Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

UNITED TECHNOLOGIES CORP /DE/

Form 4 July 06, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

5. Relationship of Reporting Person(s) to

Issuer

OMB APPROVAL

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

Section 16. Form 4 or Form 5 obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

FINGER STEPHEN N

1. Name and Address of Reporting Person *

			UNITED TECHNOLOGIES CORP /DE/ [UTX]				(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			Director 10% OwnerX Officer (give title Other (specify					
UNITED TECHNOLOGIES CORPORATION, ONE		07/01/2005					below) President, Sikorsky Aircraft				
FINANCIAI	L PLAZA										
	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
HARTFORD, CT 06101								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non-	Derivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year) Execution any		Code (Instr. 8)	4. Securi for(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/01/2005			F	3,146 (1)	D	\$ 51.38	7,086 (2)	D		
Common Stock								20,145.73	I	By Savings Plan Trustee	

Persons who respond to the collection of

SEC 1474 information contained in this form are not (9-02)required to respond unless the form

Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

displays a currently valid OMB control number.

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ate	Amou	ınt of	Derivative]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	,
(Instr. 3) Price of			(Month/Day/Year)	(Instr. 8)	Derivative			Securities		(Instr. 5)	
	Derivative			Securities				(Instr.	3 and 4)		
	Security				Acquired]
					(A) or						Ì
					Disposed						•
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
							Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FINGER STEPHEN N UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101

President, Sikorsky Aircraft

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

07/06/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares surrendered to Company for taxes on vesting of 10,000 shares of restricted stock previously granted on July 1, 2003.
- (2) The reporting person also directly owns 5,600 shares of United Technologies Career Restricted Common Stock and 10,000 shares of Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2