

Bruce Mark R  
Form 4  
February 16, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bruce Mark R

(Last) (First) (Middle)  
3811 CARNDEN LANE  
(Street)

MISSOURI CITY, TX 77459

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

CARRIAGE SERVICES INC [CSV]

3. Date of Earliest Transaction (Month/Day/Year)

02/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/14/2018		A		6,650 (1)	A	\$ 25.43
					37,590	I	Jointly owned with spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options	\$ 25.43					<u>(2)</u> 02/14/2028	Common Stock	25,000
Performance Award	<u>(3)</u>					<u>(3)</u> 12/31/2022	Common Stock	13,300
Stock Options	\$ 26.54					<u>(4)</u> 03/21/2027	Common Stock	58,100
Performance Award	<u>(5)</u>					<u>(5)</u> 12/31/2021	Common Stock	13,190
Stock Options	\$ 20.06					<u>(6)</u> 02/23/2026	Common Stock	18,500
Performance Award	<u>(7)</u>					<u>(7)</u> 12/31/2020	Common Stock	5,800
Stock Options	\$ 22.58					<u>(8)</u> 02/24/2022	Common Stock	40,000
Stock Options	\$ 20.26					<u>(9)</u> 02/25/2019	Common Stock	40,000
Stock Options	\$ 16.73					<u>(10)</u> 05/22/2018	Common Stock	30,000
Stock Options	\$ 5.94					<u>(11)</u> 03/05/2022	Common Stock	26,289
Stock Options	\$ 5.7					<u>(12)</u> 02/28/2021	Common Stock	17,913
Stock Options	\$ 4.78					<u>(13)</u> 05/18/2020	Common Stock	17,530

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners



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Stock Options granted pursuant to the Carriage Services, Inc. Amended and Restated 2006 Long-Term Incentive Plan which vested 1/3 on 05/18/2011, 1/3 on 5/18/2012 and 1/3 on 5/18/2013. These stock options expire on 5/18/2020.

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