ciam atuma a							

# Signatures

By: Lori Serrano For: Craig H Barratt	10/27/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold subject to a 10b5-1 trading plan established on 08-24-17.
- (2) Non-statutory stock option granted pursuant to the Non-Employee Directors' Stock Option Plan. Option shall vest 1/3rd one year after the date of grant and 1/36th each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.